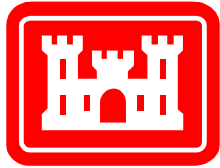


**Memphis District**

**Invitation for Bid No. DACW66-99-B-0018**



**US Army Corps  
of Engineers®**

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**Project Title:**

**DITCH NO. 1 AND DITCH NO. 6  
COUNTY BRIDGES AT MILES 1.21 AND 2.95**

**Location:**

**PEMISCOT COUNTY, MISSOURI**

**Construction Solicitation  
and Specifications**

**THIS IS A SMALL BUSINESS SET ASIDE**

**Date: AUG 1999**

## TABLE OF CONTENTS

<u>SECTION NO.</u>	<u>DESCRIPTION</u>
00010	SOLICITATION, OFFER, AND AWARD (SF 1442), BIDDING SCHEDULE, PLANT AND EQUIPMENT SCHEDULE, NOTICE OF REQUIREMENT OF AFFIRMATIVE ACTION, AND WAGE RATES
00100	INSTRUCTIONS, CONDITIONS AND NOTICES TO BIDDERS
00600	REPRESENTATIONS, CERTIFICATIONS AND OTHER STATEMENTS OF BIDDERS
00700	CONTRACT CLAUSES
00800	SPECIAL CONTRACT REQUIREMENTS
TECHNICAL SPECIFICATIONS	
DIVISION 1 - GENERAL REQUIREMENTS	
01025	MEASUREMENT AND PAYMENT
01130	ENVIRONMENTAL PROTECTION
01300	SUBMITTAL PROCEDURES
01451	CONTRACTOR QUALITY CONTROL
01452	PROJECT SIGN, BARRICADES, AND TRAFFIC CONTROL SIGNS
DIVISION 2 - SITE WORK	
02110	CLEARING, GRUBBING, AND BRIDGE REMOVAL
02225	EARTHWORK
02542	STONE PROTECTION
02546	AGGREGATE SURFACING
02700	CULVERT REMOVAL AND INSTALLATION
02935	ESTABLISHMENT OF TURF
DIVISION 3 - CONCRETE	
03100	FORMWORK FOR CONCRETE
03200	STEEL BARS AND ACCESSORIES FOR CONCRETE REINFORCEMENT
03230	STRESSING STRANDS AND ACCESSORIES FOR PRESTRESSED CONCRETE
03300	CAST-IN-PLACE STRUCTURAL CONCRETE
03425	PRECAST CONCRETE
03430	PILING; CONCRETE, PRECAST, PRESTRESSED
03440	BRIDGE SUPERSTRUCTURE

DIVISION 4 - NOT USED

DIVISION 5 - METALS

05500

GUARDRAIL

DIVISION 6 - DIVISION 16 NOT USED

<b>SOLICITATION, OFFER, AND AWARD</b> (Construction, Alteration, or Repair)	1. SOLICITATION NO.	2. TYPE OF SOLICITATION	3. DATE ISSUED	PAGE OF PAGES
	DACW66-99-B-0018	<input checked="" type="checkbox"/> SEALED BID (IFB) <input type="checkbox"/> NEGOTIATED (RFP)	08/05/99	

IMPORTANT - The "offer" section on the reverse must be fully completed by offeror.

4. CONTRACT NO.	5. REQUISITION/PURCHASE REQUEST NO.	6. PROJECT NO.
	W38XGR-9183-4474	DACW66-99-B-0018
7. ISSUED BY	CODE	8. ADDRESS OFFER TO
	W38XGR	
U S ARMY ENGINEER DISTRICT, MEMPHIS CONTRACTING DIVISION (CEMVM-CT) 167 NORTH MAIN STRET B202 MEMPHIS, TN 38103-1894		ADDRESS SAME AS BLOCK 7. HAND DELIVERED BIDS RECEIVED IN ROOM 681, CLIFFORD DAVIS FEDERAL BUILDING, 167 NORTH MAIN STREET, MEMPHIS, TN
9. FOR INFORMATION CALL:	A. NAME	B. TELEPHONE NO. (Include area code) (NO COLLECT CALLS)
	WENDELL NORMAN	(901) 544-0775

### SOLICITATION

NOTE: In sealed bid solicitations "offer" and "offeror" mean "bid" and "bidder".

10. THE GOVERNMENT REQUIRES PERFORMANCE OF THE WORK DESCRIBED IN THESE DOCUMENTS (Title, identifying no., date):

The work required is for Ditch No. 1 and Ditch No. 6, County Bridges at Miles 1.21 and 2.95, Pemiscot County, Missouri.

#### Description of Work:

The work consists of the construction of two new bridges with precast, prestressed concrete piling and concrete deck units; cast-in-place concrete caps, and wingwalls; clearing and grubbing and the disposal of debris therefrom; excavation and disposal of material therefrom, aggregate surfacing; establishment of turf; placement and grouting of riprap and filter material; removal of culverts and installation of corrugated metal culverts with flared end sections; and environmental protection.

The estimated value of the proposed work is between \$500,000.00 and \$1,000,000.00.

11. The Contractor shall begin performance within <u>10</u> calendar days and complete it within <u>355</u> calendar days after receiving	
<input type="checkbox"/> award, <input checked="" type="checkbox"/> notice to proceed. This performance period is <input checked="" type="checkbox"/> mandatory, <input type="checkbox"/> negotiable. (See <u>Sec. 00800, Para. 1.1</u> .)	
12A. THE CONTRACTOR MUST FURNISH ANY REQUIRED PERFORMANCE AND PAYMENT BONDS? (If "YES," indicate within how many calendar days after award in Item 12B.)	12B. CALENDAR DAYS
<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO	10

#### 13. ADDITIONAL SOLICITATION REQUIREMENTS:

- A. Sealed offers in original and 0 copies to perform the work required are due at the place specified in Item 8 by 1430 (hour) local time 09/07/99 (date). If this is a sealed bid solicitation, offers must be publicly opened at that time. Sealed envelopes containing offers shall be marked to show the offeror's name and address, the solicitation number, and the date and time offers are due.
- B. An offer guarantee ☒ is, ☐ is not required.
- C. All offers are subject to the (1) work requirements, and (2) other provisions and clauses incorporated in the solicitation in full text or by reference.
- D. Offers providing less than 60 calendar days for Government acceptance after the date offers are due will not be considered and will be rejected.

14. NAME AND ADDRESS OF OFFEROR (Include ZIP Code)				15. TELEPHONE NO. (Include area code)				16. REMITTANCE ADDRESS (Include only if different than Item 14)			
CODE                      FACILITY CODE											
17. The offeror agrees to perform the work required at the prices specified below in strict accordance with the terms of this solicitation, if this offer is accepted by the Government in writing within ____ calendar days after the date offers are due. (Insert any number equal to or greater than the minimum requirement stated in Item 13D. Failure to insert any number means the offeror accepts the minimum in Item 13D.)											
<div style="display: flex; align-items: center;"> <div style="width: 100px; text-align: right; font-weight: bold;">AMOUNTS</div> <div style="width: 100px; text-align: center;">▶</div> <div style="flex-grow: 1;"></div> </div>											
18. The offeror agrees to furnish any required performance and payment bonds.											
<b>19. ACKNOWLEDGMENT OF AMENDMENTS</b> (The offeror acknowledges receipt of amendments to the solicitation - give number and date of each)											
AMENDMENT NO.											
DATE											
20A. NAME AND TITLE OF PERSON AUTHORIZED TO SIGN OFFER (Type or print)						20B. SIGNATURE				20C. OFFER DATE	
<b>AWARD (To be completed by Government)</b>											
21. ITEMS ACCEPTED:											
22. AMOUNT				23. ACCOUNTING AND APPROPRIATION DATA							
24. SUBMIT INVOICES TO ADDRESS SHOWN IN (4 copies unless otherwise specified)				ITEM		25. OTHER THAN FULL AND OPEN COMPETITION PURSUANT TO  <input type="checkbox"/> 10 U.S.C. 2304(c) (     ) <input type="checkbox"/> 41 U.S.C. 253(c) (     )					
26. ADMINISTERED BY				CODE		27. PAYMENT WILL BE MADE BY					
<b>CONTRACTING OFFICER WILL COMPLETE ITEM 28 OR 29 AS APPLICABLE</b>											
<input type="checkbox"/> <b>28. NEGOTIATED AGREEMENT</b> (contractor is required to sign this document and return ____ copies to issuing office.) Contractor agrees to furnish and deliver all items or perform all work, requisitions identified on this form and any continuation sheets for the consideration stated in this contract. The rights and obligations of the parties to this contract shall be governed by (a) this contract award, (b) the solicitation, and (c) the clauses, representations, certifications, and specifications incorporated by reference in or attached to this contract.						<input type="checkbox"/> <b>29. AWARD</b> (Contractor is not required to sign this document.) Your offer on this solicitation, is hereby accepted as to the items listed. This award consummates the contract, which consists of (a) the Government solicitation and your offer, and (b) this contract award. No further contractual document is necessary.					
30A. NAME AND TITLE OF CONTRACTOR OR PERSON AUTHORIZED TO SIGN (Type or print)						31A. NAME OF CONTRACTING OFFICER (Type or print)					
30B. SIGNATURE				30C. DATE		31B. UNITED STATES OF AMERICA  BY				31C. AWARD DATE	

DITCH NO. 1 AND DITCH NO. 6  
PEMISCOT COUNTY, MISSOURI  
ST. FRANCIS BASIN PROJECT - CONSTRUCTION

SECTION B  
SUPPLIES OR SERVICES AND PRICES/COSTS

County Bridge at Mile 1.21

<u>ITEM</u>	<u>DESCRIPTION</u>	<u>QUANTITY</u>	<u>U/M</u>	<u>U/P</u>	<u>AMOUNT</u>
0001	Clearing and Grubbing	1	JB	<u>XXX.XX</u>	\$_____
0002	Excavation	1	JB	<u>XXX.XX</u>	\$_____
0003	Roadway and Field Access Ramp Embankments	1	JB	<u>XXX.XX</u>	\$_____
0004	Aggregate Surfacing	1	JB	<u>XXX.XX</u>	\$_____
0005	Finish Dressing, Fertilizing, Seeding, and Mulching	1	JB	<u>XXX.XX</u>	\$_____
0006	Filter Material	310	TN	____.____	\$_____
0007	Riprap	620	TN	____.____	\$_____
0008	Grout for Riprap	1	JB	<u>XXX.XX</u>	\$_____
0009	Concrete, Piling, Precast, Prestressed, 14-inch Square	930	LF	____.____	\$_____
0010	Cast in Place Structural Concrete	1	JB	<u>XXX.XX</u>	\$_____
0011	Corrugated Metal Pipe, 24-inch	150	LF	____.____	\$_____
0012	Flared End Section, 24-inch	8	EA	____.____	\$_____
0013	Guardrail	1	JB	<u>XXX.XX</u>	\$_____
0014	Environmental Protection	1	JB	<u>XXX.XX</u>	\$_____
0015	Bridge at Mile 1.21	1	JB	<u>XXX.XX</u>	\$_____
SUBTOTAL FOR ITEMS 0001 THROUGH 0015					\$_____

DITCH NO. 1 AND DITCH NO. 6  
PEMISCOT COUNTY, MISSOURI  
ST. FRANCIS BASIN PROJECT – CONSTRUCTION

SECTION B  
SUPPLIES OR SERVICES AND PRICES/COSTS

County Bridge at Mile 2.95

<u>ITEM</u>	<u>DESCRIPTION</u>	<u>QUANTITY</u>	<u>U/M</u>	<u>U/P</u>	<u>AMOUNT</u>
0016	Clearing and Grubbing	1	JB	<u>XXX.XX</u>	\$_____
0017	Excavation	1	JB	<u>XXX.XX</u>	\$_____
0018	Roadway and Field Access Ramp Embankments	1	JB	<u>XXX.XX</u>	\$_____
0019	Aggregate Surfacing	1	JB	<u>XXX.XX</u>	\$_____
0020	Finish Dressing, Fertilizing, Seeding, and Mulching	1	JB	<u>XXX.XX</u>	\$_____
0021	Filter Material	283	TN	____.____	\$_____
0022	Riprap	494	TN	____.____	\$_____
0023	Grout for Riprap	1	JB	<u>XXX.XX</u>	\$_____
0024	Concrete, Piling, Precast, Prestressed, 14-inch Square	202	LF	____.____	\$_____
0025	Cast in Place Structural Concrete	1	JB	<u>XXX.XX</u>	\$_____
0026	Corrugated Metal Pipe, 24-inch	220	LF	____.____	\$_____
0027	Flared End Section, 24-inch	7	EA	____.____	\$_____
0028	Guardrail	1	JB	<u>XXX.XX</u>	\$_____
0029	Environmental Protection	1	JB	<u>XXX.XX</u>	\$_____
0030	Bridge at Mile 2.95	1	JB	<u>XXX.XX</u>	\$_____

SUBTOTAL FOR ITEMS 0016 THROUGH 0030      \$\_\_\_\_\_

TOTAL FOR COMPLETE BRIDGES      \$\_\_\_\_\_

AT MILES 1.21 AND 2.95 ITEMS 0001 THRU 0030      \$\_\_\_\_\_

NOTE: Bidders shall furnish unit prices for all items listed on the schedule of bid items which require unit prices. If the bidder fails to insert a unit price in the appropriate blank for required items, but does furnish an extended total or an estimated amount for such items, the Government will deem his unit price to be the quotient obtained by dividing the extended amount for that line item by the quantity. IF THE BIDDER OMITTS BOTH THE UNIT PRICE AND THE EXTENDED ESTIMATED AMOUNT FOR ANY ITEM, HIS BID WILL BE DECLARED NONRESPONSIVE.

Award will be made as a whole to one bidder.

All quantities are estimated where unit is given as "JB" or "EA".

If a bid or modification to a bid based on unit prices is submitted and provides for a lump sum adjustment to the total estimated cost, the application of the lump sum adjustment to each unit price, including lump sum units, in bid schedule must be stated, or, if it is not stated, the bidder agrees that the lump sum adjustment shall be applied on a pro rata basis to every unit price in the bid schedule.

Bidders are cautioned to read the contract clause entitled "Required Central Contractor Registration" located in Section 00700.



INVITATION: DACW66-99-B-0018

PLANT AND EQUIPMENT SCHEDULE

[TO BE ATTACHED TO BID FORM]

AVAILABLE PLANT TO BE USED

\* \_\_\_\_\_

No.	TYPE	CAPACITY	MANUFACTURER	AGE & CONDTION	LOCATION
			* _____		
			* _____		

\*PROVIDE SEPARATE TABLE FOR EACH TYPE OF EQUIPMENT SUCH AS CONCRETE PLANT, MATERIAL HANDLING, HAULING, ETC. USE ADDITIONAL PAGE IF NECESSARY.

ENG FORM 1619-R

**NOTICE OF REQUIREMENT FOR AFFIRMATIVE ACTION TO ENSURE EQUAL  
EMPLOYMENT OPPORTUNITY FOR CONSTRUCTION. (FEB 1999)**

a. The offeror's attention is called to the Equal Opportunity Clause and the Affirmative Action Compliance Requirements for Construction clause of this solicitation.

b. The goals for minority and female participation, expressed in percentage terms for the Contractor's aggregate workforce in each trade on all construction work in the covered area, are as follows:

Goals for minority participation		:	Goals for female participation
for each trade		:	for each trade
SMSA Counties	32.3	:	
Non-SMSA Counties	26.5	:	6.9

These goals are applicable to all the Contractor's construction work performed in the covered area. If the Contractor performs construction work in a geographical area located outside of the covered area, the Contractor shall apply the goals established for the geographical area where the work is actually performed. Goals are published periodically in the Federal Register in notice form, and these notices may be obtained from any Office of Federal Contract Compliance Programs office.

c. The Contractor's compliance with Executive Order 11246, as amended, and the regulations in 41 CFR 60-4 shall be based on (1) its implementation of the Equal Opportunity clause, (2) specific affirmative action obligations required by the clause entitled "Affirmative Action Compliance Requirements for Construction," and (3) its efforts to meet the goals. The hours of minority and female employment and training must be substantially uniform throughout the length of the contract, and in each trade. The Contractor shall make a good faith effort to employ minorities and women evenly on each of its projects. The transfer of minority or female employees or trainees from Contractor to Contractor, or from project to project, for the sole purpose of meeting the Contractor's goals shall be a violation of the contract, Executive Order 11246, as amended, and the regulations in 41 CFR 60-4. Compliance with the goals will be measured against the total work hours performed.

d. The Contractor shall provide written notification to the Deputy Assistant Secretary for Federal Contract Compliance, U.S. Department of Labor, within 10 working days following award of any construction subcontract in excess of \$10,000 at any tier for construction work under the contract resulting from this solicitation. The notification shall list the -

(1) Name, address and telephone number of the subcontractor;

(i) Employer identification number of the subcontractor:

(2) Estimated dollar amount of the subcontract;

(3) Estimated starting and completion dates of the subcontract; and

(4) Geographical area in which the subcontract is to be performed.

e. As used in this Notice, and in the contract resulting from this Solicitation, the “covered area” is Economic Area 055, Memphis, TN, as follows:

SMSA Counties:

4920 Memphis, TN-AR-MS-----32.3  
AR Crittenden; MS DeSoto, TN Shelby, TN Tipton

Non-SMSA Counties.....26.5

AR Clay; AR Craighead; AR Cross, AR Greene; AR Lawrence; AR Lee,  
AR Mississippi; AR Phillips, AR Poinsett; AR Randolph; AR St. Francis;  
MS Alcorn; MS Benton; MS Bolivar; MS Clahoun; MS Carroll;  
MS Chickasaw; MS Clay; MS Coahoma; MS Grenada; MS Itawamba;  
MS Lafayette; MS Lee; MS Leflore; MS Marshall; MS Monroe;  
MS Montgomery; MS Panola; MS Pontotoc; MS Prentiss; MS Quitman;  
MS Sunflower; MS Tallahatchie; MS Tate; MS Tippah; MS Tishomingo;  
MS Union; MS Washington; MS Webster; MS Yalobusha; MO Dunklin;  
MO New Madrid; MO Pemiscot, TN Benton; TN Carroll, TN Chester;  
TN Crockett; TN Decator; TN Dyer; TN Fayette, TN Gibson; TN Hardeman  
TN Hardin; TN Haywood; TN Henderson; TN Henry; TN Lake;  
TN Lauderdale; TN McNairy; TN Madison; TN Obion;  
TN Weakley.

(FAR 52.222-23)

**General Decision Number MO990001**

General Decision Number MO990001  
 Superseded General Decision No. MO980001  
 State: Missouri Construction Type:  
 HEAVY  
 HIGHWAY  
 County(ies):  
 STATEWIDE  
 HEAVY AND HIGHWAY CONSTRUCTION PROJECTS

Modification Number	Publication Date
0	03/12/1999
1	04/09/1999
2	05/07/1999
3	06/11/1999
4	07/09/1999

COUNTY(ies):

STATEWIDE

\* CARP0007M 04/01/1999

	Rates	Fringes
CASS (Richards-Gebauer AFB ONLY), CLAY, JACKSON, PLATTE AND RAY COUNTIES		
CARPENTERS & PILEDRIVERS	22.95	5.95

-----  
 CARP0008C 05/03/1995

	Rates	Fringes
ST. LOUIS COUNTY AND CITY		
CARPENTERS	23.19	4.77

-----  
 CARP0011A 05/01/1997

	Rates	Fringes
CARPENTERS & PILEDRIVERS:		
JEFFERSON AND ST. CHARLES COUNTIES	23.19	4.60
FRANKLIN COUNTY	21.08	4.60
WARREN COUNTY	21.08	4.60
LINCOLN COUNTY	20.69	4.60
PIKE, ST. FRANCOIS, AND WASHINGTON COUNTIES	19.74	4.60
BUCHANAN, CASS, CLINTON, JOHNSON AND LAFAYETTE COUNTIES	18.78	4.00
ATCHISON, ANDREW, BATES, CALDWELL, CARROLL, DAVIESS, DEKALB, GENTRY, GRUNDY, HARRISON, HENRY, HOLT, LIVINGSTON, MERCER, NODAWAY, ST. CLAIR, SALINE AND WORTH COUNTIES	18.13	4.00
CAMDEN, CEDAR, CHRISTIAN, DADE, DALLAS, DOUGLAS, GREENE, HICKORY, LACLEDE, OZARK, POLK, STONE, TANEY, VERNON, WEBSTER, AND WRIGHT COUNTIES	17.88	4.00
CRAWFORD, DENT, GASCONADE, IRON, MADISON, MARIES, MONTGOMERY, PHELPS, PULASKI, REYNOLDS, SHANNON, AND TEXAS COUNTIES	19.03	3.80
AUDRAIN, BOONE, COOPER, AND HOWARD		

COUNTIES	20.48	4.80
BENTON, MORGAN AND PETTIS COUNTIES	19.18	4.80
LEWIS, MARION, AND RALLS COUNTIES	20.48	4.80
CALLAWAY, COLE, MILLER, MONITEAU, AND OSAGE COUNTIES	20.48	4.80
ADAIR, CLARK, KNOX, PUTNAM, SCHUYLER, SCOTLAND AND SULLIVAN COUNTIES	20.48	4.80
CHARITON, LINN, MACON, MONROE, RANDOLPH, AND SHELBY COUNTIES	20.48	4.80
BOLLINGER, BUTLER, CAPE GIRARDEAU, DUNKLIN, MISSISSIPPI, NEW MADRID, PEMISCOT, PERRY, STE. GENEVIEVE, SCOTT, STODDARD AND WAYNE COUNTIES	20.65	3.70
CARTER, HOWELL, OREGON AND RIPLEY COUNTIES	19.78	3.70
-----		
CARP0311H 08/01/1998		
	Rates	Fringes
BARRY, BARTON, JASPER, LAWRENCE, MCDONALD AND NEWTON COUNTIES CARPENTERS:		
Carpenters and Lathers	15.32	5.00
Millwrights and Piledrivers	15.57	5.00
-----		
ELEC0001B 06/01/1999		
	Rates	Fringes
BOLLINGER, BUTLER, CAPE GIRARDEAU, CARTER, DUNKLIN, FRANKLIN, IRON, JEFFERSON, LINCOLN, MADISON, MISSISSIPPI, NEW MADRID, PEMISCOT, PERRY, REYNOLDS, RIPLEY, ST. CHARLES, ST. FRANCOIS, ST. LOUIS (City and County), STE. GENEVIEVE, SCOTT, STODDARD, WARREN, WASHINGTON AND WAYNE COUNTIES ELECTRICIANS		
	25.65	13.74
-----		
ELEC0002D 09/01/1998		
	Rates	Fringes
ADAIR, AUDRAIN, BOONE, CALLAWAY, CAMDEN, CARTER, CHARITON, CLARK, COLE, COOPER, CRAWFORD, DENT, FRANKLIN, GASCONADE, HOWARD, HOWELL, IRON, JEFFERSON, KNOX, LEWIS, LINCON, LINN, MACON, MARIES, MARION, MILLER, MONITEAU, MONROE, MONTGOMERY, MORGAN, OREGON, OSAGE, PERRY, PHELPS, PIKE, PULASKI, PUTNAM, RALLS, RANDOLPH, REYNOLDS, RIPLEY, ST. CHARLES, ST. FRANCOIS, ST. LOUIS (City and County), STE. GENEVIEVE, SCHUYLER, SCOTLAND, SHANNON, SHELBY, SULLIVAN, TEXAS, WARREN AND WASHINGTON COUNTIES. LINE CONSTRUCTION:		
Lineman & Cable Splicer	24.42	41% + 2.00
Groundman Equipment Operator	21.87	41% + 2.00
Groundman Winch Driver	17.97	41% + 2.00
Groundman, Groundman Driver	17.31	41% + 2.00
-----		
ELEC0053F 09/01/1996		
	Rates	Fringes
BATES, BENTON, CARROLL, CASS, CLAY, HENRY, JACKSON, JOHNSON, LAFAYETTE, PETTIS, PLATTE, RAY, AND SALINE COUNTIES. LINE CONSTRUCTION:		
Lineman	24.46	7.88
Lineman Operator	22.84	7.50

Groundman Powderman	17.12	6.11
Groundman	16.27	5.91
ANDREW, ATCHINSON, BARRY, BARTON, BUCHANAN, CALDWELL, CEDAR, CHRISTIAN, CLINTON, DADE, DALLAS, DAVIESS, DE KALB, DOUGLAS, GENTRY, GREENE, GRUNDY, HARRISON, HICKORY, HOLT, JASPER, LACLEDE, LAWRENCE, LIVINGSTON, McDONALD, MERCER, NEWTON, NODAWAY, OZARK, POLK, ST. CLAIR, STONE, TANEY, VERNON, WEBSTER, WORTH, AND WRIGHT COUNTIES.		
LINE CONSTRUCTION:		
Lineman	23.53	7.66
Lineman Operator	22.36	7.37
Groundman Powderman	16.45	5.95
Groundman	15.22	5.65
-----		
ELEC0095C 06/01/1999		
	Rates	Fringes
BARRY, BARTON, CEDAR, CRAWFORD, DADE, JASPER, LAWRENCE, MCDONALD, NEWTON, ST CLAIR, AND VERNON COUNTIES		
ELECTRICIANS:		
Electricians	18.51	5.52
Cable Splicers	18.86	5.52
-----		
ELEC0124I 08/31/1998		
	Rates	Fringes
BATES, BENTON, CARROLL, CASS, CLAY, COOPER, HENRY, JACKSON, JOHNSON, LAFAYETTE, MORGAN, PETTIS, PLATTE, RAY AND SALINE COUNTIES:		
ELECTRICIANS	24.06	7.85+10%
-----		
ELEC0257C 03/01/1995		
	Rates	Fringes
AUDRAIN (Except, Cuivre Township), BOONE, CALLAWAY, CAMDEN, CHARITON, COLE, CRAWFORD, DENT, GASCONADE, HOWARD, MARIES, MILLER, MONITEAU, OSAGE, PHELPS AND RANDOLPH COUNTIES:		
Electricians	18.68	4.95+13%
Cable Splicers	18.93	4.95+13%
-----		
ELEC0350B 12/01/1998		
	Rates	Fringes
ADAIR, AUDRAIN (East of Highway 19), CLARK, KNOX, LEWIS, LINN, MACON, MARION, MONROE, MONTGOMERY, PIKE, PUTNAM, RALLS, SCHUYLER, SCOTLAND, SHELBY AND SULLIVAN COUNTIES		
ELECTRICIANS	22.39	7.09
-----		
* ELEC0453D 09/01/1998		
	Rates	Fringes
CHRISTIAN, DALLAS, DOUGLAS, GREENE, HICKORY, OREGON, OZARK, SHANNON, TEXAS, WEBSTER AND WRIGHT COUNTIES		
ELECTRICIANS	18.85	4.32+9%
PULASKI COUNTY		
ELECTRICIANS	19.89	4.32+9%
HOWELL, LACLEDE, POLK, STONE AND TANEY COUNTIES		
ELECTRICIANS	13.75	3.77+9%
-----		
ELEC0545D 06/01/1998		
	Rates	Fringes
ANDREW, BUCHANAN, CLINTON, DEKALB, ATCHISON, HOLT, MERCER,		

GENTRY, HARRISON, DAVIESS, GRUNDY, WORTH, LIVINGSTON, NODAWAY,  
AND CALDWELL COUNTIES

ELECTRICANS	21.72	2.35+19.5%
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ELEC0702D 09/04/1995

	Rates	Fringes
--	-------	---------

BOLLINGER, BUTLER, CAPE GIRARDEAU, DUNKLIN, MADISON, MISSISSIPPI,  
NEW MADRID, PEMISCOT, SCOTT, STODDARD AND WAYNE COUNTIES

LINE CONSTRUCTION:

Lineman	25.50	17%+2.00
Groundman Equipment Operator (all crawler type equipment D-4 and larger)	21.87	17%+2.00
Groundman - Class A	15.45	17%+2.00

-----  
ENGI0016A 05/01/1999

	Rates	Fringes
--	-------	---------

BARRY, BARTON, CAMDEN, CEDAR, CHRISTIAN, DADE, DALLAS, DOUGLAS,  
GREENE, JASPER, LAWRENCE, HICKORY, LACLEDE, MCDONALD, NEWTON,  
OZARK, POLK, ST. CLAIR, STONE, TANEY, VERNON, WEBSTER AND  
WRIGHT COUNTIES

POWER EQUIPMENT OPERATORS

GROUP 1	19.32	4.95
GROUP 2	18.97	4.95
GROUP 3	18.77	4.95
GROUP 4	16.72	4.95

POWER EQUIPMENT OPERATORS CLASSIFICATIONS

GROUP 1: Asphalt finishing machine & trench widening spreader;  
asphalt plant console operator; autograder; automatic slipform  
paver; backhoe; blade operator - all types; boat operator -  
tow; boilers-2; central mix concrete plant operator; clamshell  
operator; concrete mixer paver; crane operator; derrick or  
derrick trucks; ditching machine; dozer operator; dragline  
operator; dredge booster pump; dredge engineman; dredge operator;  
drill cat with compressor mounted on cat; drilling or boring  
machine rotary self-propelled; highloader; hoisting engine - 2  
active drums; launch hammer wheel; locomotive operator; -  
standard guage; mechanic and welders; mucking machine; off-road  
trucks; piledriver operator; pitman crane operator; push cat  
operator; quad trac; scoop operator - all types; shovel operator;  
sideboom cats; skimmer scoop operators; trenching machine  
operator; truck crane.

GROUP 2: A-frame; asphalt hot-mix silo; asphalt plant fireman  
(drum or boiler); asphalt plant man; asphalt plant man; asphalt  
plant mixer operator; asphalt roller operator; backfiller  
operator; barber-greene loader; boat operator (bridges and dams);  
chip spreader; concrete mixer operator - skip loader; concrete  
plant operator; concrete pump operator; crusher operator; dredge  
oiler; elevating grader operator; fork lift; greaser-fleet;  
hoisting engine - 1; locomotive operator - narrow gauge; multiple  
compactor; pavement breaker; powerbroom - self-propelled; power  
shield; rooter; side discharge concrete spreader; slip form  
finishing machine; stumpcutter machine; throttle man; tractor  
operator (over 50 h.p.); winch truck.

GROUP 3: Boilers - 1; chip spreader (front man); churn drill  
operator; clef plane operator; concrete saw operator (self-  
propelled); curb finishing machine; distributor operator;

finishing machine operator; flex plane operator; float operator; form grader operator; pugmill operator; roller operator, other than high type asphalt; screening & washing plant operator; siphons & jets; sub-grading machine operator; spreader box operator, self-propelled (not asphalt); tank car heater operator (combination boiler & booster); tractor operator (50 h.p. or less); Ulmac, Ulric or similar spreader; vibrating machine operator, not hand;

GROUP 4: Grade checker; Oiler; Oiler-Driver

#### HOURLY PREMIUMS:

The following classifications shall receive \$ .25 above GROUP 1 rate: Clamshells - 3 yds. or over; Cranes - Rigs or Piledrivers, 100 ft. of boom or over (including jib); Draglines - 3 yds. or over; Hoists - each additional active drum over 2 drums; Shovels - 3 yds. or over;

The following classifications shall receive \$ .50 above GROUP 1 rate: Tandem scoop operator; Cranes - Rigs or Piledrivers, 150 ft. to 200 ft. of boom (including jib); Tandem scoop.

The following classifications shall receive \$ .75 above GROUP 1 rate: Cranes - Rigs or Piledrivers, 200 ft. of boom or over (including jib.).

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ENGI0101A 05/01/1998

BUCHANAN, CASS (Except that part of the geographic boundaries of the Richard-Gebaur Air Force Base), CLINTON AND LAFAYETTE COUNTIES

#### POWER EQUIPMENT OPERATORS

	Rates	Fringes
GROUP 1	19.55	6.55
GROUP 2	19.15	6.55
GROUP 3	17.15	6.55

ANDREW, ATCHISON, BATES, BENTON, CALDWELL, CARROLL, CHARITON, COOPER, DAVIESS, DEKALB, GENTRY, GRUNDY, HARRISON, HENRY, HOLT, HOWARD, JOHNSON, LINN, LIVINGSTON, MERCER, NODAWAY, PETTIS, SALINE, SULLIVAN AND WORTH COUNTIES

#### POWER EQUIPMENT OPERATORS

GROUP 1	19.55	6.55
GROUP 2	19.15	6.55
GROUP 3	17.15	6.55

#### POWER EQUIPMENT OPERATORS CLASSIFICATIONS

GROUP 1: Asphalt roller operator, finish; asphalt paver and spreader; asphalt plant operator; auto grader or trimmer or sub-grader; backhoe; blade operator (all types); boilers - 2; booster pump on dredge; bulldozer operator; boring machine (truck or crane mounted); clamshell operator; concrete mixer paver; concrete plant operator; concrete pump operator; crane operator; derrick or derrick trucks; ditching machine; dragline operator; dredge engineman; dredge operator; drill cat with compressor mounted (self-contained) or similar type self-propelled rotary drill (not air tract); drilling or boring machine (rotary-self-propelled); finishing machine operator; greaser; high loader-fork lift-skid loader (all types); hoisting engineer (2 active drums); locomotive operator (standard guage); mechanics and welders (field and plants); mucking machine operator; pile drive operator; pitman crane or boom truck (all types); push cat; quad track; scraper operators (all types); shovel operator; sideboom cats; side discharge



spreader; skimmer scoop operators; slip form paver operator (CMI, Rex, Gomeco or equal); la tourneau rooter (all tiller types); tow boat operator; truck crane; wood and log chippers (all types).

GROUP 2: A-frame truck operator; articulated dump truck; back filler operator; boilers (1); chip spreader; churn drill operator; compressor; concrete mixer operator, skip loader; concrete saws (self-propelled); conveyor operator; crusher operator; distributor operator; elevating grader operator; farm tractor (all attachments); fireman rig; float operator; form grade operator; hoisting engine (one drum); maintenance operator; multiple compactor; pavement breaker, self-propelled hydra-hammer (or similar type); paymill operator; power shield; pumps; roller operator (with or without blades); screening and washing plant; self-propelled street broom or sweeper; siphons and jets; straw blower; stump cutting machine; siphons and jets; tank car heater operator (combination boiler and booster); welding machine; vibrating machine operator (not hand held); welding machine.

GROUP 3: Oiler; oiler driver; mechanic.

HOURLY PREMIUMS:

THE FOLLOWING CLASSIFICATIONS SHALL RECEIVE (\$ .25) ABOVE GROUP 1 RATE: Dragline operator - 3 yds. & over; shovel 3 yds. & over; clamshell 3 yds. & over; Crane, rigs or piledrivers, 100' of boom or over (incl. jib.), hoist - each additional active drum over 2 drums

THE FOLLOWING CLASSIFICATIONS SHALL RECEIVE (\$ .50) ABOVE GROUP 1 RATE: Tandem scoop operator; crane, rigs or piledrivers 150' to 200' of boom (incl. jib.)

THE FOLLOWING CLASSIFICATIONS SHALL RECEIVE (\$ .75) ABOVE GROUP 1 RATE: Crane rigs, or piledrivers 200 ft. of boom or over (including jib.)

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ENGI0101E 04/01/1999

CASS (Richards-Gebaur Air Force Base ONLY), CLAY, JACKSON, PLATTE AND RAY COUNTIES

POWER EQUIPMENT OPERATORS:

	Rates	Fringes
GROUP 1	21.44	7.22
GROUP 2	20.40	7.22
GROUP 3	15.93	7.22
GROUP 4	19.28	7.22

POWER EQUIPMENT OPERATORS CLASSIFICATIONS

GROUP 1: Asphalt roller operator, finish; asphalt paver and spreader; asphalt plant operator; auto grader or trimmer or sub-grader; backhoe; blade operator (all types); boilers-2; booster pump on dredge; boring machine (truck or crane mounted); bulldozer operator; clamshell operator; concrete cleaning decontamination machine operator; concrete mixer paver; concrete plant operator; concrete pump operator; crane operator; derrick or derrick trucks; ditching machine; dragline operator; dredge engineman; dredge operator; drillcat with compressor mounted (self-contained) or similar type self propelled rotary drill (not air tract); drilling or boring machine (rotary - self-propelled); finishing machine operator; greaser; heavy equipment robotics operator/mechanic; horizontal directional drill operator; horizontal directional drill locator; loader-forklift - skid loader (all types); hoisting engineer (2 active drums); locomotive operator (standard guage);

master environmental maintenance mechanic; mechanics and welders (field and plants); mucking machine operator; piledrive operator; pitman crane or boom truck (all types); push cat; quad-track; scraper operators (all types); shovel operator; side discharge spreader; sideboom cats; skimmer scoop operator; slip-form paver (CMI, REX, Gomaco or equal); la tourneau roter (all tiller types); tow boat operator; truck crane; ultra high pressure waterjet cutting tool system operator/mechanic; vacuum blasting machine operator/mechanic; wood and log chippers (all types)

GROUP 2: "A" Frame truck operator; articulated dump truck; back filler operator; boilers (1); chip spreader; churn drill operator; concrete mixer operator, skip loader; concrete saws (self-propelled); conveyor operator; crusher operator; distributor operator; elevating grader operator; farm tractor (all attachments); fireman rig; float operator; form grader operator; hoisting engine (1 drum); maintenance operator; multiple compactor; pavement breaker, self-propelled hydraulic hammer (or similar type); power shield; paymill operator; pumps; siphons and jets; stump cutting machine; tank car heater operator (combination boiler and booster); compressor; roller operator (with or without blades); screening and washing plant; self-propelled street broom or sweeper; straw blower; tank car heater operator (combination boiler and booster); vibrating machine operator (not hand held)

GROUP 3: Oilers

GROUP 4: Oiler Driver (All Types)

#### FOOTNOTE:

##### HOURLY PREMIUMS

FOLLOWING CLASSIFICATIONS SHALL RECEIVE (\$.25) ABOVE GROUP 1 RATE: Clamshells - 3 yd. capacity or over; Cranes or rigs, 80 ft. of boom or over (including jib); Draglines, 3 yd. capacity or over; Piledrivers 80 ft. of boom or over (including jib); Shovels & backhoes, 3 yd. capacity or over.

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ENGI0513D 05/01/1999

	Rates	Fringes
FRANKLIN, JEFFERSON, LINCOLN, ST CHARLES, AND WARREN COUNTIES		
POWER EQUIPMENT OPERATORS:		

GROUP 1	23.37	9.94
GROUP 2	22.07	9.94
GROUP 3	19.07	9.94
GROUP 4	21.62	9.94

##### POWER EQUIPMENT OPERATORS CLASSIFICATIONS

GROUP 1: Backhoe, Cable; Backhoe, Hydraulic (2 cu yds bucket and under regardless of attachment, one oiler for 2 or 3, two oiler for 4 through 6); Backhoe, Hydraulic over 2 cu yds; Cableway; Crane, Crawler or Truck; Crane, Hydraulic - Truck or Cruiser mounted, 16 tons and over; Crane, Locomotive; crane with boom including jib over 100 ft from pin to pin; Crane using rock socket tool; Derrick, Steam; Derrick Car and Derrick Boat; Dragline, 7 cu yds and over; Dredge; Gradall, Crawler or tire mounted; Locomotive, Gas, Steam & other powers; Pile Driver, Land or Floating; Scoop, Skimmer; Shovel, Power (Electric, Gas, Steam or other powers); Shovel, Power (7 cu yds and over); Switch Boat; Whirley; Air Tugger with air compressor; Anchor Placing Barge; Asphalt Spreader; Athey Force Feeder Loader, self-propelled;

Backfilling Machine; Boat Operator - Push Boat or Tow Boat (job site); Boiler, High Pressure Breaking in Period; Boom Truck, Placing or Erecting; Boring Machine, Footing Foundation; Bullfloat; Cherry Picker; Combination Concrete Hoist and Mixer (such as Mixermobile); Compressor, Two 125 CFM and under; Compressor, Two through Four over 125 CFM; Compressor when operator runs throttle; Concrete Breaker (Truck or Tractor mounted); Concrete Pump (such as Pumpcrete machine); Concrete Saw (self-propelled); Concrete Spreader; Conveyor, Large (not self-propelled) hoisting or moving brick and concrete into, or into and on floor level, one or both; Crane, Climbing (such as Linden); Crane, Hydraulic - Rough Terrain, self-propelled; Crane, Hydraulic - Truck or Cruiser mounted - under 16 tons; Drilling machine - Self-powered, used for earth or rock drilling or boring (wagon drills and any hand drills obtaining power from other sources including concrete breakers, jackhammers and Barco equipment no engineer required); Elevating Grader; Engine Man, Dredge; Excavator or Powerbelt Machine; Finishing Machine, self-propelled oscillating screed; Forklift; Generators, Two through Six 30 KW or over; Grader, Road with power blade; Greaser; Highlift; Hoist, Concrete and Brick (Brick cages or concrete skips operating or on tower, Towermobile, or similar equipment); Hoist, Three or more drums in use; Hoist, Stack; Hydro-Hammer; Lad-A-Vator, hoisting brick or concrete; Loading Machine such as Barber-Greene; Mechanic on job site

GROUP 2: Air Tugger with plant air; Boiler (for power or heating shell of building or temporary enclosures in connection with construction work); Boiler, Temporary; Compressor, One over 125 CFM; Compressor, truck mounted; Conveyor, Large (not self-propelled); Conveyor, Large (not self-propelled) moving brick and concrete (distributing) on floor level; Curb Finishing Machine; Ditch Paving Machine; Elevator (outside); Endless Chain Hoist; Fireman (as required); Form Grader; Hoist, One Drum regardless of size (except brick or concrete); Lad-A-Vator, other hoisting; Manlift; Mixer, Asphalt, over 8 cu ft capacity; Mixer, one bag capacity or less; Mixer, without side loader, two bag capacity or more; Mixer, with side loader, regardless of size, not Paver; Mud Jack (where mud jack is used in conjunction with an air compressor, operator shall be paid \$ .55 per hour in addition to his basic hourly rate for covering both operations); Pug Mill operator; Pump, Sump - self powered, automatic controlled over 2"; Scissor Lift (used for hoisting); Skid Steer Loader; Sweeper, Street; Tractor, small wheel type 50 HP and under with grader blade and similar equipment; Welding Machine, One over 400 amp; Winch, operating from truck

GROUP 3: Boat operator - outboard motor, job site; Conveyors (such as Con-Vay-It) regardless of how used; Elevator (inside); Heater operator, 2 through 6; Sweeper, Floor

GROUP 4: Crane type

#### HOURLY PREMIUMS:

Backhoe, Hydraulic 2 cu yds or less without oiler - \$2.00; Crane, climbing (such as Linden) - \$ .50; Crane, Pile Driving and Extracting - \$ .50; Crane with boom (including job) over 100 ft from pin to pin - \$ .50 (add \$ .01 per foot to maximum of \$2.00); Crane, using rock socket tool - \$ .50; Derrick, diesel, gas or electric hoisting material and erecting steel (150 ft or more above ground) - \$ .50; Dragline, 7 cu yds and over - \$ .50;

Hoist, Three or more drums in use - \$ .50; Scoop, Tandem - \$ .50; Shovel, Power - 7 cu yds and over - \$ .50; Tractor, Tandem Crawler - \$ .50; Tunnel, man assigned to work in tunnel or tunnel shaft - \$ .50; Wrecking, when machines are working on second floor or higher - \$ .50

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 ENGI0513G 05/01/1999

	Rates	Fringes
ADAIR, AUDRAIN, BOLLINGER, BOONE, BUTLER, CALLAWAY, CAPE		
GIRARDEAU, CARTER, CLARK, COLE, CRAWFORD, DENT, DUNKLIN,		
GASCONADE, HOWELL, IRON, KNOX, LEWIS, MACON, MADISON, MARIES,		
MARION, MILLER, MISSISSIPPI, MONITEAU, MONROE, MONTGOMERY,		
MORGAN, NEW MADRID, OREGON, OSAGE, PEMISCOT, PERRY, PHELPS, PIKE,		
PULASKI, PUTNAM, RALLS, RANDOLPH, REYNOLDS, RIPLEY, ST. FRANCOIS,		
STE. GENEVIEVE, SCHUYLER, SCOTLAND, SCOTT, SHANNON, SHELBY,		
STODDARD, TEXAS, WASHINGTON, AND WAYNE COUNTIES		

POWER EQUIPMENT OPERATORS

GROUP 1	19.80	9.92
GROUP 2	19.45	9.92
GROUP 3	19.25	9.92
GROUP 4	15.60	9.92

POWER EQUIPMENT OPERATORS CLASSIFICATIONS

GROUP 1: Asphalt finishing machine & trench widening spreader, asphalt plant console operator; autograder; automatic slipform paver; back hoe; blade operator - all types; boat operator tow; boiler two; central mix concrete plant operator; clam shell operator; concrete mixer paver; crane operator; derrick or derrick trucks; ditching machine; dozer operator; dragline operator; dredge booster pump; dredge engineman; dredge operator; drill cat with compressor mounted on cat; drilling or boring machine rotary self-propelled; highloader; hoisting engine 2 active drums; launchhammer wheel; locomotive operator standrad guage; mechanics and welders; mucking machine; piledriver operator; pitman crane operator; push cat operator; quad-trac; scoop operator; sideboom cats; skimmer scoop operator; trenching machine operator; truck crane, shovel operator.

GROUP 2: A-Frame; asphalt hot-mix silo; asphalt roller operator asphalt plant fireman (drum or boiler); asphalt plant man; asphalt plant mixer operator; backfiller operator; barber-greene loader; boat operator (bridge & dams); chip spreader; concrete mixer operator skip loader; concrete plant operator; concrete pump operator; dredge oiler; elevating graded operator; fork lift; grease fleet; hoisting engine one; locomotive operator narrow guage; multiple compactor; pavement breaker; powerbroom self-propelled; power shield; rooter; slip-form finishing machine; stumpcutter machine; side discharge concrete spreader; throttleman; tractor operator (over 50 hp); winch truck; asphalt roller operator; crusher operator.

GROUP 3: Spreader box operator, self-propelled not asphalt; tractor operator (50 h.p. or less); boilers one; chip spreader (front man); churn drill operator; compressor over 105 CFM 2-3 pumps 4" & over; 2-3 light plant 7.5 KWA or any combination thereof; clef plane operator; compressor maintenance operator 2 or 3; concrete saw operator (self-propelled); curb finishing mancine; distributor operator; finishing machine operator; flex plane operator; float operator; form grader operator; pugmill operator; riller operator other than high type asphalt; screening

& washing plant operator; siphons & jets; subgrading machine operator; tank car heater (combination boiler & booster); ulmac, ulric or similar spreader; vibrating machine operator; hydrobroom.

GROUP 4: Oiler; grout machine; oiler driver; compressor over 105 CFM one; conveyor operator one; maintenance operator; pump 4" & over one.

FOOTNOTE:

HOURLY PREMIUMS

FOLLOWING CLASSIFICATIONS SHALL RECEIVE (\$.25) ABOVE GROUP 1 RATE: Crane with 3 yds. & over buckets; dragline operator 3 yds. & over; shovel 3 yds. & over; piledrivers all types; clamshell 3 yds. & over; hoists each additional active drum over 2 drums; FOLLOWING CLASSIFICATION SHALL RECEIVE (\$.50) ABOVE GROUP 1 RATE: Tandem scoop operator, crane, rigs over 100 feet (incl. jib) .01 per foot.

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ENGI0513H 05/01/1999

	Rates	Fringes
ST. LOUIS CITY AND COUNTY		
POWER EQUIPMENT OPERATORS:		
GROUP 1	23.37	9.94
GROUP 2	23.37	9.94
GROUP 3	21.47	9.94
GROUP 4	18.47	9.94
GROUP 5	18.01	9.94

POWER EQUIPMENT OPERATORS CLASSIFICATIONS

GROUP 1: Backhoe, cable or hydraulic; cableway; crane, crawler or truck; crane, hydraulic-truck or cruiser mounted 16 tons & over; crane locomotive; derrick, steam; derrick car & derrick boat; dragline; dredge; gradall, crawler or tire mounted; locomotive, gas, steam & other powers; pile driver, land or floating; scoop, skimmer; shovel, power (steam, gas, electric, or other powers); switch boat; whirley.

GROUP 2: Air tugger w/air compressor; anchor-placing barge; asphalt spreader; atthey force feeder loader (self-propelled); backfilling machine; backhoe-loader; boat operator-push boat or tow boat (job site); boiler, high pressure breaking in period; boom truck, placing or erecting; boring machine, footing foundation; bull-float; cherry picker; combination concrete hoist & mixer (such as mixer mobile); compressor (when operator runs throttle); concrete breaker (truck or tractor mounted); concrete pump, such as pump-crete machine; concrete saw (self-propelled), concrete spreader; conveyor, large (not self-propelled), hoisting or moving brick and concrete into, or into and on floor level, one or both; crane, hydraulic-rough terrain, self-propelled; crane hydraulic-truck or cruiser mounted-under 16 tons; drilling machines, self-powered use for earth or rock drilling or boring (wagon drills and any hand drills obtaining power from other sources including concrete breakers, jackhammers and barco equipment-no engineer required); elevating grader; engineman, dredge; excavator or powerbelt machine; finishing machine, self-propelled oscillating screed;

forklift; grader, road with power blade; highlift; greaser; hoist, stack, hydro-hammer; loading machine (such as barber-greene); machanic, on job site; mixer, pipe wrapping machines; plant asphalt; plant, concrete producing or ready-mix job site; plant heating-job site; plant mixing-job site; plant power, generating-job site; pumps, two through six self-powered over 2"; pumps, electric submersible, two through six, over 4"; quad-track; roller, asphalt, top or sub-grade; scoop, tractor drawn; spreader box; sub-grader; tie tamper; tractor-crawler, or wheel type with or without power unit, power take-offs and attachments regardless of size; trenching machine; tunnel boring machine; vibrating machine automatic, automatic propelled; welding machines (gasoline or diesel) two through six; well drilling machine

GROUP 3: Conveyor, large (not self-propelled); conveyor, large (not self-propelled) moving brick and concrete distributing) on floor level; mixer two or more mixers of one bag capacity or less; air tugger w/plant air; boiler, for power or heating on construction projects; boiler, temporary; compressor (mounted on truck; curb finishing machine; ditch paving machine; elevator; endless chain hoist; form grader; hoist, one drum regardless of size; lad-a-vator; manlift; mixer, asphalt, over 8 cu. ft. capacity, without side loader, 2 bag capacity or more; mixer, with side loader, regardless of size; pug mill operator; pump, sump-self-powered, automatic controlled over 2" during use in connection with construction work; sweeper, street; welding machine, one over 400 amp.; winch operating from truck; scissor lift (used for hoisting); tractor, small wheel type 50 h.p. & under with grader blade & similar equipment

GROUP 4: Boat operator-outboard motor (job site); conveyor (such as con-vay-it) regardless of how used; sweeper, floor

GROUP 5: Oiler on dredge and on truck crane; crane with boom (including jib), over 100' from pin to pin (add 1 cent per foot to maximum of \$2.00) above basic rate for crane work in tunnel or tunnel shaft, \$ 0.50 above base rate; mud jack where mud jack is used in conjunction with an air compressor operator fifty-five cent per hour additional to his basic rate for covering both operations)

#### HOURLY PREMIUMS:

Backhoe, hydraulic	
2 cu. yds. or under without oiler	\$2.00
Crane, climbing (such as Linden)	.50
Crane, pile driving and extracting	.50
Crane, with boom (including jib) over 100' (from pin to pin) add \$.01 per foot to maximum of	4.00
Crane, using rock socket tool	.50
Derrick, diesel, gas or electric, hoisting material and erecting steel (150' or more above ground)	.50
Dragline, 7 cu. yds. and over	.50
Hoist, three (3) or more drums in use	.50

Scoop, Tandem	.50
Shovel, power - 7 cu. yds. or more	.50
Tractor, tandem crawler	.50
Tunnel, man assigned to work in tunnel or tunnel shaft	.50
Wrecking, when machine is working on second floor or higher	.50

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IRON0010M 04/01/1999

	Rates	Fringes
BUCHANAN, CASS, CLAY, JACKSON, JOHNSON, LAFAYETTE, PLATTE AND RAY Counties		
IRONWORKERS	21.05	9.78
ANDREW, ATCHISON, BARTON, BATES, BENTON, CALDWELL, CAMDEN, CARROLL, CEDER CHARITON, CHRISTIAN, CLINTON, COOPER, DADE, DALLAS, DAVIESS, DE KALB, GENTRY, GREENE, GRUNDY, HARRISON, HENRY, HICKORY, HOLT, HOWARD, LACLEDE, LINN, LIVINGSTON, MERCER, MONITEAU, MORGAN, NODAWAY, PETTIS, POLK, PUTNAM, RANDOLPH, ST. CLAIR, SALINE, SULLIVAN, VERNON, WEBSTER, WRIGHT and WORTH Counties; and portions of ADAIR, BOONE, MACON, MILLER, and RANDOLPH Counties		
IRONWORKERS	18.05	9.78

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IRON0321C 12/31/1998

	Rates	Fringes
DOUGLAS, HOWELL, OZARK AND TANEY COUNTIES		
IRONWORKERS	15.00	6.41

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IRON0396D 10/07/1998

	Rates	Fringes
ST. LOUIS (City and County), ST. CHARLES, JEFFERSON, IRON, FRANKLIN, LINCOLN, WARREN, WASHINGTON, ST. FRANCOIS, STE. GENEVIEVE, and REYNOLDS Counties; and portions of MADISON, PERRY, BOLLINGER, WAYNE, and CARTER Counties		
IRONWORKERS	22.61	9.90

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IRON0396I 10/07/1998

	Rates	Fringes
AUDRAIN, CALLAWAY, COLE, CRAWFORD, DENT, GASCONADE, MARIES, MONTGOMERY, OSAGE, PHELPS, PIKE, PULASKI, TEXAS, and WRIGHT Counties; and portions of CAMDEN, DOUGLAS, HOWELL, MILLER, OREGON, BOONE, SHANNON, LACLEDE, MONROE, and RALLS Counties		
IRONWORKERS	17.72	9.87

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IRON0577F 06/01/1999

	Rates	Fringes
ADAIR, CLARK, KNOX, LEWIS, MACON, MARION, MONROE, RALLS, SCHUYLER, SCOTLAND, AND SHELBY COUNTIES		
IRONWORKERS	18.20	8.46

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IRON0584E 06/01/1998

	Rates	Fringes
BARRY, JASPER, LAWRENCE, MCDONALD, NEWTON AND STONE Counties		
IRONWORKERS	16.30	6.87

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\* IRON0782D 05/01/1999

	Rates	Fringes
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CAPE GIRARDEAU, MISSISSIPPI, NEW MADRID, SCOTT, & STODDARD  
 Counties; and portions of BOLLINGER, BUTLER, CARTER, DUNKLIN,  
 MADISON, PEMISCOT, PERRY, RIPLEY, and WAYNE Counties

IRONWORKERS:

All Major River Work  
 (Dams, Bridges):  
 Projects \$20 million  
 or more  
 All Other Work

20.15	9.40
18.30	8.32

LABO0042C 03/01/1999

Rates	Fringes
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ST. LOUIS (City and County)

LABORERS:

Plumber Laborers

21.65	6.00
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LABO0042H 03/03/1999

Rates	Fringes
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ST. LOUIS (City and County)

LABORERS:

Laborers, Flagperson  
 Wrecking  
 Dynamiter, Powderman

21.51	6.00
21.39	6.00
22.01	6.00

\* LABO0424B 05/01/1999

Rates	Fringes
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FRANKLIN COUNTY

LABORERS

GROUP 1  
 GROUP 2

19.90	5.90
20.50	5.90

JEFFERSON COUNTY

LABORERS

GROUP 1  
 GROUP 2

19.95	5.90
20.55	5.90

ADAIR, AUDRAIN, BOLLINGER, BOONE, BUTLER, CALLAWAY, CAPE  
 GIRARDEAU, CARTER, CHARITON, CLARK, COLE, COOPER, CRAWFORD, DENT,  
 DUNKLIN, GASCONADE, HOWARD, HOWELL, IRON, KNOX, LEWIS, LINN,  
 MACON, MADISON, MARIES, MARION, MILLER, MISSISSIPPI, MONITEAU,  
 MONROE, NEW MADRID, OREGON, OSAGE, PEMISCOT, PERRY, PHELPS, PIKE,  
 PULASKI, PUTNAM, RALLS, RANDOLPH, REYNOLDS, RIPLEY, ST. FRANCOIS,  
 STE. GENEVIEVE, SCHUYLER, SCOTLAND, SCOTT, SHANNON, SHELBY,  
 STODDARD, SULLIVAN, TEXAS, WASHINGTON, AND WAYNE COUNTIES

LABORERS

GROUP 1  
 GROUP 2

18.45	5.90
19.05	5.90

LINCOLN, MONTGOMERY AND WARREN COUNTIES

LABORERS

GROUP 1  
 GROUP 2

18.70	5.90
19.30	5.90

LABORERS CLASSIFICATIONS

GROUP 1 - General laborer-flagman, carpenter tenders; salamander  
 Tenders; Dump Man; Ticket Takers; loading trucks under bins,  
 hoppers, and conveyors; track man; cement handler; dump man on  
 earth fill; georgie buggy man; material batch hopper man;  
 spreader on asphalt machine; material mixer man (except on  
 manholes); coffer dams; riprap pavers rock, block or brick;  
 scaffolds over ten feet not self-supported from ground up; skip



man on concrete paving; wire mesh setters on concrete paving; all work in connection with sewer, water, gas, gasoling, oil, drainage pipe, conduit pipe, tile and duct lines and all other pipe lines; power tool operator; all work in connection with hydraulic or general dredging operations; form setters, puddlers (paving only); straw blower nozzle man; asphalt plant platform man; chuck tender; crusher feeder; men handling creosote ties or creosote materials; men working with and handling epoxy material; topper of standing trees; feeder man on wood pulverizers, board and willow mat weavers and cable ties on river work; deck hands; pile dike and revetment work; all laborers working on underground tunnels less than 25 ft. where compressed air is not used; abutement and pier hole men working six (6) ft. or more below ground; men working in coffer dams for bridge piers and footing in the river; barco tamper; jackson or any other similar tamp; cutting torch man; liners, curb, gutters, ditch lines; hot mastic kettlemen; hot tar applicator; hand blade operator; mortar men or brick or block manholes; rubbing concrete, air tool operator under 65 lbs.; caulker and lead man; chain or concrete saw under 15 h.p.; signal Gan; Guard rail and sign erectors. GROUP 2 - Skilled laborers - Vibrator man; asphalt raker; head pipe layer on sewer work; batterboard man on pipe and ditch work; cliff scalers working from bosun's chairs; scaffolds or platforms on dams or power plants over 10 ft. high; air tool operator over 65 lbs.; stringline man on concrete paving; sandblast man; laser beam man; wagon drill; churn drill; air track drill and all other similar type drills, gunite nozzle man; pressure grout man; screed man on asphalt; concrete saw 15 h.p. and over; grade checker; strigline man on electronic grade control; manhole builder; dynamite man; powder man; welder; tunnel man; waterblaster - 1000 psi or over; asbestos and/or hazardous waste removal and/or disposal

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LABO0579F 05/01/1999

	Rates	Fringes
BUCHANAN, CASS AND LAFAYETTE COUNTIES		
LABORERS		
GROUP 1	16.88	5.75
GROUP 2	17.23	5.75
ANDREW, ATCHISON, BARRY, BARTON, BATES, BENTON, CALDWELL, CAMDEN, CARROLL, CEDAR, CHRISTIAN, CLINTON, DADE, DALLAS, DAVIESS, DEKALB, DOUGLAS, GREENE, GENTRY, GRUNDY, HARRISON, HENRY, HICKORY, HOLT, JASPER, JOHNSON, LACLEDE, LAWRENCE, LIVINGSTON, MCDONALD, MERCER, MORGAN, NEWTON, NODAWAY, OZARK, PETTIS, POLK, ST. CLAIR, SALINE, STONE, TANEY, VERNON, WEBSTER, WORTH AND WRIGHT COUNTIES.		

LABORERS		
GROUP 1	15.63	5.50
GROUP 2	16.18	5.50

#### LABORERS CLASSIFICATIONS

GROUP 1: General Laborers - Carpenter tenders; salamander tenders; loading trucks under bins; hoppers & conveyors; track men & all other general laborers; air tool operator; cement handler-bulk or sack; dump man on earth fill; georgie buggy man; material batch hopper man; material mixer man (except on manholes); coffer dams; riprap pavers - rock, block or brick; signal man; scaffolds over ten feet not self-supported from

ground up; skipman on concrete paving; wire mesh setters on concrete paving; all work in connection with sewer, water, gas, gasoline, oil drainage pipe, conduit pipe, tile and duct lines and all other pipe lines; power tool operator, all work in connection with hydraulic or general dredging operations; puddlers (paving only); straw blower nozzleman; asphalt plant platform man; chuck tender; crusher feeder; men handling creosote ties or creosote materials; men working with and handling epoxy material or materials (where special protection is required); rubbing concrete; toppler of standing trees; batter board man on pipe and ditch work; feeder man on wood pulverizers; board and willow mat weavers and cable tiers on river work; deck hands; pile dike and revetment work; all laborers working on underground tunnels less than 25 feet where compressed air is not used; abutment and pier hole men working six (6) feet or more below ground; men working in coffer dams for bridge piers and footings in the river; ditchliners; pressure groutmen; caulker; chain or concrete saw; cliffscalers working from scaffolds, bosuns' chairs or platforms on dams or power plants over (10) feet above ground; mortarmen on brick or block manholes; toxic and hazardous waste work.

GROUP 2: Skilled Laborers - Head pipe layer on sewer work; laser beam man; Jackson or any other similar tamp; cutting torch man; form setters; liners and stringline men on concrete paving, curb gutters; hot mastic kettleman; hot tar applicator; sandblasting and gunite nozzle men; air tool operator in tunnels; screed man on asphalt machine; asphalt raker; barco tamper; churn drills; air track drills and all similar drills; vibrator man; stringline man for electronic grade control; manhole builders-brick or block; dynamite and powder men; grade checker.

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LABO0660H 03/01/1999

	Rates	Fringes
ST. CHARLES COUNTY		
LABORERS:		
GROUP 1	20.72	5.59
GROUP 2	20.72	5.59

#### LABORERS CLASSIFICATIONS

GROUP 1: General laborer; carpenter tender; salamander tender; dump man; ticket takers; flagman; loading trucks under bins, hoppers, and conveyors; track men; cement handler; dump man on earth fill; Georgie buggy man; material batch hopper man; spreader on asphalt machine; material mixer man (except on manholes); coffer dams; riprap paver - rock, block, or brick; signal man; scaffolds over 10 ft not self-supported from ground up; skipman on concrete paving; wire mech setters on concrete paving; all work in connection with sewer, water, gas, gasoline, oil, drainage pipe, conduit pipe, tile and duct lines and all other pipe lines; power tool operator; all work in connection with hydraulic or general dredging operations; form setters; puddlers (paving only); straw blower nozzleman; asphalt plant platform man; chuck tender; crusher feeder; men handling creosote ties or creosote materials; men working with and handling epoxy material; toppler of standing trees; feeder man on wood pulverizer; board and willow mat weavers and cable tiers on river work; deck hands; pile dike and revetment work; all laborers working on underground tunnels less than 25 ft

where compressed air is not used; abutment and pier hole men working 6 ft or more below ground; men working in coffer dams for bridge piers and footings in the river; Barco tamper, Jackson or any other similar tamp; cutting torch man; liners, curb, gutters, ditchliners; hot mastic kettleman; hot tar applicator; hand blade operators; mortar men on brick or block manholes; rubbing concrete; air tool operator under 65 pounds; caulker and lead man; chain saw under 15 hp; guard rail and sign erectors

GROUP 2: Vibrator man; asphalt raker; hand pipe layer on sewer work; batterboard man on pipe and ditch work; cliff scalers working from Bosun's chairs, scaffolds or platforms on dams or power plants over 10 ft high; air tool operator over 65 pounds; stringline man on concrete paving etc.; sand blast man; laser beam man; wagon drill; churn drill; air track drill and all other similar type drills; gunnite nozzle man; pressure grout man; screed man on asphalt; concrete saw 15 hp and over; grade checker; stringline man on electronic grade control; manhole builder; dynamite man; powder man; welder; tunnel man; waterblaster - 1000 psi and over; asbestos and/or hazardous waste removal and or disposal;

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LABO0663D 04/01/1999

CASS (Richards-Gebaur Air Force Base ONLY) CLAY, JACKSON, PLATTE,  
AND RAY COUNTIES

LABORERS:

	Rates	Fringes
GROUP 1	19.13	6.04
GROUP 2	20.10	6.04

#### LABORERS CLASSIFICATIONS

GROUP 1: General laborers, Carpenter tenders, salamander tenders, loading trucks under bins, hoppers and conveyors, track men and all other general laborers, air tool operator, cement handler (bulk or sack), chain or concrete saw, deck hands, dump man on earth fill, Georgie Buggies man, material batch hopper man, scale man, material mixer man (except on manholes), coffer dams, abutments and pier hole men working below ground, riprap pavers rock, black or brick, signal man, scaffolds over ten feet not self-supported from ground up, skipman on concrete paving, wire mesh setters on concrete paving, all work in connection with sewer, water, gas, gasoling, oil, drainage pipe, conduit pipe, tile and duct lines and all other pipelines, power tool operator, all work in connection with hydraulic or general dredging operations, form setter helpers puddlers (paving only), straw blower nozzle man, asphalt plant platform man, chuck tender, crusher feeder, men handling creosote ties on creosote materials, men working with and handling epoxy material or materials (where special protection is required), tooper of standing trees, batter board man on pipe and ditch work, feeder man on wood pulverizers, board and willow mat weavers and cable tiers on river work, deck hands, pile dike and revetment work, all laborers working on underground tunnels less than 25 feet where compressed air is not used, abutment and pier hole men working six (6) feet or more below ground, men working in coffer dams for bridge piers and footings in the river, ditchliners, pressure groutmen, caulker and chain or concrete saw, cliffscalers working from scaffolds, bosuns'

chairs or platforms on dams or power plants over (10) feet above ground, mortarmen on brick or block manholes, signal man.  
 GROUP 2: Skilled Laborer - spreader or screed man on asphalt machine, asphalt raker, grade checker, vibrator man, concrete saw over 5 hp., laser beam man, barco tamper, jackson or any other similar tamp, wagon driller, churn drills, air track drills and other similar drills, cutting torch man, form setters, liners and stringline men on concrete paving, curb, gutters and etc., hot mastic kettleman, hot tar applicator, hand blade operators, mortar men on brick or block manholes, sand blasting and gunnite nozzle men, rubbing concrete, air tool operator in tunnels, head pipe layer on sewer work, manhole builder (brick or block), dynamite and powder men.

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 PAIN0002B 09/01/1996

	Rates	Fringes
CLARK, FRANKLIN, JEFFERSON, LEWIS, LINCOLN, MARION, PIKE, RALLS, ST. CHARLES, ST. LOUIS (CITY & COUNTY), AND WARREN COUNTIES		

PAINTERS:

Brush	19.60	6.66
Spray	21.60	6.66

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\* PAIN0003D 04/01/1998

	Rates	Fringes
BATES, BENTON, CALDWELL, CARROLL, CASS, CLAY, CLINTON, COOPER, DAVIESS, GRUNDY, HARRISON, HENRY, JACKSON, JOHNSON, LAFAYETTE, LIVINGSTON, MERCER, MONITEAU, MORGAN, PETTIS, PLATTE, RAY AND SALINE COUNTIES		

PAINTERS:

Brush & Roller; Taper;		
Bazooka; Lead Abatement	20.50	4.65
Paperhanger	21.00	4.65
Storage Bin & Tanks (Roller or Brush); Elevated Tanks (Roller or Brush); Stageman; Beltman; Bridgeman; Steelman; Sand Blast (Base); Elevator Shaft	21.25	4.65
Sprayman	21.50	4.65
Sandblast (Bridge, Stage, Erected Steel and Storage Bin and Tanks)	22.00	4.65
Sprayman (Storage Bin & Tanks, Elevated Tanks); Stageman (Spray); Bridgeman (Spray); Steelman (Spray)	22.25	4.65
Steeplejack (other than Elevated Tanks)	25.19	4.65
Steeplejack -Spray or Sandblast (other than Elevated Tanks)	26.19	4.65

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PAIN0098B 05/01/1999

	Rates	Fringes
ANDREW, ATCHISON, BUCHANAN, DE KALB, GENTRY, HOLT, NODAWAY & WORTH COUNTIES		

PAINTERS:

Brush & Roller	19.50	4.40
Sandblasters	20.50	4.40
Steeple Jack	22.50	4.40

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PAIN0203B	04/01/1999	
	Rates	Fringes
BARRY, BARTON, CEDAR, CHRISTIAN, DADE, DALLAS, DOUGLAS, GREENE, HICKORY, HOWELL, JASPER, LAWRENCE, MCDONALD, NEWTON, OZARK, POLK, ST. CLAIR, STONE, TANEY, VERNON, WEBSTER and WRIGHT COUNTIES		
PAINTERS:		
Sandblasters & Highman (over 40')	17.68	3.23
Painters	17.38	3.23
Tapers	16.47	3.21
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* PAIN1185C	04/16/1998	
	Rates	Fringes
ADAIR, AUDRAIN, BOONE, CALLAWAY, CHARITON, COLE, GASCONADE, HOWARD, KNOX, LINN, MACON, MONROE, MONTGOMERY, OSAGE, PUTNAM, RANDOLPH, SCHUYLER, SCOTLAND, SHELBY AND SULLIVAN COUNTIES and the City of Booneville.		
PAINTERS:		
Brush, Roller, Paperhanger, Tapers	17.00	1.32
Tapers using Ames or comparable tools (bazooks, etc.)	17.25	1.32
Spray; Water Base Epoxy; Stage Under 50 ft.; Structural Steel (except for stairs and railings)	17.50	1.32
Sandblasting; Epoxy or Any Two Part Coating; Stage or Other Aerial Work Platforms Over 50 ft. high;		
Lead Abatement	18.00	1.32
Bridges, Dams, Locks or Powerhouses	19.00	1.32
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* PAIN1265C	07/01/1999	
	Rates	Fringes
CAMDEN, CRAWFORD, DENT, LACLEDE, MARIES, MILLER, PHELPS, PULASKI AND TEXAS COUNTIES		
PAINTERS:		
Brush and Roller	16.51	6.61
Spray, Structural Steel, Sandblasting and all Tank Work	17.76	6.61
Lead Abatement	18.76	6.61
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PAIN1292B	07/01/1998	
	Rates	Fringes
BOLLINGER, BUTLER, CAPE GIRARDEAU, CARTER, DUNKLIN, MISSISSIPPI, NEW MADRID, OREGON, PEMISCOT, PERRY, REYNOLDS, RIPLEY, SCOTT, SHANNON, STODDARD and WAYNE COUNTIES		
PAINTERS:		
Commercial & Light Industrial	14.55	4.54
Heavy Industrial (Brush & Roller)	17.05	4.54
Bridges (Brush, Roller & Spray)	22.00	4.54
Spray (Except Commercial)	15.70	4.54
Sandblasting & Waterblasting	19.00	4.54

Pressure Washing	15.75	4.54
Height Rates (All Areas): 50' to 75' per hour (\$0.75)		
	75' to 150' per hour (\$1.50)	
	150' and over (each additional 50' add \$.50 per hour)	

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PAIN1292F 07/01/1998

	Rates	Fringes
IRON, MADISON, ST. FRANCOIS, STE. GENEVIEVE and WASHINGTON COUNTIES		
PAINTERS:		
Commercial and Light Industrial	16.65	4.54
Heavy Industrial (Brush & Roller)	17.65	4.54
Bridges (Brush, Roller & Spray)	22.00	4.54
Spray Painting (Except Commercial)	17.80	4.54
Sandblasting and Waterblasting	19.00	4.54
Pressure Washing	17.85	4.54
Lead Abatement	18.65	4.54
Height Rates (All Areas): 50' to 75' per hour \$0.75		
	75' to 150' per hour	1.50
	150' and over (each additonal 50' add \$.50 per hour)	

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PLAS0368C 04/01/1995

	Rates	Fringes
BENTON, CALLAWAY, CAMDEN, COLE, GASCONADE, HENRY, HICKORY, JOHNSON, MARIES, MILLER, MONTGOMERY, MORGAN, OSAGE, PETTIS, SALINE, & ST. CLAIR COUNTIES		
CEMENT MASONS	17.56	1.00

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PLAS0518G 04/01/1999

	Rates	Fringes
CASS (Richards-Gebaur AFB only), CLAY, JACKSON, PLATTE AND RAY COUNTIES		
CEMENT MASONS	20.16	6.90

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PLAS0518K 05/01/1998

	Rates	Fringes
ANDREW, ATCHISON, BUCHANAN, BATES, CALDWELL, CARROLL, CASS (Except Richards-Gebaur AFB) CLINTON, DAVIESS, DEKALB, GENTRY, GRUNDY, HARRISON, HOLT, JACKSON, LAFAYETTE, LIVINGSTON, MERCER, NODAWAY AND WORTH COUNTIES		
CEMENT MASONS	19.95	5.23

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PLAS0527A 05/01/1999

	Rates	Fringes
JEFFERSON, ST. CHARLES COUNTIES AND ST. LOUIS (City and County)		
CEMENT MASONS	22.48	8.62
FRANKLIN, LINCOLN, AND WARREN COUNTIES		
CEMENT MASONS	21.31	8.62

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PLAS0527D 06/01/1999

	Rates	Fringes
CRAWFORD, DENT, IRON, MADISON, MARION, PHELPS, PIKE, PULASKI, RALLS, REYNOLDS, ST. FRANCOIS, STE. GENEVIEVE, SHANNON, TEXAS, WASHINGTON COUNTIES		

CEMENT MASONS	19.80	8.51
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PLAS0908A 05/01/1996		
	Rates	Fringes
BOLLINGER, BUTLER, CAPE GIRARDEAU, CARTER, DUNKLIN, MISSISSIPPI, NEW MADRID, OREGON, PEMISCOT, PERRY, RIPLEY, SCOTT, STODDARD, AND WAYNE COUNTIES		
CEMENT MASONS	16.15	3.95
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PLAS0908D 04/17/1995		
	Rates	Fringes
BARRY, BARTON, CEDAR, CHRISTIAN, DADE, DALLAS, DOUGLAS, GREENE, HOWELL, JASPER, LACLEDE, LAWRENCE, MCDONALD, NEWTON, OZARK, POLK, STONE, TANEY, VERNON, WEBSTER, AND WRIGHT COUNTIES		
CEMENT MASONS	14.60	.80
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PLUM0008C 06/01/1997		
	Rates	Fringes
CASS, CLAY, JACKSON, JOHNSON, PLATTE COUNTIES		
PLUMBERS	24.64	6.02
BATES, BENTON, CARROLL, HENRY, LAFAYETTE, MORGAN, PETTIS, RAY, ST. CLAIR, SALINE, AND VERNON COUNTIES		
PLUMBERS	22.20	6.02
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* PLUM0035C 07/01/1999		
	Rates	Fringes
CAMDEN, COLE, CRAWFORD, FRANKLIN, JEFFERSON, MARIES, MILLER, MONITEAU, OSAGE, PHELPS, PULASKI, ST. CHARLES, ST. LOUIS (City and County), WARREN and WASHINGTON COUNTIES		
PLUMBERS	25.855	9.52
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PLUM0045D 09/01/1998		
	Rates	Fringes
ANDREW, ATCHISON, BUCHANAN, CALDWELL, CLINTON, DAVIESS, DEKALB, GENTRY, HARRISON, HOLT, NODAWAY AND WORTH COUNTIES		
PLUMBERS & PIPEFITTERS	23.00	7.60
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PLUM0178D 11/01/1998		
	Rates	Fringes
BARRY, CEDAR, CHRISTIAN, DADE, DALLAS, DOUGLAS, GREENE, HICKORY, LACLEDE, LAWRENCE, POLK, STONE, TANEY, WEBSTER, AND WRIGHT COUNTIES		
PLUMBERS & PIPEFITTERS	20.27	6.20
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PLUM0317B 07/01/1995		
	Rates	Fringes
BOONE, CALLAWAY, COOPER, HOWARD, AND RANDOLPH COUNTY (Southern half)		
PLUMBERS & PIPEFITTERS	19.18	3.17
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PLUM0533E 06/01/1998		
	Rates	Fringes
BATES, BENTON, CARROLL, CASS, CLAY, HENRY, HICKORY, JACKSON, JOHNSON, LAFAYETTE, MORGAN, PETTIS, PLATTE, RAY, SALINE, ST. CLAIR AND VERNON COUNTIES		
PIPEFITTERS	24.83	8.63
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PLUM0562D 06/01/1999

	Rates	Fringes
ADAIR, AUDRAIN, BOLLINGER, BUTLER, CAMDEN, CAPE GIRARDEAU, CARTER, CHARITON, CLARK, COLE, CRAWFORD, DENT, DUNKLIN, FRANKLIN, GASCONADE, GRUNDY, HOWELL, IRON, JEFFERSON, KNOX, LEWIS, LINCOLN, LINN, LIVINGSTON, MACON, MADISON, MARIES, MARION, MERCER, MILLER, MISSISSIPPI, MONITEAU, MONROE, MONTGOMERY, NEW MADRID, NORTHERN HALF OF RANDOLPH, OREGON, OSAGE, PEMISCOTT, PERRY, PHELPS, PIKE, PULASKI, PUTNAM, RALLS, REYNOLDS, RIPLEY, ST. CHARLES, ST. FRANCOIS, STE. GENEVIEVE, ST. LOUIS, SCHUYLER, SCOTLAND, SCOTT, SHANNON, SHELBY, STODDARD, SULLIVAN, TEXAS, WARREN, WASHINGTON, AND WAYNE COUNTIES.		

PIPEFITTERS	25.00	11.03
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PLUM0658B 07/01/1998

	Rates	Fringes
BARTON, JASPER, MCDONALD, AND NEWTON COUNTIES		
PLUMBERS & PIPEFITTERS	16.73	5.33

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TEAM0013H 05/01/1997

	Rates	Fringes
FRANKLIN, JEFFERSON, AND ST. CHARLES COUNTIES		

TRUCK DRIVERS:

GROUP 1	26.04	
GROUP 2	26.15	
GROUP 3	26.19	
GROUP 4	26.26	

LINCOLN AND WARREN COUNTIES

TRUCK DRIVERS:

GROUP 1	24.69	
GROUP 2	24.80	
GROUP 3	24.84	
GROUP 4	24.91	

AUDRAIN, BOLLINGER, BOONE, CALLAWAY, CAPE GIRARDEAU, CARTER, COLE, CRAWFORD, DENT, GASCONADE, IRON, MACON, MADISON, MARIES, MARION, MILLER, MISSISSIPPI, MONROE, MONTGOMERY, NEW MADRID, OSAGE, PEMISCOT, PERRY, PHELPS, PIKE, PULASKI, RALLS, REYNOLDS, ST. FRANCOIS, STE. GENEVIEVE, SCOTT, SHANNON, SHELBY, STODDARD, TEXAS, WASHINGTON, AND WAYNE COUNTIES

TRUCK DRIVERS:

GROUP 1	18.57	4.40
GROUP 2	18.73	4.40
GROUP 3	18.72	4.40
GROUP 4	18.84	4.40

ADAIR, BUTLER, CLARK, DUNKIN, HOWELL, KNOX, LEWIS, OREGON, PUTNAM, RIPLEY, SCHUYLER, AND SCOTLAND COUNTIES

TRUCK DRIVERS:

GROUP 1	17.84	4.40
GROUP 2	18.00	4.40
GROUP 3	17.99	4.40
GROUP 4	18.11	4.40

TRUCK DRIVERS CLASSIFICATIONS:

GROUP 1: Flat Bed Trucks, Single Axle; Station Wagons; Pickup Trucks; Material Trucks, Single Axle; Tank Wagon, Single Axle

GROUP 2: Agitator and Transit Mix Trucks

GROUP 3: Flat Bed Trucks, Tandem Axle; Articulated Dump



Trucks; Material Trucks, Tandem Axle; Tank Wagon,  
Tandem Axle

GROUP 4: Semi and/or Pole Trailers; Winch, Fork & Steel  
Trucks; Distributor Drivers and Operators; Tank Wagon,  
Semi-Trailer; Insley Wagons, Dumpsters, Half-Tracks,  
Speedace, Euclids and other similar equipment; A-Frame  
and Derrick Trucks; Float or Low Boy

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MO990001 - 1

TEAM0056A 05/01/1999

Rates Fringes  
BUCHANAN, CASS (Except Richards-Gebaur AFB), JOHNSON, AND  
LAFAYETTE COUNTIES

TRUCK DRIVERS:

GROUP 1	20.88	5.00
GROUP 2	20.99	5.00
GROUP 3	21.03	5.00
GROUP 4	21.10	5.00

ANDREW, BARTON, BATES, BENTON, CALDWELL, CAMDEN, CARROLL, CEDAR,  
CHARITON, CHRISTIAN, CLINTON, COOPER, DADE, DALLAS, DAVIESS,  
DEKALB, DOUGLAS, GREENE, HENRY, HICKORY, HOWARD, JASPER, LACLEDE,  
LAWRENCE, LINN, LIVINGSTON, MONITEAU, MORGAN, NEWTON, PETTIS,  
POLK, RANDOLPH, ST CLAIR, SALINE, VERNON, WEBSTER, AND WRIGHT  
COUNTIES

TRUCK DRIVERS:

GROUP 1	19.67	5.00
GROUP 2	19.82	5.00
GROUP 3	19.83	5.00
GROUP 4	19.94	5.00

ATCHISON, BARRY, GENTRY, GRUNDY, HARRISON, HOLT, MCDONALD,  
MERCER, NODADWAY, OZARK, STONE, SULLIVAN, TANEY AND WORTH  
COUNTIES

TRUCK DRIVERS:

GROUP 1	18.94	5.00
GROUP 2	19.09	5.00
GROUP 3	19.10	5.00
GROUP 4	19.21	5.00

TRUCK DRIVER CLASSIFICATIONS

GROUP 1: Flat bed trucks single axle; station wagons; pickup  
trucks; material trucks single axle; tank wagons  
single axle.

GROUP 2: Agitator and transit mix-trucks.

GROUP 3: Flat bed trucks tandem axle; articulated dump trucks;  
material trucks tandem axle; tank wagons tandem  
axle.

GROUP 4: Semi and/or pole trailers; winch, fork & steel trucks;  
distributor drivers & operators; tank wagons semi-  
trailer; insley wagons, dumpsters, half-tracks,  
speedace, euclids & other similar equipment;  
A-frames and derrick trucks; float or low boy.

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TEAM0245C 03/25/1998

Rates Fringes  
BARRY, BARTON, CAMDEN, CEDAR, CHRISTIAN, DALLAS, DENT, DOUGLAS,  
GREENE, HICKORY, HOWELL, JASPER, LACLEDE, LAWRENCE, MCDONALD,  
MILLER, NEWTON, OZARK, PHELPS, POLK, PULASKI, SHANNON, STONE,  
TANEY, TEXAS, VERNON, WEBSTER AND WRIGHT COUNTIES

TRUCK DRIVERS:

Traffic Control Service Driver 12.90 3.56+a

PAID HOLIDAYS: New Year's Day, Decoration Day, July 4th,  
Labor Day, Thanksgiving Day, Christmas Day,  
Employee's birthday and 2 personal days.

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TEAM0541A 04/01/1999

Rates Fringes  
CASS (Richards-Gebaur AFB), CLAY, JACKSON, PLATTE, AND RAY  
COUNTIES

TRUCK DRIVERS:

GROUP 1	20.76	6.25
GROUP 2	20.27	6.25
GROUP 3	19.79	6.25

TRUCK DRIVERS CLASSIFICATIONS

GROUP 1: Mechanics and Welders, Field; A-Frame Low Boy-Boom  
Truck Driver.

GROUP 2: Articulated Dump Truck; Insley Wagons: Dump Trucks,  
Excavating, 5 cu yds and over; Dumpsters; Half-Tracks:  
Speedace: Euclids & similar excavating equipment.  
Material trucks, Tandem Two teams; Semi-Trailers;  
Winch trucks-Fork trucks; Distributor Drivers  
and Operators; Agitator and Transit Mix; Tank Wagon  
Drivers, Tandem or Semi; One Team; Station Wagons;  
Pickup Trucks; Material Trucks, Single Axle; Tank  
Wagon Drivers, Single Axle

GROUP 3: Oilers and Greasers - Field

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TEAM0541C 03/25/1999

Rates Fringes  
BATES, CASS, CLAY, HENRY, JACKSON, JOHNSON, LAFAYETTE, PLATTE,  
AND RAY COUNTIES

TRUCK DRIVERS:

Traffic Control Service Driver 13.65 2.44+a  
a. PAID HOLIDAYS: New Year's Day, Decoration Day, July 4th,  
Labor Day, Thanksgiving Day, Christmas Day,  
Employee's birthday and 2 personal days.

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TEAM0682D 05/01/1996

Rates Fringes  
ST LOUIS CITY AND COUNTY

TRUCK DRIVERS:

GROUP 1	19.57	5.375+a
GROUP 2	19.77	5.375+a
GROUP 3	19.87	5.375+a

TRUCK DRIVERS CLASSIFICATIONS

GROUP 1 - Pick-up trucks; forklift, single axle; flatbed trucks;  
job site ambulance, and trucks or trailers of a water level  
capacity of 11.99 cu. yds. or less

GROUP 2 - Trucks or trailers of a water level capacity of 12.0 cu  
yds. up to 22.0 cu yds. including euclids, speedace and similar  
equipment of same capacity and compressors

GROUP 3 - Trucks or trailers of a water level capacity of 22.0  
cu. yds & over including euclids, speedace & all floats, flatbed  
trailers, boom trucks, winch trucks, including small trailers,  
farm wagons tilt-top trailers, field offices, tool trailers,  
concrete pumps, concrete conveyors & gasoline tank trailers and

truck mounted mobile concrete mixers

FOOTNOTE FOR TRUCK DRIVERS:

- a. PAID HOLIDAYS: Christmas Day, Independence Day, Labor Day, Memorial Day, Veterans Day, New Years Day, Thanksgiving Day

PAID VACATION: 3 days paid vacation for 600 hours of service in any one contract year; 4 days paid vacation for 800 hours of service in any one contract year; 5 days paid vacation for 1,000 hours of services in any one contract years.

-----  
WELDERS - Receive rate prescribed for craft performing operation to which welding is incidental.  
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Unlisted classifications needed for work not included within the scope of the classifications listed may be added after award only as provided in the labor standards contract clauses (29 CFR 5.5(a)(1)(v)).  
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In the listing above, the "SU" designation means that rates listed under that identifier do not reflect collectively bargained wage and fringe benefit rates. Other designations indicate unions whose rates have been determined to be prevailing.

WAGE DETERMINATION APPEALS PROCESS

- 1.) Has there been an initial decision in the matter? This can be:

- \* an existing published wage determination
- \* a survey underlying a wage determination
- \* a Wage and Hour Division letter setting forth a position on a wage determination matter
- \* a conformance (additional classification and rate) ruling

On survey related matters, initial contact, including requests for summaries of surveys, should be with the Wage and Hour Regional Office for the area in which the survey was conducted because those Regional Offices have responsibility for the Davis-Bacon survey program. If the response from this initial contact is not satisfactory, then the process described in 2.) and 3.) should be followed.

With regard to any other matter not yet ripe for the formal process described here, initial contact should be with the Branch of Construction Wage Determinations. Write to:

Branch of Construction Wage Determinations  
Wage and Hour Division  
U. S. Department of Labor  
200 Constitution Avenue, N. W.  
Washington, D. C. 20210

- 2.) If the answer to the question in 1.) is yes, then an interested party (those affected by the action) can request review and reconsideration from the Wage and Hour Administrator (See 29 CFR Part 1.8 and 29 CFR Part 7). Write to:

Wage and Hour Administrator  
U.S. Department of Labor  
200 Constitution Avenue, N. W.  
Washington, D. C. 20210

The request should be accompanied by a full statement of the

interested party's position and by any information (wage payment data, project description, area practice material, etc.) that the requestor considers relevant to the issue.

3.) If the decision of the Administrator is not favorable, an interested party may appeal directly to the Administrative Review Board (formerly the Wage Appeals Board). Write to:

Administrative Review Board  
U. S. Department of Labor  
200 Constitution Avenue, N. W.  
Washington, D. C. 20210

4.) All decisions by the Administrative Review Board are final.

END OF GENERAL DECISION

**TABLE OF CONTENTS**  
**SECTION 00100**  
**INSTRUCTIONS, CONDITIONS, AND NOTICES TO BIDDERS**

1	52.252-1	SOLICITATION PROVISIONS INCORPORATED BY REFERENCE (FEB 1998)
2	52.214-35	SUBMISSION OF OFFERS IN U.S. CURRENCY (APR 1991)
3	52.211-2	AVAILABILITY OF SPECIFICATIONS LISTED IN THE DOD INDEX OF SPECIFICATIONS AND STANDARDS (DODISS) AND DESCRIPTIONS LISTED IN THE ACQUISITION MANAGEMENT SYSTEMS AND DATA REQUIREMENTS CONTROL LIST, DOD 5010.12-L (AUG 1998)
4	52.214-1	SOLICITATION DEFINITIONS--SEALED BIDDING (JUL 1987)
5	52.214-3	AMENDMENTS TO INVITATIONS FOR BIDS (DEC 1989)
6	52.214-4	FALSE STATEMENTS IN BIDS (APR 1984)
7	52.214-5	SUBMISSION OF BIDS (MAR 1997)
8	52.214-6	EXPLANATION TO PROSPECTIVE BIDDERS (APR 1984)
9	52.214-7	LATE SUBMISSIONS, MODIFICATIONS, AND WITHDRAWALS OF BIDS (MAY 1997)
10	52.214-18	PREPARATION OF BIDS--CONSTRUCTION (APR 1984)
11	52.214-19	CONTRACT AWARD--SEALED BIDDING--CONSTRUCTION (AUG 1996)
12	52.214-34	SUBMISSION OF OFFERS IN THE ENGLISH LANGUAGE (APR 1991)
13	52.216-1	TYPE OF CONTRACT (APR 1984)
14	52.225-12	NOTICE OF BUY AMERICAN ACT REQUIREMENT-CONSTRUCTION MATERIALS (MAY 1997)
15	52.228-1	BID GUARANTEE (SEP 1996)
16	52.233-2	SERVICE OF PROTEST (AUG 1996)
17	52.236-7008	CONTRACT PRICES--BIDDING SCHEDULES (DEC 1991)
18	52.214-5000	ARITHMETIC DISCREPANCIES (MAR 1995)--EFARS
19	52.0-4019	PREAWARD INFORMATION
20	52.0-4047	SITE OF THE WORK
21	52.0-4048	QUANTITY ESTIMATES
22	52.0-4049	CONDITIONS AFFECTING THE WORK
23	52.0-4055	NEGOTIATIONS AFTER SEALED BIDDING
24	52.0-4058	PROGRAM DATA
25	52.0-4060	REVISION AND AMENDMENT TO SOLICITATION FOR BIDS
26	52.0-4074	CERTIFICATE OF COMPETENCY (APR 1993)
27	52.0-4078	(FAR 52.236-27) SITE VISIT (CONSTRUCTION) (ALTERNATE I) (FEB 1995)
28	52.0-4079	SUBMISSION OF OFFERS IN U.S. CURRENCY (APR 1991) FAR 52.0214-0035

**SECTION 00100**  
**INSTRUCTIONS, CONDITIONS, AND NOTICES TO BIDDERS**

1 52.252-1 SOLICITATION PROVISIONS INCORPORATED BY REFERENCE  
(FEB 1998)

This solicitation incorporates one or more solicitation provisions by reference, with the same force and effect as if they were given in full text. Upon request, the Contracting Officer will make their full text available. The offeror is cautioned that the listed provisions may include blocks that must be completed by the offeror and submitted with its quotation or offer. In lieu of submitting the full text of those provisions, the offeror may identify the provision by paragraph identifier and provide the appropriate information with its quotation or offer. Also, the full text of a solicitation provision may be accessed electronically at these addresses:

<http://www.arnet.gov/far>  
<http://farsite.hill.af.mil>  
<http://www.dtic.mil/dfars>  
(End of provision)

2 52.214-35 SUBMISSION OF OFFERS IN U.S. CURRENCY (APR 1991)  
(Reference 14.201-6y &)

3 52.211-2 AVAILABILITY OF SPECIFICATIONS LISTED IN THE DOD INDEX OF  
SPECIFICATIONS AND STANDARDS (DODISS) AND DESCRIPTIONS  
LISTED IN THE ACQUISITION MANAGEMENT SYSTEMS AND DATA  
REQUIREMENTS CONTROL LIST, DOD 5010.12-L (AUG 1998)

(a) Copies of specifications, standards, and data item descriptions cited in this solicitation may be obtained for a fee by submitting a request to the--Department of Defense Single Stock Point (DoDSSP), Building 4, Section D, 700 Robbins Avenue, Philadelphia, PA 19111-5094, Telephone (215) 697-2667/2179, Facsimile (215) 697-1462.

(b) Order forms, pricing information, and customer support information may be obtained--

- (1) By telephone at (215) 697-2667/2179; or
  - (2) Through the DoDSSP Internet site at <http://www.dodssp.daps.mil>.
- (End of provision)

4 52.214-1 SOLICITATION DEFINITIONS--SEALED BIDDING (JUL 1987)

"Government" means United States Government.

"Offer" means "bid" in sealed bidding.

"Solicitation" means an invitation for bids in sealed bidding.

(End of provision)

#### 5 52.214-3 AMENDMENTS TO INVITATIONS FOR BIDS (DEC 1989)

(a) If this solicitation is amended, then all terms and conditions which are not modified remain unchanged.

(b) Bidders shall acknowledge receipt of any amendment to this solicitation (1) by signing and returning the amendment, (2) by identifying the amendment number and date in the space provided for this purpose on the form for submitting a bid, (3) by letter or telegram, or (4) by facsimile, if facsimile bids are authorized in the solicitation. The Government must receive the acknowledgment by the time and at the place specified for receipt of bids.

(End of provision)

#### 6 52.214-4 FALSE STATEMENTS IN BIDS (APR 1984)

Bidders must provide full, accurate, and complete information as required by this solicitation and its attachments. The penalty for making false statements in bids is prescribed in 18 U.S.C. 1001.

(End of provision)

(R 2-201(b)(xiii))

(R 1-2.201(a)(11))

#### 7 52.214-5 SUBMISSION OF BIDS (MAR 1997)

(a) Bids and bid modifications shall be submitted in sealed envelopes or packages (unless submitted by electronic means) (1) addressed to the office specified in the solicitation, and (2) showing the time and date specified for receipt, the solicitation number, and the name and address of the bidder.

(b) Bidders using commercial carrier services shall ensure that the bid is addressed and marked on the outermost envelope or wrapper as prescribed in subparagraphs (a) (1) and (2) of this provision when delivered to the office specified in the solicitation.

(c) Telegraphic bids will not be considered unless authorized by the solicitation; however, bids may be modified or withdrawn by written or telegraphic notice.

(d) Facsimile bids, modifications, or withdrawals, will not be considered unless authorized by the solicitation.

(e) Bids submitted by electronic commerce shall be considered only if the electronic commerce method was specifically stipulated or permitted by the solicitation.

(End of provision)

8 52.214-6 EXPLANATION TO PROSPECTIVE BIDDERS (APR 1984)

Any prospective bidder desiring an explanation or interpretation of the solicitation, drawings, specifications, etc., must request it in writing soon enough to allow a reply to reach all prospective bidders before the submission of their bids. Oral explanations or instructions given before the award of a contract will not be binding. Any information given a prospective bidder concerning a solicitation will be furnished promptly to all other prospective bidders as an amendment to the solicitation, if that information is necessary in submitting bids or if the lack of it would be prejudicial to other prospective bidders.

(End of provision)

(R SF 33A, Para 3, 1978 JAN)

9 52.214-7 LATE SUBMISSIONS, MODIFICATIONS, AND WITHDRAWALS OF BIDS (MAY 1997)

(a) Any bid received at the office designated in the solicitation after the exact time specified for receipt will not be considered unless it is received before award is made and it--

(1) Was sent by registered or certified mail not later than the fifth calendar day before the date specified for receipt of bids (e.g., a bid submitted in response to a solicitation requiring receipt of bids by the 20th of the month must have been mailed by the 15th);

(2) Was sent by mail (or telegram or facsimile, if authorized) or hand-carried (including delivery by a commercial carrier) if it is determined by the Government that the late receipt was due primarily to Government mishandling after receipt at the Government installation;

(3) Was sent by U.S. Postal Service Express Mail Next Day Service-Post Office To Addressee, not later than 5:00 P.M. at the place of mailing two working days prior to the date specified for receipt of bids. The term "working days" excludes weekends and U.S. Federal holidays; or

(4) Was transmitted through an electronic commerce method authorized by the solicitation and was received at the initial point of entry to the Government infrastructure not later than 5:00 p.m. one working day prior to the date specified for receipt of bids.

(b) Any modification or withdrawal of a bid is subject to the same conditions as in paragraph (a) of this provision.

(c) The only acceptable evidence to establish the date of mailing of a



late bid, modification, or withdrawal sent either by registered or certified mail is the U.S. or Canadian Postal Service postmark both on the envelope or wrapper and on the original receipt from the U.S. or Canadian Postal Service. Both postmarks must show a legible date or the bid, modification, or withdrawal shall be processed as if mailed late.

"Postmark" means a printed, stamped, or otherwise placed impression (exclusive of a postage meter machine impression) that is readily identifiable without further action as having been supplied and affixed by employees of the U.S. or Canadian Postal Service on the date of mailing. Therefore, bidders should request the postal clerk to place a legible hand cancellation bull's-eye postmark on both the receipt and the envelope or wrapper.

(d) The only acceptable evidence to establish the time of receipt at the Government installation is the time/date stamp of that installation on the bid wrapper or other documentary evidence of receipt maintained by the installation.

(e) The only acceptable evidence to establish the date of mailing of a late bid, modification, or withdrawal sent by U.S. Postal Service Express Mail Next Day Service-Post Office to Addressee is the date entered by the post office receiving clerk on the "Express Mail Next Day Service-Post Office to Addressee" label and the postmark on the envelope or wrapper and on the original receipt from the U.S. Postal Service. "Postmark" has the same meaning as defined in paragraph (c) of this provision, excluding postmarks of the Canadian Postal Service. Therefore, bidders should request the postal clerk to place a legible hand cancellation bull's-eye postmark on both the receipt and the envelope or wrapper.

(f) Notwithstanding paragraph (a) of this provision, a late modification of an otherwise successful bid that makes its terms more favorable to the Government will be considered at any time it is received and may be accepted.

(g) Bids may be withdrawn by written notice or telegram (including mailgram) received at any time before the exact time set for receipt of bids. If the solicitation authorizes facsimile bids, bids may be withdrawn via facsimile received at any time before the exact time set for receipt of bids, subject to the conditions specified in the provision entitled "Facsimile Bids." A bid may be withdrawn in person by a bidder or its authorized representative if, before the exact time set for receipt of bids, the identity of the person requesting withdrawal is established and the person signs a receipt for the bid.

(h) If an emergency or unanticipated event interrupts normal Government processes so as to cause postponement of the scheduled bid opening, and urgent Government requirements preclude amendment of the solicitation or other notice of an extension of the opening date, the time specified for receipt of bids will be deemed to be extended to the same time of day specified in the solicitation on the first work day on which normal Government processes resume.

(End of provision)

10 52.214-18 PREPARATION OF BIDS--CONSTRUCTION (APR 1984)

(a) Bids must be (1) submitted on the forms furnished by the Government or on copies of those forms, and (2) manually signed. The person signing a bid must initial each erasure or change appearing on any bid form.

(b) The bid form may require bidders to submit bid prices for one or more items on various bases, including--

(1) Lump sum bidding;

(2) Alternate prices;

(3) Units of construction; or

(4) Any combination of subparagraphs (1) through (3) above.

(c) If the solicitation requires bidding on all items, failure to do so will disqualify the bid. If bidding on all items is not required, bidders should insert the words "no bid" in the space provided for any item on which no price is submitted.

(d) Alternate bids will not be considered unless this solicitation authorizes their submission.

(End of provision)

(R SF 22, Para 5, 1978 FEB)

11 52.214-19 CONTRACT AWARD--SEALED BIDDING--CONSTRUCTION (AUG 1996)

(a) The Government will evaluate bids in response to this solicitation without discussions and will award a contract to the responsible bidder whose bid, conforming to the solicitation, will be most advantageous to the Government, considering only price and the price-related factors specified elsewhere in the solicitation.

(b) The Government may reject any or all bids, and waive informalities or minor irregularities in bids received.

(c) The Government may accept any item or combination of items, unless doing so is precluded by a restrictive limitation in the solicitation or the bid.

(d) The Government may reject a bid as nonresponsive if the prices bid are materially unbalanced between line items or subline items. A bid is materially unbalanced when it is based on prices significantly less than cost for some work and prices which are significantly overstated in relation to cost for other work, and if there is a reasonable doubt that the bid will result in the lowest overall cost to the Government even though it may be the low evaluated bid, or if it is so unbalanced as to be tantamount to allowing an advance payment.

(End of provision)

12 52.214-34 SUBMISSION OF OFFERS IN THE ENGLISH LANGUAGE (APR 1991)

Offers submitted in response to this solicitation shall be in the English language. Offers received in other than English shall be rejected.

(End of provision)

13 52.216-1 TYPE OF CONTRACT (APR 1984)

The Government contemplates award of a firm, fixed-price construction contract resulting from this solicitation.

(End of provision)

14 52.225-12 NOTICE OF BUY AMERICAN ACT REQUIREMENT-CONSTRUCTION MATERIALS (MAY 1997)

(a) Offerors are required to comply with the requirements of Federal Acquisition Regulation (FAR) clause 52.225-5, Buy American Act Construction Materials, of this solicitation. The terms "construction material" and "domestic construction material," as used in this provision, have the meanings set forth in FAR clause 52.225-5.

(b) Offerors should request a determination regarding the inapplicability of the Buy American Act in time to allow determination before submission of offers. For evaluation of a request for a determination regarding the inapplicability of the requirements of the Buy American Act prior to the time set for receipt of offers, the information and applicable supporting data required by paragraphs (c) and (d) of FAR clause 52.225-5 shall be included in the request. If an offeror has not requested a determination regarding the inapplicability of the Buy American Act prior to submission of its offer, or has not received a response to a request made prior to submission of its offer, the information and supporting data shall be included in the offer.

(c) Evaluation of offers.

(1) For evaluation of offers, (unless agency regulations specify a higher percentage) the Government will add to the offered price 6 percent of the cost of any foreign construction material proposed for exception from the requirements of the Buy American Act based on claimed unreasonable cost of domestic construction materials in accordance with paragraph (b)(3)(i) of FAR clause 52.225-5.

(2) If the evaluation of offers results in a tie between an offer including such foreign construction material excepted on the basis of unreasonable cost, as evaluated, and an offer including solely domestic construction material or other foreign construction material listed in

the solicitation at paragraph (b)(2) of FAR clause 52.225-5, or subsequently excepted in accordance with paragraphs (b)(3) (ii) or (iii) of FAR clause 52.225-5, award shall be made to the offeror that submitted the latter offer.

(d) Alternate offers.

(1) When an offer includes foreign construction material not listed by the Government in the solicitation at paragraph (b)(2) of FAR clause 52.225-5, offerors also may submit alternate offers based on use of equivalent domestic construction material.

(2) If alternate offers are submitted, a separate Standard Form 1442 shall be submitted for each alternate offer, and a separate price comparison table, prepared in accordance with paragraphs (c) and (d) of FAR clause 52.225-5, shall be submitted for each offer that is based on the use of any foreign construction material for which the Government has not yet determined an exception to apply.

(3) If the Government determines that a particular exception requested under paragraph (c) of FAR clause 52.225-5 does not apply, the Government will evaluate only those offers based on use of the equivalent domestic construction material, and the offeror shall be required to furnish such domestic construction material.

(i) In sealed bid procurements, any offer based on use of that particular foreign construction material shall be rejected as nonresponsive.

(ii) In negotiated procurements, any offer based on use of that particular foreign construction material may not be accepted unless revised during negotiations.

(End of provision)

## 15 52.228-1 BID GUARANTEE (SEP 1996)

(a) Failure to furnish a bid guarantee in the proper form and amount, by the time set for opening of bids, may be cause for rejection of the bid.

(b) The bidder shall furnish a bid guarantee in the form of a firm commitment, e.g., bid bond supported by good and sufficient surety or sureties acceptable to the Government, postal money order, certified check, cashier's check, irrevocable letter of credit, or, under Treasury Department regulations, certain bonds or notes of the United States. The Contracting Officer will return bid guarantees, other than bid bonds, (1) to unsuccessful bidders as soon as practicable after the opening of bids, and (2) to the successful bidder upon execution of contractual documents and bonds (including any necessary coinsurance or reinsurance agreements), as required by the bid as accepted.

(c) The amount of the bid guarantee shall be twenty percent of the bid price or \$3,000,000.00, whichever is less.

(d) If the successful bidder, upon acceptance of its bid by the Government within the period specified for acceptance, fails to execute all contractual documents or furnish executed bond(s) within 10 days after receipt of the forms by the bidder, the Contracting Officer may terminate the contract for default.

(e) In the event the contract is terminated for default, the bidder is liable for any cost of acquiring the work that exceeds the amount of its bid, and the bid guarantee is available to offset the difference.

(End of provision)

#### 16 52.233-2 SERVICE OF PROTEST (AUG 1996)

(a) Protests, as defined in section 33.101 of the Federal Acquisition Regulation, that are filed directly with an agency, and copies of any protests that are filed with the General Accounting Office (GAO), shall be served on the Contracting Officer (addressed as follows) by obtaining written and dated acknowledgment of receipt from

CHIEF, CONTRACTING DIVISION  
ATTN: CEMVM-CT - ROOM 681  
US ARMY, ENGINEER DISTRICT, MEMPHIS  
167 NORTH MAIN STREET B202  
MEMPHIS, TN 38103-1894

(b) The copy of any protest shall be received in the office designated above within one day of filing a protest with the GAO.

(End of provision)

#### 17 52.236-7008 CONTRACT PRICES--BIDDING SCHEDULES (DEC 1991)

(a) The Government's payment for the items listed in the Bidding Schedule shall constitute full compensation to the Contractor for--

(1) Furnishing all plant, labor, equipment, appliances, and materials;  
and

(2) Performing all operations required to complete the work in conformity with the drawings and specifications.

(b) The Contractor shall include in the prices for the items listed in the Bidding Schedule all costs for work in the specifications, whether or not specifically listed in the Bidding Schedule.

(End of provision)

#### 18 52.214-5000 ARITHMETIC DISCREPANCIES (MAR 1995)--EFARS

(a) For the purpose of initial evaluations of bids, the following will be

utilized in the resolving arithmetic discrepancies found on the face of bidding schedule as submitted by the bidder:

- (1) Obviously misplaced decimal points will be corrected;
  - (2) Discrepancy between unit price and extended price, the unit price will govern;
  - (3) Apparent errors in extension of unit prices will be corrected;
  - (4) Apparent errors in addition of lump-sum and extended prices will be corrected.
- (b) For the purpose of bid evaluation, the government will proceed on the assumption that the bidder intends his bid to be evaluated on basis of the unit prices, the totals arrived at by resolution of arithmetic discrepancies as provided above and the bid will be so reflected on the abstract of bids.
- (c) These correction procedures shall not be used to resolve any ambiguity concerning which bid is low.
- (End of statement)

## 19 52.0-4019 PREAWARD INFORMATION

Each bidder shall, upon request of the Contracting Officer, furnish a statement of whether he is now or ever has been engaged in any work similar to that covered by the specifications herein, the dollar value thereof, the year in which such work was performed, and the manner of its execution and giving such other information as will tend to show the bidder's ability to prosecute the required work. The "such other information" referred to above shall include but is not limited to the following:

- (a) The name and address of the office or firm under which such similar work was performed.
- (b) A list of key personnel available for the instant project and their qualifications.
- (c) A copy of bidder's latest financial statement, including the names of banks or other financial institutions with which the bidder conducts business. If the financial statement is more than 60 days old, a certificate should be attached stating that financial condition is substantially the same, or if not the same, the changes that have taken place. Such statement will be treated as confidential.
- (d) A list of present commitments, including the dollar value thereof, and name of office under which work is being performed.

## 20 52.0-4047 SITE OF THE WORK

Bidders are advised that for the purpose of applicability of the

Davis-Bacon Act and other contract labor standards provisions, "the site of the work" under the contract to be awarded pursuant to the solicitation may not be limited to the physical place(s) where the construction called for in the contract will remain when work on it has been completed. The "site of the work" may include other adjacent or nearby property used by the contractor or subcontractors during such construction. For example, fabrication plants, mobile factories, batch plants, borrow pits, job headquarters, tool yards, etc., will be considered part of the site of the work, provided they are dedicated exclusively or nearly so to performance on the contract and are located in proximity to the actual construction location that it would be reasonable to include them.

## 21 52.0-4048 QUANTITY ESTIMATES

Estimates of quantities involved in certain items of work for which bids are being solicited on a lump sum or job basis have been made for the use of the Government. Copies of these quantity estimates may be obtained from the U S Army Engineer District Memphis, 167 North Main Street, Room 762, Memphis, Tennessee 38103-1894, telephone 901/544-3236. It is to be expressly understood that the accuracy of these estimates is in no way warranted and that the furnishing of this information to a bidder will not relieve him of his responsibility to estimate the quantities involved. It is further to be expressly understood that in no case will such estimate be used as a basis of claim against the Government.

## 22 52.0-4049 CONDITIONS AFFECTING THE WORK

Bidders should visit the site and take such other steps as may be reasonably necessary to ascertain the nature and location of the work, and the general and local conditions which can affect the work or the cost thereof. Failure to do so will not relieve bidders from responsibility for estimating properly the difficulty or cost of successfully performing the work. The Government will assume no responsibility for any understanding or representations concerning conditions made by any of its officers or agents prior to the execution of the contract, unless included in the Solicitation, the specifications, or related documents.

## 23 52.0-4055 NEGOTIATIONS AFTER SEALED BIDDING

- (a) This clause applies if after bid opening the Contracting

Officer determines that all otherwise acceptable bids received are at unreasonable prices, or only one bid is received and the Contracting Officer cannot determine the reasonableness of the bid price, or no responsive bid has been received from a responsible bidder; or the bids were not independently arrived at in open competition, were collusive, or were submitted in bad faith.

(b) The Government has the option to reject all bids received in response to the sealed bid advertisement and initiate negotiation. Negotiations will include soliciting offers from each responsible bidder that submits a bid in response to the solicitation.

(c) If after bid opening the Contracting Officer determines under (a) above that negotiations are in the best interest of the Government, the following steps will be followed:

(1) An amendment to the sealed bid advertisement will be issued to each responsible bidder changing the solicitation number to a request for proposal number. The amendment will also make any necessary changes to the scope of work.

(2) A cover letter signed by the negotiator will accompany the amendment explaining the procedures to be followed during negotiations.

(3) In the event there is only one responsible bidder under the initial sealed bid solicitation, cost or pricing data requirements set forth in FAR 15.804 will apply as will clause FAR 52.215-2, "Audit and Records - Negotiation".

#### 24 52.0-4058 PROGRAM DATA

**AUTHORITY:** The work provided for herein is authorized by the Flood Control Act approved 15 JUN 1936, as amended.

#### 25 52.0-4060 REVISION AND AMENDMENT TO SOLICITATION FOR BIDS

The right is reserved, as the interest of the Government may require, to revise or amend the specifications or drawings or both prior to the date set for opening bids. Such revisions and amendments, if any, will be announced by an amendment or amendments to this Solicitation for Bids. If revisions and amendments are of a nature which requires material changes in quantities or prices bid or both, the date set for opening bids may be postponed by such number of days as in the opinion of the issuing officer will enable bidders to revise their bids. In such cases, the amendment will include an announcement of new date for opening bids.



26 52.0-4074 CERTIFICATE OF COMPETENCY (APR 1993)

- (a) In the event of a determination of nonresponsibility on a small business offeror, the Contracting Officer will -
  - (1) Notify the Offeror, in writing, of the determination and of the Offeror's right, under Section 8(b)(7) of the Small Business Act, to request that the Small Business Administration (SBA) make a determination of the Offeror's responsibility under the Certificate of Competency Program.
  - (2) Withhold award until the Offeror's response is received or 14 calendar days from receipt of the notice by the Offeror.
  - (3) Upon timely receipt of an affirmative response from the Offeror, refer the matter to the SBA.
  - (4) Upon receipt of a negative response from the Offeror, or if a timely response is not received, award to another offeror.
- (b) The Offeror shall notify the Contracting Officer, in writing, within 14 days of receipt of a notification of a nonresponsibility determination, as to whether or not it desires a request for an SBA determination of responsibility.

(DFARS 252.219-7009)

27 52.0-4078 (FAR 52.236-27) SITE VISIT (CONSTRUCTION) (ALTERNATE I)  
(FEB 1995)

(a) The clauses at 52.236-2, Differing Site Conditions, and 52.236-3, Site Investigations and Conditions Affecting the Work, will be included in any contract awarded as a result of this solicitation. Accordingly, bidders are urged and expected to inspect the site where the work will be performed.

(b) Two organized site visits have been scheduled for August 24, 1999 and August 31, 1999.

(c) BIDDERS DESIRING A SITE VISIT SHALL CONTACT THE AREA ENGINEER AT LEAST ONE DAY PRIOR TO THE SCHEDULED SITE VISIT.

NAME: Stephen P. Shankle  
Area Engineer

ADDRESS: Caruthersville Area Office  
706 Harry S. Truman Boulevard  
Caruthersville, Missouri 63830-1268

TELEPHONE: 901/544-3074 or 573/333-1043

COLLECT TELEPHONE CALLS WILL NOT BE ACCEPTED.

(End of Provision)

28 52.0-4079 SUBMISSION OF OFFERS IN U.S. CURRENCY (APR 1991)  
FAR 52.0214-0035

Offers submitted in response to this solicitation shall be in terms of U.S. dollars. Offers received in other than U.S. dollars shall be rejected.

(End of provision)

END OF SECTION 00100

**TABLE OF CONTENTS**  
**SECTION 00600**  
**REPRESENTATIONS & CERTIFICATIONS**

1	52.203-2	CERTIFICATE OF INDEPENDENT PRICE DETERMINATION (APR 1985)
2	52.203-11	CERTIFICATION AND DISCLOSURE REGARDING PAYMENTS TO INFLUENCE CERTAIN FEDERAL TRANSACTIONS (APR 1991)
3	52.204-3	TAXPAYER IDENTIFICATION (OCT 1998)
4	52.204-5	WOMEN-OWNED BUSINESS (OTHER THAN SMALL BUSINESS) (MAY 1999)
5	52.204-6	DATA UNIVERSAL NUMBERING SYSTEM (DUNS) NUMBER (JUN 1999)
6	52.209-5	CERTIFICATION REGARDING DEBARMENT, SUSPENSION, PROPOSED DEBARMENT, AND OTHER RESPONSIBILITY MATTERS (MAR 1996)
7	52.219-2	EQUAL LOW BIDS (OCT 1995)
8	52.219-19	SMALL BUSINESS CONCERN REPRESENTATION FOR THE SMALL BUSINESS COMPETITIVENESS DEMONSTRATION PROGRAM (JAN 1997)
9	52.222-21	PROHIBITION OF SEGREGATED FACILITIES (FEB 1999)
10	52.222-22	PREVIOUS CONTRACTS AND COMPLIANCE REPORTS (FEB 1999)
11	52.223-1	CLEAN AIR AND WATER CERTIFICATION (APR 1984)
12	52.223-13	CERTIFICATION OF TOXIC CHEMICAL RELEASE REPORTING (OCT 1996)
13	52.204-7001	COMMERCIAL AND GOVERNMENT ENTITY (CAGE) CODE REPORTING (DEC 1991)
14	52.209-7001	DISCLOSURE OF OWNERSHIP OR CONTROL BY THE GOVERNMENT OF A TERRORIST COUNTRY (MAR 1998)
15	52.0-4031	CORPORATE CERTIFICATION
16	52.0-4096	52.219-1 I & II SMALL BUSINESS PROGRAM REPRESENTATIONS (OCT 1998)--ALTERNATE I (OCT 1998) - ALTERNATE II (JAN 1999)

**SECTION 00600**  
**REPRESENTATIONS & CERTIFICATIONS**

1 52.203-2 CERTIFICATE OF INDEPENDENT PRICE DETERMINATION (APR 1985)

(a) The offeror certifies that--

(1) The prices in this offer have been arrived at independently, without, for the purpose of restricting competition, any consultation, communication, or agreement with any other offeror or competitor relating to (i) those prices, (ii) the intention to submit an offer, or (iii) the methods or factors used to calculate the prices offered;

(2) The prices in this offer have not been and will not be knowingly disclosed by the offeror, directly or indirectly, to any other offeror or competitor before bid opening (in the case of a sealed bid solicitation) or contract award (in the case of a negotiated solicitation) unless otherwise required by law; and

(3) No attempt has been made or will be made by the offeror to induce any other concern to submit or not to submit an offer for the purpose of restricting competition.

(b) Each signature on the offer is considered to be a certification by the signatory that the signatory--

(1) Is the person in the offeror's organization responsible for determining the prices being offered in this bid or proposal, and that the signatory has not participated and will not participate in any action contrary to subparagraphs (a)(1) through (a)(3) above; or

(2)(i) Has been authorized, in writing, to act as agent for the following principals in certifying that those principals have not participated, and will not participate in any action contrary to subparagraphs (a)(1) through (a)(3) above \_\_\_\_\_

---

(insert full name of person(s) in the offeror's organization responsible for determining the prices offered in this bid or proposal, and the title of his or her position in the offeror's organization);

(ii) As an authorized agent, does certify that the principals named in subdivision (b)(2)(i) above have not participated, and will not participate, in any action contrary to subparagraphs (a)(1) through (a)(3) above; and

(iii) As an agent, has not personally participated, and will not participate, in any action contrary to subparagraphs (a)(1) through (a)(3) above.

(c) If the offeror deletes or modifies subparagraph (a)(2) above, the offeror must furnish with its offer a signed statement setting forth in detail the circumstances of the disclosure.

(End of provision)

2 52.203-11 CERTIFICATION AND DISCLOSURE REGARDING PAYMENTS TO  
INFLUENCE CERTAIN FEDERAL TRANSACTIONS (APR 1991)

(a) The definitions and prohibitions contained in the clause, at FAR 52.203-12, Limitation on Payments to Influence Certain Federal Transactions, included in this solicitation, are hereby incorporated by reference in paragraph (b) of this certification.

(b) The offeror, by signing its offer, hereby certifies to the best of his or her knowledge and belief that on or after December 23, 1989--

(1) No Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress on his or her behalf in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment or modification of any Federal contract, grant, loan, or cooperative agreement;

(2) If any funds other than Federal appropriated funds (including profit or fee received under a covered Federal transaction) have been paid, or will be paid, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress on his or her behalf in connection with this solicitation, the offeror shall complete and submit, with its offer, OMB standard form LLL, Disclosure of Lobbying Activities, to the Contracting Officer; and

(3) He or she will include the language of this certification in all subcontract awards at any tier and require that all recipients of subcontract awards in excess of \$100,000 shall certify and disclose accordingly.

(c) Submission of this certification and disclosure is a prerequisite for making or entering into this contract imposed by section 1352, title 31, United States Code. Any person who makes an expenditure prohibited under this provision or who fails to file or amend the disclosure form to be filed or amended by this provision, shall be subject to a civil penalty of not less than \$10,000, and not more than \$100,000, for each such failure.

(End of provision)

3 52.204-3 TAXPAYER IDENTIFICATION (OCT 1998)

(a) Definitions.

Common parent, as used in this provision, means that corporate entity that owns or controls an affiliated group of corporations

that files its Federal income tax returns on a consolidated basis, and of which the offeror is a member.

Taxpayer Identification Number (TIN), as used in this provision, means the number required by the Internal Revenue Service (IRS) to be used by the offeror in reporting income tax and other returns. The TIN may be either a Social Security Number or an Employer Identification Number.

(b) All offerors must submit the information required in paragraphs (d) through (f) of this provision to comply with debt collection requirements of 31 U.S.C. 7701(c) and 3325(d), reporting requirements of 26 U.S.C. 6041, 6041A, and 6050M, and implementing regulations issued by the IRS. If the resulting contract is subject to the payment reporting requirements described in Federal Acquisition Regulation (FAR) 4.904, the failure or refusal by the offeror to furnish the information may result in a 31 percent reduction of payments otherwise due under the contract.

(c) The TIN may be used by the Government to collect and report on any delinquent amounts arising out of the offeror's relationship with the Government (31 U.S.C. 7701(c)(3)). If the resulting contract is subject to the payment reporting requirements described in FAR 4.904, the TIN provided hereunder may be matched with IRS records to verify the accuracy of the offeror's TIN.

(d) Taxpayer Identification Number (TIN).

☐ TIN: \_\_\_\_\_

☐ TIN has been applied for.

☐ TIN is not required because:

☐ Offeror is a nonresident alien, foreign corporation, or foreign partnership that does not have income effectively connected with the conduct of a trade or business in the United States and does not have an office or place of business or a fiscal paying agent in the United States;

☐ Offeror is an agency or instrumentality of a foreign government;

☐ Offeror is an agency or instrumentality of the Federal Government.

(e) Type of organization.

☐ Sole proprietorship;

☐ Partnership;

☐ Corporate entity (not tax-exempt);

☐ Corporate entity (tax-exempt);

☐ Government entity (Federal, State, or local);

☐ Foreign government;

☐ International organization per 26 CFR 1.6049-4;

☐ Other \_\_\_\_\_

(f) Common parent.

☐ Offeror is not owned or controlled by a common parent as

defined in paragraph (a) of this provision.

[ ] Name and TIN of common parent:

Name \_\_\_\_\_

TIN \_\_\_\_\_

(End of provision)

4 52.204-5 WOMEN-OWNED BUSINESS (OTHER THAN SMALL BUSINESS)  
(MAY 1999)

(a) Definition. Women-owned business concern, as used in this provision, means a concern that is at least 51 percent owned by one or more women; or in the case of any publicly owned business, at least 51 percent of its stock is owned by one or more women; and whose management and daily business operations are controlled by one or more women.

(b) Representation. [Complete only if the offeror is a women-owned business concern and has not represented itself as a small business concern in paragraph (b)(1) of FAR 52.219-1, Small Business Program Representations, of this solicitation.] The offeror represents that it [ ] is a women-owned business concern.

(End of provision)

5 52.204-6 DATA UNIVERSAL NUMBERING SYSTEM (DUNS) NUMBER (JUN 1999)

(a) The offeror shall enter, in the block with its name and address on the cover page of its offer, the annotation "DUNS" followed by the DUNS number which identifies the offeror's name and address exactly as stated in the offer. The DUNS number is a nine-digit number assigned by Dun and Bradstreet Information Services.

(b) If the offeror does not have a DUNS number, it should contact Dun and Bradstreet directly to obtain one. A DUNS number will be provided immediately by telephone at no charge to the offeror. For information on obtaining a DUNS number, the offeror, if located within the United States, should call Dun and Bradstreet at 1-800-333-0505. The offeror should be prepared to provide the following information:

- (1) Company name.
- (2) Company address.
- (3) Company telephone number.
- (4) Line of business.
- (5) Chief executive officer/key manager.
- (6) Date the company was started.
- (7) Number of people employed by the company.
- (8) Company affiliation.

(c) Offerors located outside the United States may obtain the location and phone number of the local Dun and Bradstreet Information Services office from the Internet home page at <http://www.customerservice@dnb.com/>.

If an offeror is unable to locate a local service center, it may send an e-mail to Dun and Bradstreet at globalinfo@mail.dnb.com.  
(End of provision)

6 52.209-5 CERTIFICATION REGARDING DEBARMENT, SUSPENSION, PROPOSED DEBARMENT, AND OTHER RESPONSIBILITY MATTERS (MAR 1996)

(a)(1) The Offeror certifies, to the best of its knowledge and belief, that--

(i) The Offeror and/or any of its Principals--

(A) Are // are not // presently debarred, suspended, proposed for debarment, or declared ineligible for the award of contracts by any Federal agency;

(B) Have // have not //, within a three-year period preceding this offer, been convicted of or had a civil judgment rendered against them for: commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, state, or local) contract or subcontract; violation of Federal or state antitrust statutes relating to the submission of offers; or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, tax evasion, or receiving stolen property; and

(C) Are // are not // presently indicted for, or otherwise criminally or civilly charged by a governmental entity with, commission of any of the offenses enumerated in subdivision (a)(1)(i)(B) of this provision.

(ii) The Offeror has // has not //, within a three-year period preceding this offer, had one or more contracts terminated for default by any Federal agency.

(2) "Principals," for the purposes of this certification, means officers; directors; owners; partners; and, persons having primary management or supervisory responsibilities within a business entity (e.g., general manager; plant manager; head of a subsidiary, division, or business segment, and similar positions).

THIS CERTIFICATION CONCERNS A MATTER WITHIN THE JURISDICTION OF AN AGENCY

OF THE UNITED STATES AND THE MAKING OF A FALSE, FICTITIOUS, OR FRAUDULENT

CERTIFICATION MAY RENDER THE MAKER SUBJECT TO PROSECUTION UNDER SECTION

1001, TITLE 18, UNITED STATES CODE.

(b) The Offeror shall provide immediate written notice to the Contracting Officer if, at any time prior to contract award, the Offeror learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.

(c) A certification that any of the items in paragraph (a) of this



provision exists will not necessarily result in withholding of an award under this solicitation. However, the certification will be considered in connection with a determination of the Offeror's responsibility. Failure of the Offeror to furnish a certification or provide such additional information as requested by the Contracting Officer may render the Offeror nonresponsible.

(d) Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render, in good faith, the certification required by paragraph (a) of this provision. The knowledge and information of an Offeror is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

(e) The certification in paragraph (a) of this provision is a material representation of fact upon which reliance was placed when making award. If it is later determined that the Offeror knowingly rendered an erroneous certification, in addition to other remedies available to the Government, the Contracting Officer may terminate the contract resulting from this solicitation for default.

(End of provision)

#### 7 52.219-2 EQUAL LOW BIDS (OCT 1995)

(a) This provision applies to small business concerns only.

(b) The bidder's status as a labor surplus area (LSA) concern may affect entitlement to award in case of tie bids. If the bidder wishes to be considered for this priority, the bidder must identify, in the following space, the LSA in which the costs to be incurred on account of manufacturing or production (by the bidder or the first-tier subcontractors) amount to more than 50 percent of the contract price.

---

(c) Failure to identify the labor surplus areas as specified in paragraph (b) of this provision will preclude the bidder from receiving priority consideration. If the bidder is awarded a contract as a result of receiving priority consideration under this provision and would not have otherwise received award, the bidder shall perform the contract or cause the contract to be performed in accordance with the obligations of an LSA concern.

(End of provision)

#### 8 52.219-19 SMALL BUSINESS CONCERN REPRESENTATION FOR THE SMALL BUSINESS COMPETITIVENESS DEMONSTRATION PROGRAM (JAN 1997)

(a) Definition.

"Emerging small business" as used in this solicitation, means a small

business concern whose size is no greater than 50 percent of the numerical size standard applicable to the standard industrial classification code assigned to a contracting opportunity.

(b) (Complete only if the Offeror has represented itself under the provision at 52.219-1 as a small business concern under the size standards of this solicitation.)

The Offeror [ ] is, [ ] is not an emerging small business.

(c) (Complete only if the Offeror is a small business or an emerging small business, indicating its size range.)

Offeror's number of employees for the past 12 months (check this column if size standard stated in solicitation is expressed in terms of number of employees) or Offeror's average annual gross revenue for the last 3 fiscal years (check this column if size standard stated in solicitation is expressed in terms of annual receipts). (Check one of the following.)

No. of Employees	Avg. Annual Gross Revenues
_____ 50 or fewer	_____ \$1 million or less
_____ 51-100	_____ \$1,000,001-\$2 million
_____ 101-250	_____ \$2,000,001-\$3.5 million
_____ 251-500	_____ \$3,500,001-\$5 million
_____ 501-750	_____ \$5,000,001-\$10 million
_____ 751-1,000	_____ \$10,000,001-\$17 million
_____ Over 1,000	_____ Over \$17 million

(End of provision)

#### 9 52.222-21 PROHIBITION OF SEGREGATED FACILITIES (FEB 1999)

(a) Segregated facilities, as used in this clause, means any waiting rooms, work areas, rest rooms and wash rooms, restaurants and other eating areas, time clocks, locker rooms and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation, and housing facilities provided for employees, that are segregated by explicit directive or are in fact segregated on the basis of race, color, religion, sex, or national origin because of written or oral policies or employee custom. The term does not include separate or single-user rest rooms or necessary dressing or sleeping areas provided to assure privacy between the sexes.

(b) The Contractor agrees that it does not and will not maintain or provide for its employees any segregated facilities at any of its establishments, and that it does not and will not permit its employees to perform their services at any location under its control where segregated facilities are maintained. The Contractor

agrees that a breach of this clause is a violation of the Equal Opportunity clause in this contract.

(c) The Contractor shall include this clause in every subcontract and purchase order that is subject to the Equal Opportunity clause of this contract.

(End of clause)

10 52.222-22 PREVIOUS CONTRACTS AND COMPLIANCE REPORTS (FEB 1999)

The offeror represents that--

(a) It /\_/ has, /\_/ has not participated in a previous contract or subcontract subject to the Equal Opportunity clause of this solicitation;

(b) It /\_/ has, /\_/ has not, filed all required compliance reports; and

(c) Representations indicating submission of required compliance reports, signed by proposed subcontractors, will be obtained before subcontract awards.

(End of provision)

11 52.223-1 CLEAN AIR AND WATER CERTIFICATION (APR 1984)

The Offeror certifies that--

(a) Any facility to be used in the performance of this proposed contract is /\_/ is not /\_/ listed on the Environmental Protection Agency (EPA) List of Violating Facilities;

(b) The Offeror will immediately notify the Contracting Officer, before award, of the receipt of any communication from the Administrator, or a designee, of the EPA, indicating that any facility that the Offeror proposes to use for the performance of the contract is under consideration to be listed on the EPA List of Violating Facilities; and

(c) The Offeror will include a certification substantially the same as this certification, including this paragraph (c), in every nonexempt subcontract.

(End of provision)

(AV 7-2003.71 1977 JUN)

(AV 1-1.2302-1)

12 52.223-13 CERTIFICATION OF TOXIC CHEMICAL RELEASE REPORTING (OCT 1996)

(a) Submission of this certification is a prerequisite for making or entering into this contract imposed by Executive Order 12969, August 8, 1995.

(b) By signing this offer, the offeror certifies that----

(1) As the owner or operator of facilities that will be used in the performance of this contract that are subject to the filing and reporting

requirements described in section 313 of the Emergency Planning and Community Right-to-Know Act of 1986 (EPCRA) (42 U.S.C. 11023) and section 6607 of the Pollution Prevention Act of 1990 (PPA) (42 U.S.C. 13106), the offeror will file and continue to file for such facilities for the life of the contract the Toxic Chemical Release Inventory Form (Form R) as described in sections 313(a) and (g) of EPCRA and section 6607 of PPA; or

(2) None of its owned or operated facilities to be used in the performance of this contract is subject to the Form R filing and reporting requirements because each such facility is exempt for at least one of the following reasons: (Check each block that is applicable.)

☐ (i) The facility does not manufacture, process, or otherwise use any toxic chemicals listed under section 313(c) of EPCRA, 42 U.S.C. 11023(c);

☐ (ii) The facility does not have 10 or more full-time employees as specified in section 313(b)(1)(A) of EPCRA, 42 U.S.C. 11023(b)(1)(A);

☐ (iii) The facility does not meet the reporting thresholds of toxic chemicals established under section 313(f) of EPCRA, 42 U.S.C. 11023(f) (including the alternate thresholds at 40 CFR 372.27, provided an appropriate certification form has been filed with EPA);

☐ (iv) The facility does not fall within Standard Industrial Classification Code (SIC) designations 20 through 39 as set forth in Section 19.102 of the Federal Acquisition Regulation; or

☐ (v) The facility is not located within any State of the United States, the District of Columbia, the Commonwealth of Puerto Rico, Guam, American Samoa, the United States Virgin Islands, the Northern Mariana Islands, or any other territory or possession over which the United States has jurisdiction.

(End of provision)

### 13 52.204-7001 COMMERCIAL AND GOVERNMENT ENTITY (CAGE) CODE REPORTING (DEC 1991)

(a) The Offeror is requested to enter its CAGE code on its offer in the block with its name and address. The CAGE code entered must be for that name and address. Enter CAGE before the number.

(b) If the Offeror does not have a CAGE code, it may ask the Contracting Officer to request one from the Defense Logistics Services Center (DLSC). The Contracting Officer will--

(1) Ask the Contractor to complete section B of a DD Form 2051, Request for Assignment of a Commercial and Government Entity (CAGE) Code;

(2) Complete section A and forward the form to DLSC; and

(3) Notify the Contractor of its assigned CAGE code.

(c) Do not delay submission of the offer pending receipt of a CAGE code.

(End of provision)

14 52.209-7001 DISCLOSURE OF OWNERSHIP OR CONTROL BY THE GOVERNMENT  
OF A TERRORIST COUNTRY (MAR 1998)

(a) Definitions.

As used in this provision--

(1) "Government of a terrorist country" includes the state and the government of a terrorist country, as well as any political subdivision, agency, or instrumentality thereof.

(2) "Terrorist country" means a country determined by the Secretary of State, under section 6(j)(1)(A) of the Export Administration Act of 1979 (50 U.S.C. App. 2405(j)(i)(A)), to be a country the government of which has repeatedly provided support for acts of international terrorism. As of the date of this provision, terrorist countries include: Cuba, Iran, Iraq, Libya, North Korea, Sudan, and Syria.

(3) "Significant interest" means--

(i) Ownership of or beneficial interest in 5 percent or more of the firm's or subsidiary's securities. Beneficial interest includes holding 5 percent or more of any class of the firm's securities in "nominee shares," "street names," or some other method of holding securities that does not disclose the beneficial owner;

(ii) Holding a management position in the firm, such as a director or officer;

(iii) Ability to control or influence the election, appointment, or tenure of directors or officers in the firm;

(iv) Ownership of 10 percent or more of the assets of a firm such as equipment, buildings, real estate, or other tangible assets of the firm; or

(v) Holding 50 percent or more of the indebtedness of a firm.

(b) Prohibition on award. In accordance with 10 U.S.C. 2327, no contract may be awarded to a firm or a subsidiary of a firm if the government of a terrorist country has a significant interest in the firm or subsidiary or, in the case of a subsidiary, the firm that owns the subsidiary, unless a waiver is granted by the Secretary of Defense.

(c) Disclosure.

If the government of a terrorist country has a significant interest in the Offeror or a subsidiary of the Offeror, the Offeror shall disclose such interest in an attachment to its offer. If the Offeror is a subsidiary, it shall also disclose any significant interest the government of a terrorist country has in any firm that owns or controls the subsidiary. The disclosure shall include--

(1) Identification of each government holding a significant interest; and

(2) A description of the significant interest held by each government.

(End of provision)

## 15 52.0-4031 CORPORATE CERTIFICATION

IF A BIDDER IS A CORPORATION OR IF CORPORATION IS PARTICIPATING IN A JOINT VENTURE, PLEASE COMPLETE THE FOLLOWING CERTIFICATION:

I, \_\_\_\_\_, certify that I am secretary of the corporation named as Contractor herein; that \_\_\_\_\_ who signed this contract on behalf of the Contractor; was then \_\_\_\_\_ of said corporation; that said contract was duly signed for and on behalf of said corporation by authority of its governing body and is within the scope of its corporate powers.  
(CORPORATE SEAL)

\_\_\_\_\_  
(Secretary)

IF A CORPORATION IS PARTICIPATING AS A JOINT VENTURE, ITS SECRETARY MUST SUBMIT A CERTIFICATE STATING THE CORPORATION IS AUTHORIZED TO PARTICIPATE.

16 52.0-4096 52.219-1 I & II SMALL BUSINESS PROGRAM REPRESENTATIONS  
(OCT 1998)--ALTERNATE I (OCT 1998) - ALTERNATE II (JAN 1999)

(a)(1) The standard industrial classification (SIC) code for this acquisition is 1622.

(2) The small business size standard is \$17,000,000.00.

(3) The small business size standard for a concern which submits an offer in its own name, other than on a construction or service contract, but which proposes to furnish a product which it did not itself manufacture, is 500 employees.

(b) Representations. (1) The offeror represents as part of its offer that it ☐ is, ☐ is not a small business concern.

(2) (Complete only if offeror represented itself as a small business concern in paragraph (b)(1) of this provision.) The offeror represents, for general statistical purposes, that it ☐ is, ☐ is not, a small disadvantaged business concern as defined in 13 CFR 124.1002.

(3) (Complete only if offeror represented itself as a small business concern in paragraph (b)(1) of this provision.) The offeror represents as part of its offer that it ☐ is, ☐ is not a women-owned small business concern.

(4) (Complete if offeror represented itself as disadvantaged in paragraph (b)(2) of this provision). [The offeror shall check the category in which its ownership falls]:

\_\_\_\_ Black American.

\_\_\_\_ Hispanic American.

\_\_\_\_ Native American (American Indians, Eskimos, Aleuts, or Native Hawaiians).

\_\_\_\_ Asian-Pacific American (persons with origins from Burma,

Thailand, Malaysia, Indonesia, Singapore, Brunei, Japan, China, Taiwan, Laos, Cambodia (Kampuchea), Vietnam, Korea, The Philippines, U.S. Trust Territory of the Pacific Islands (Republic of Palau), Republic of the Marshall Islands, Federated States of Micronesia, the Commonwealth of the Northern Mariana Islands, Guam, Samoa, Macao, Hong Kong, Fiji, Tonga, Kiribati, Tuvalu, or Nauru).

\_\_\_\_ Subcontinent Asian (Asian-Indian) American (persons with origins from India, Pakistan, Bangladesh, Sri Lanka, Bhutan, the Maldives Islands, or Nepal).

\_\_\_\_ Individual/concern, other than one of the preceding.

(5) (Complete only if offeror represented itself as a small business concern in paragraph (b)(1) of this provision.) The offeror represents, as part of its offer, that--

(i) It \_\_\_\_\_ is, \_\_\_\_\_ is not a HUBZone small business concern listed, on the date of this representation, on the List of Qualified HUBZone Small Business Concerns maintained by the Small Business Administration, and no material change in ownership and control, principal place of ownership, or HUBZone employee percentage has occurred since it was certified by the Small Business Administration in accordance with 13 CFR part 126; and

(ii) It \_\_\_\_\_ is, \_\_\_\_\_ is not a joint venture that complies with the requirements of 13 CFR part 126, and the representation in paragraph (b)(5)(i) of this provision is accurate for the HUBZone small business concern or concerns that are participating in the joint venture. (The offeror shall enter the name or names of the HUBZone small business concern or concerns that are participating in the joint venture: \_\_\_\_\_.)

Each HUBZone small business concern participating in the joint venture shall submit a separate signed copy of the HUBZone representation.

(c) Definitions.

"Small business concern," as used in this provision, means a concern, including its affiliates, that is independently owned and operated, not dominant in the field of operation in which it is bidding on Government contracts, and qualified as a small business under the criteria in 13 CFR Part 121 and the size standard in paragraph (a) of this provision.

"Women-owned small business concern," as used in this provision, means a small business concern--

(1) Which is at least 51 percent owned by one or more women or, in the case of any publicly owned business, at least 51 percent of the stock of which is owned by one or more women; and

(2) Whose management and daily business operations are controlled by one or more women.

(d) Notice. (1) If this solicitation is for supplies and has been set aside, in whole or in part, for small business concerns, then the clause in this solicitation providing notice of the set-aside contains restrictions

on the source of the end items to be furnished.

(2) Under 15 U.S.C. 645(d), any person who misrepresents a firm's status as a small or small disadvantaged business concern in order to obtain a contract to be awarded under the preference programs established pursuant to sections 8(a), 8(d), 9, or 15 of the Small Business Act or any other provision of Federal law that specifically references section 8(d) for a definition of program eligibility, shall--

- (i) Be punished by imposition of fine, imprisonment, or both;
- (ii) Be subject to administrative remedies, including suspension and debarment; and
- (iii) Be ineligible for participation in programs conducted under the authority of the Act.

(End of provision)

END OF SECTION 00600



**TABLE OF CONTENTS**  
**SECTION 00700**  
**CONTRACT CLAUSES**

1	52.252-2	CLAUSES INCORPORATED BY REFERENCE (FEB 1998)
2	52.202-1 I	DEFINITIONS (OCT 1995)--ALTERNATE I (APR 1984)
3	52.203-3	GRATUITIES (APR 1984)
4	52.203-5	COVENANT AGAINST CONTINGENT FEES (APR 1984)
5	52.203-7	ANTI-KICKBACK PROCEDURES (JUL 1995)
6	52.203-8	CANCELLATION, RESCISSION, AND RECOVERY OF FUNDS FOR ILLEGAL OR IMPROPER ACTIVITY (JAN 1997)
7	52.203-10	PRICE OR FEE ADJUSTMENT FOR ILLEGAL OR IMPROPER ACTIVITY (JAN 1997)
8	52.203-12	LIMITATION ON PAYMENTS TO INFLUENCE CERTAIN FEDERAL TRANSACTIONS (JUN 1997)
9	52.204-4	PRINTING/COPYING DOUBLE-SIDED ON RECYCLED PAPER (JUN 1996)
10	52.209-6	PROTECTING THE GOVERNMENT'S INTEREST WHEN SUBCONTRACTING WITH CONTRACTOR DEBARRED, SUSPENDED, OR PROPOSED FOR DEBARMENT (JUL 1995)
11	52.211-18	VARIATION IN ESTIMATED QUANTITY (APR 1984)
12	52.214-26	AUDIT AND RECORDS--SEALED BIDDING (OCT 1997)
13	52.214-27	PRICE REDUCTION FOR DEFECTIVE COST OR PRICING DATA-- MODIFICATIONS--SEALED BIDDING (OCT 1997)
14	52.214-28	SUBCONTRACTOR COST OR PRICING DATA--MODIFICATIONS-- SEALED BIDDING (OCT 1997)
15	52.214-29	ORDER OF PRECEDENCE--SEALED BIDDING (JAN 1986)
16	52.219-6	NOTICE OF TOTAL SMALL BUSINESS SET-ASIDE (JUL 1996)
17	52.219-8	UTILIZATION OF SMALL BUSINESS CONCERNS (JUN 1999)
18	52.219-14	LIMITATIONS ON SUBCONTRACTING (DEC 1996)
19	52.222-3	CONVICT LABOR (AUG 1996)
20	52.222-4	CONTRACT WORK HOURS AND SAFETY STANDARDS ACT-- OVERTIME COMPENSATION (JUL 1995)
21	52.222-6	DAVIS-BACON ACT (FEB 1995)
22	52.222-7	WITHHOLDING OF FUNDS (FEB 1988)
23	52.222-8	PAYROLLS AND BASIC RECORDS (FEB 1988)
24	52.222-9	APPRENTICES AND TRAINEES (FEB 1988)
25	52.222-10	COMPLIANCE WITH COPELAND ACT REQUIREMENTS (FEB 1988)
26	52.222-11	SUBCONTRACTS (LABOR STANDARDS) (FEB 1988)
27	52.222-12	CONTRACT TERMINATION--DEBARMENT (FEB 1988)
28	52.222-13	COMPLIANCE WITH DAVIS-BACON AND RELATED ACT REGULATIONS (FEB 1988)
29	52.222-14	DISPUTES CONCERNING LABOR STANDARDS (FEB 1988)
30	52.222-15	CERTIFICATION OF ELIGIBILITY (FEB 1988)
31	52.222-26	EQUAL OPPORTUNITY (FEB 1999)

32	52.222-27	AFFIRMATIVE ACTION COMPLIANCE REQUIREMENTS FOR CONSTRUCTION (FEB 1999)
33	52.222-35	AFFIRMATIVE ACTION FOR DISABLED VETERANS AND VETERANS OF THE VIETNAM ERA (APR 1998)
34	52.222-36	AFFIRMATIVE ACTION FOR WORKERS WITH DISABILITIES (JUN 1998)
35	52.222-37	EMPLOYMENT REPORTS ON DISABLED VETERANS AND VETERANS OF THE VIETNAM ERA (JAN 1999)
36	52.223-2	CLEAN AIR AND WATER (APR 1984)
37	52.223-3	HAZARDOUS MATERIAL IDENTIFICATION AND MATERIAL SAFETY DATA (JAN 1997)
38	52.223-6	DRUG-FREE WORKPLACE (JAN 1997)
39	52.223-14	TOXIC CHEMICAL RELEASE REPORTING (OCT 1996)
40	52.225-5	BUY AMERICAN ACT--CONSTRUCTION MATERIALS (JUN 1997)
41	52.225-11	RESTRICTIONS ON CERTAIN FOREIGN PURCHASES (AUG 1998)
42	52.227-1	AUTHORIZATION AND CONSENT (JUL 1995)
43	52.227-2	NOTICE AND ASSISTANCE REGARDING PATENT AND COPYRIGHT INFRINGEMENT (AUG 1996)
44	52.227-4	PATENT INDEMNITY--CONSTRUCTION CONTRACTS (APR 1984)
45	52.228-2	ADDITIONAL BOND SECURITY (OCT 1997)
46	52.228-11	PLEDGES OF ASSETS (FEB 1992)
47	52.228-12	PROSPECTIVE SUBCONTRACTOR REQUESTS FOR BONDS (OCT 1995)
48	52.228-14	IRREVOCABLE LETTER OF CREDIT (OCT 1997)
49	52.228-15	Performance and Payment Bonds--Construction (SEP 1996)
50	52.229-3	FEDERAL, STATE, AND LOCAL TAXES (JAN 1991)
51	52.232-5	PAYMENTS UNDER FIXED-PRICE CONSTRUCTION CONTRACTS (MAY 1997)
52	52.232-17	INTEREST (JUN 1996)
53	52.232-23	ASSIGNMENT OF CLAIMS (JAN 1986)
54	52.232-27	PROMPT PAYMENT FOR CONSTRUCTION CONTRACTS (JUN 1997)
55	52.233-1	DISPUTES (DEC 1998)
56	52.233-3	PROTEST AFTER AWARD (AUG 1996)
57	52.236-2	DIFFERING SITE CONDITIONS (APR 1984)
58	52.236-3	SITE INVESTIGATION AND CONDITIONS AFFECTING THE WORK (APR 1984)
59	52.236-5	MATERIAL AND WORKMANSHIP (APR 1984)
60	52.236-6	SUPERINTENDENCE BY THE CONTRACTOR (APR 1984)
61	52.236-7	PERMITS AND RESPONSIBILITIES (NOV 1991)
62	52.236-8	OTHER CONTRACTS (APR 1984)
63	52.236-9	PROTECTION OF EXISTING VEGETATION, STRUCTURES, EQUIPMENT, UTILITIES, AND IMPROVEMENTS (APR 1984)
64	52.236-10	OPERATIONS AND STORAGE AREAS (APR 1984)
65	52.236-11	USE AND POSSESSION PRIOR TO COMPLETION (APR 1984)
66	52.236-12	CLEANING UP (APR 1984)
67	52.236-13 I	ACCIDENT PREVENTION (NOV 1991)--ALTERNATE I (NOV 1991)

68	52.236-15	SCHEDULES FOR CONSTRUCTION CONTRACTS (APR 1984)
69	52.236-21	SPECIFICATIONS AND DRAWINGS FOR CONSTRUCTION (FEB 1997)
70	52.236-26	PRECONSTRUCTION CONFERENCE (FEB 1995)
71	52.242-13	BANKRUPTCY (JUL 1995)
72	52.242-14	SUSPENSION OF WORK (APR 1984)
73	52.243-4	CHANGES (AUG 1987)
74	52.244-6	SUBCONTRACTS FOR COMMERCIAL ITEMS AND COMMERCIAL COMPONENTS (OCT 1998)
75	52.245-2	GOVERNMENT PROPERTY (FIXED-PRICE CONTRACTS) (DEC 1989)
76	52.245-4	GOVERNMENT-FURNISHED PROPERTY (SHORT FORM) (APR 1984)
77	52.246-12	INSPECTION OF CONSTRUCTION (AUG 1996)
78	52.246-13	INSPECTION--DISMANTLING, DEMOLITION, OR REMOVAL OF IMPROVEMENTS (AUG 1996)
79	52.248-3 I	VALUE ENGINEERING--CONSTRUCTION (MAR 1989)-- ALTERNATE I (APR 1984)
80	52.249-1	TERMINATION FOR CONVENIENCE OF THE GOVERNMENT (FIXED-PRICE) (SHORT FORM) (APR 1984)
81	52.249-2 I	TERMINATION FOR CONVENIENCE OF THE GOVERNMENT (FIXED-PRICE) (SEP 1996)--ALTERNATE I (SEP 1996)
82	52.249-3	TERMINATION FOR CONVENIENCE OF THE GOVERNMENT (DISMANTLING, DEMOLITION, OR REMOVAL OF IMPROVEMENTS) (SEP 1996)
83	52.249-10	DEFAULT (FIXED-PRICE CONSTRUCTION) (APR 1984)
84	52.252-4	ALTERATIONS IN CONTRACT (APR 1984)
85	52.252-6	AUTHORIZED DEVIATIONS IN CLAUSES (APR 1984)
86	52.201-7000	CONTRACTING OFFICER'S REPRESENTATIVE (DEC 1991)
87	52.203-7001	PROHIBITION ON PERSONS CONVICTED OF FRAUD OR OTHER DEFENSE-CONTRACT-RELATED FELONIES (MAR 1999)
88	52.204-7004	REQUIRED CENTRAL CONTRACTOR REGISTRATION (MAR 1998)
89	52.223-7001	HAZARD WARNING LABELS (DEC 1991)
90	52.223-7004	DRUG-FREE WORK FORCE (SEP 1988)
91	52.227-7033	RIGHTS IN SHOP DRAWINGS (APR 1966)
92	52.231-7000	SUPPLEMENTAL COST PRINCIPLES (DEC 1991)
93	52.236-7000	MODIFICATION PROPOSALS--PRICE BREAKDOWN (DEC 1991)
94	52.243-7001	PRICING OF CONTRACT MODIFICATIONS (DEC 1991)
95	52.0-4000	CONTRACT CLAUSE AND SOLICITATION PROVISION NUMBERING SYSTEM

## SECTION 00700 CONTRACT CLAUSES

### 1 52.252-2 CLAUSES INCORPORATED BY REFERENCE (FEB 1998)

This contract incorporates one or more clauses by reference, with the same force and effect as if they were given in full text. Upon request, the Contracting Officer will make their full text available. Also, the full text of a clause may be accessed electronically at these addresses:

<http://www.arnet.gov/far>

<http://farsite.hill.af.mil>

<http://www.dtic.mil/dfars>

(End of clause)

### 2 52.202-1 I DEFINITIONS (OCT 1995)--ALTERNATE I (APR 1984)

(a) "Head of the agency" (also called "agency head") or "Secretary" means the Secretary (or Attorney General, Administrator, Governor, Chairperson, or other chief official, as appropriate) of the agency, including any deputy or assistant chief official of the agency; and the term "authorized representative" means any person, persons, or board (other than the Contracting Officer) authorized to act for the head of the agency or Secretary.

(b) Commercial component means any component that is a commercial item.

(c) Component means any item supplied to the Federal Government as part of an end item or of another component.

(d) Nondevelopmental item means--

(1) Any previously developed item of supply used exclusively for governmental purposes by a Federal agency, a State or local government, or a foreign government with which the United States has a mutual defense cooperation agreement;

(2) Any item described in paragraph (e)(1) of this definition that requires only minor modification or modifications of a type customarily available in the commercial marketplace in order to meet the requirements of the procuring department or agency; or

(3) Any item of supply being produced that does not meet the requirements of paragraph (e)(1) or (e)(2) solely because the item is not yet in use.

(e) "Contracting Officer" means a person with the authority to enter into, administer, and/or terminate contracts and make related

determinations and findings. The term includes certain authorized representatives of the Contracting Officer acting within the limits of their authority as delegated by the Contracting Officer.

(f) Except as otherwise provided in this contract, the term "subcontracts" includes, but is not limited to, purchase orders and changes and modifications to purchase orders under this contract.

(End of clause)

### 3 52.203-3 GRATUITIES (APR 1984)

(a) The right of the Contractor to proceed may be terminated by written notice if, after notice and hearing, the agency head or a designee determines that the Contractor, its agent, or another representative--

(1) Offered or gave a gratuity (e.g., an entertainment or gift) to an officer, official, or employee of the Government; and

(2) Intended, by the gratuity, to obtain a contract or favorable treatment under a contract.

(b) The facts supporting this determination may be reviewed by any court having lawful jurisdiction.

(c) If this contract is terminated under paragraph (a) above, the Government is entitled--

(1) To pursue the same remedies as in a breach of the contract; and

(2) In addition to any other damages provided by law, to exemplary damages of not less than 3 nor more than 10 times the cost incurred by the Contractor in giving gratuities to the person concerned, as determined by the agency head or a designee. (This subparagraph (c)(2) is applicable only if this contract uses money appropriated to the Department of Defense.)

(d) The rights and remedies of the Government provided in this clause shall not be exclusive and are in addition to any other rights and remedies provided by law or under this contract.

(End of clause)

(R 7-104.16 1952 MAR)

### 4 52.203-5 COVENANT AGAINST CONTINGENT FEES (APR 1984)

(a) The Contractor warrants that no person or agency has been employed or retained to solicit or obtain this contract upon an agreement or understanding for a contingent fee, except a bona fide employee or agency. For breach or violation of this warranty, the Government shall have the right to annul this contract without liability or, in its discretion, to deduct from the contract price or consideration, or otherwise recover, the full amount of the contingent fee.

(b) "Bona fide agency," as used in this clause, means an established commercial or selling agency, maintained by a contractor for the purpose of securing business, that neither exerts nor proposes to exert improper

influence to solicit or obtain Government contracts nor holds itself out as being able to obtain any Government contract or contracts through improper influence.

"Bona fide employee," as used in this clause, means a person, employed by a Contractor and subject to the Contractor's supervision and control as to time, place, and manner of performance, who neither exerts nor proposes to exert improper influence to solicit or obtain Government contracts nor holds out as being able to obtain any Government contract or contracts through improper influence.

"Contingent fee," as used in this clause, means any commission, percentage, brokerage, or other fee that is contingent upon the success that a person or concern has in securing a Government contract.

"Improper influence," as used in this clause, means any influence that induces or tends to induce a Government employee or officer to give consideration or to act regarding a Government contract on any basis other than the merits of the matter.

(End of clause)

(R 7-103.20 1958 JAN)

(R 1-1.503)

(R 1-7.102-18)

## 5 52.203-7 ANTI-KICKBACK PROCEDURES (JUL 1995)

### (a) Definitions.

"Kickback," as used in this clause, means any money, fee, commission, credit, gift, gratuity, thing of value, or compensation of any kind which is provided, directly or indirectly, to any prime Contractor, prime Contractor employee, subcontractor, or subcontractor employee for the purpose of improperly obtaining or rewarding favorable treatment in connection with a prime contract or in connection with a subcontract relating to a prime contract.

"Person," as used in this clause, means a corporation, partnership, business association of any kind, trust, joint-stock company, or individual.

"Prime contract," as used in this clause, means a contract or contractual action entered into by the United States for the purpose of obtaining supplies, materials, equipment, or services of any kind.

"Prime Contractor" as used in this clause, means a person who has entered into a prime contract with the United States.

"Prime Contractor employee," as used in this clause, means any officer, partner, employee, or agent of a prime Contractor.

"Subcontract," as used in this clause, means a contract or contractual action entered into by a prime Contractor or subcontractor for the purpose of obtaining supplies, materials, equipment, or services of any kind under a prime contract.

"Subcontractor," as used in this clause, (1) means any person, other

than the prime Contractor, who offers to furnish or furnishes any supplies, materials, equipment, or services of any kind under a prime contract or a subcontract entered into in connection with such prime contract, and (2) includes any person who offers to furnish or furnishes general supplies to the prime Contractor or a higher tier subcontractor.

"Subcontractor employee," as used in this clause, means any officer, partner, employee, or agent of a subcontractor.

(b) The Anti-Kickback Act of 1986 (41 U.S.C. 51-58) (the Act), prohibits any person from--

(1) Providing or attempting to provide or offering to provide any kickback;

(2) Soliciting, accepting, or attempting to accept any kickback; or

(3) Including, directly or indirectly, the amount of any kickback in the contract price charged by a prime Contractor to the United States or in the contract price charged by a subcontractor to a prime Contractor or higher tier subcontractor.

(c)(1) The Contractor shall have in place and follow reasonable procedures designed to prevent and detect possible violations described in paragraph (b) of this clause in its own operations and direct business relationships.

(2) When the Contractor has reasonable grounds to believe that a violation described in paragraph (b) of this clause may have occurred, the Contractor shall promptly report in writing the possible violation. Such reports shall be made to the inspector general of the contracting agency, the head of the contracting agency if the agency does not have an inspector general, or the Department of Justice.

(3) The Contractor shall cooperate fully with any Federal agency investigating a possible violation described in paragraph (b) of this clause.

(4) The Contracting Officer may (i) offset the amount of the kickback against any monies owed by the United States under the prime contract and/or (ii) direct that the Prime Contractor withhold from sums owed a subcontractor under the prime contract the amount of the kickback. The Contracting Officer may order that monies withheld under subdivision (c)(4)(ii) of this clause be paid over to the Government unless the Government has already offset those monies under subdivision (c)(4)(i) of this clause. In either case, the Prime Contractor shall notify the Contracting Officer when the monies are withheld.

(5) The Contractor agrees to incorporate the substance of this clause, including subparagraph (c)(5) but excepting subparagraph (c)(1), in all subcontracts under this contract which exceed \$100,000.

(End of clause)

## 6 52.203-8 CANCELLATION, RESCISSION, AND RECOVERY OF FUNDS FOR ILLEGAL OR IMPROPER ACTIVITY (JAN 1997)

(a) If the Government receives information that a contractor or a person has engaged in conduct constituting a violation of subsection (a), (b), (c), or (d) of Section 27 of the Office of Federal Procurement Policy Act (41 U.S.C. 423) (the Act), as amended by section 4304 of the National Defense Authorization Act for Fiscal Year 1996 (Pub. L. 104-106), the Government may--

(1) Cancel the solicitation, if the contract has not yet been awarded or issued; or

(2) Rescind the contract with respect to which--

(i) The Contractor or someone acting for the Contractor has been convicted for an offense where the conduct constitutes a violation of subsection 27 (a) or (b) of the Act for the purpose of either--

(A) Exchanging the information covered by such subsections for anything of value; or

(B) Obtaining or giving anyone a competitive advantage in the award of a Federal agency procurement contract; or

(ii) The head of the contracting activity has determined, based upon a preponderance of the evidence, that the Contractor or someone acting for the Contractor has engaged in conduct constituting an offense punishable under subsection 27(e)(1) of the Act.

(b) If the Government rescinds the contract under paragraph (a) of this clause, the Government is entitled to recover, in addition to any penalty prescribed by law, the amount expended under the contract.

(c) The rights and remedies of the Government specified herein are not exclusive, and are in addition to any other rights and remedies provided by law, regulation, or under this contract.

(End of clause)

#### 7 52.203-10 PRICE OR FEE ADJUSTMENT FOR ILLEGAL OR IMPROPER ACTIVITY (JAN 1997)

(a) The Government, at its election, may reduce the price of a fixed-price type contract and the total cost and fee under a cost-type contract by the amount of profit or fee determined as set forth in paragraph (b) of this clause if the head of the contracting activity or designee determines that there was a violation of subsection 27 (a), (b), or (c) of the Office of Federal Procurement Policy Act, as amended (41 U.S.C. 423), as implemented in section 3.104 of the Federal Acquisition Regulation.

(b) The price or fee reduction referred to in paragraph (a) of this clause shall be--

(1) For cost-plus-fixed-fee contracts, the amount of the fee specified in the contract at the time of award;

(2) For cost-plus-incentive-fee contracts, the target fee specified in the contract at the time of award, notwithstanding any minimum fee or "fee floor" specified in the contract;



(3) For cost-plus-award-fee contracts--

(i) The base fee established in the contract at the time of contract award;

(ii) If no base fee is specified in the contract, 30 percent of the amount of each award fee otherwise payable to the Contractor for each award fee evaluation period or at each award fee determination point.

(4) For fixed-price-incentive contracts, the Government may--

(i) Reduce the contract target price and contract target profit both by an amount equal to the initial target profit specified in the contract at the time of contract award; or

(ii) If an immediate adjustment to the contract target price and contract target profit would have a significant adverse impact on the incentive price revision relationship under the contract, or adversely affect the contract financing provisions, the Contracting Officer may defer such adjustment until establishment of the total final price of the contract. The total final price established in accordance with the incentive price revision provisions of the contract shall be reduced by an amount equal to the initial target profit specified in the contract at the time of contract award and such reduced price shall be the total final contract price.

(5) For firm-fixed-price contracts, by 10 percent of the initial contract price or a profit amount determined by the Contracting Officer from records or documents in existence prior to the date of the contract award.

(c) The Government may, at its election, reduce a prime contractor's price or fee in accordance with the procedures of paragraph (b) of this clause for violations of the Act by its subcontractors by an amount not to exceed the amount of profit or fee reflected in the subcontract at the time the subcontract was first definitively priced.

(d) In addition to the remedies in paragraphs (a) and (c) of this clause, the Government may terminate this contract for default. The rights and remedies of the Government specified herein are not exclusive, and are in addition to any other rights and remedies provided by law or under this contract.

(End of clause)

## 8 52.203-12 LIMITATION ON PAYMENTS TO INFLUENCE CERTAIN FEDERAL TRANSACTIONS (JUN 1997)

(a) Definitions.

"Agency," as used in this clause, means executive agency as defined in 2.101.

"Covered Federal action," as used in this clause, means any of the following Federal actions:

(1) The awarding of any Federal contract.

(2) The making of any Federal grant.

(3) The making of any Federal loan.

(4) The entering into of any cooperative agreement.

(5) The extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

"Indian tribe" and "tribal organization," as used in this clause, have the meaning provided in section 4 of the Indian Self-Determination and Education Assistance Act (25 U.S.C. 450B) and include Alaskan Natives.

"Influencing or attempting to influence," as used in this clause, means making, with the intent to influence, any communication to or appearance before an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with any covered Federal action.

"Local government," as used in this clause, means a unit of government in a State and, if chartered, established, or otherwise recognized by a State for the performance of a governmental duty, including a local public authority, a special district, an intrastate district, a council of governments, a sponsor group representative organization, and any other instrumentality of a local government.

"Officer or employee of an agency," as used in this clause, includes the following individuals who are employed by an agency:

(1) An individual who is appointed to a position in the Government under title 5, United States Code, including a position under a temporary appointment.

(2) A member of the uniformed services, as defined in subsection 101(3), title 37, United States Code.

(3) A special Government employee, as defined in section 202, title 18, United States Code.

(4) An individual who is a member of a Federal advisory committee, as defined by the Federal Advisory Committee Act, title 5, United States Code, appendix 2.

"Person," as used in this clause, means an individual, corporation, company, association, authority, firm, partnership, society, State, and local government, regardless of whether such entity is operated for profit, or not for profit. This term excludes an Indian tribe, tribal organization, or any other Indian organization with respect to expenditures specifically permitted by other Federal law.

"Reasonable compensation," as used in this clause, means, with respect to a regularly employed officer or employee of any person, compensation that is consistent with the normal compensation for such officer or employee for work that is not furnished to, not funded by, or not furnished in cooperation with the Federal Government.

"Reasonable payment," as used in this clause, means, with respect to professional and other technical services, a payment in an amount that is consistent with the amount normally paid for such services in the private sector.

"Recipient," as used in this clause, includes the Contractor and all

subcontractors. This term excludes an Indian tribe, tribal organization, or any other Indian organization with respect to expenditures specifically permitted by other Federal law.

"Regularly employed," as used in this clause, means, with respect to an officer or employee of a person requesting or receiving a Federal contract, an officer or employee who is employed by such person for at least 130 working days within 1 year immediately preceding the date of the submission that initiates agency consideration of such person for receipt of such contract. An officer or employee who is employed by such person for less than 130 working days within 1 year immediately preceding the date of the submission that initiates agency consideration of such person shall be considered to be regularly employed as soon as he or she is employed by such person for 130 working days.

"State," as used in this clause, means a State of the United States, the District of Columbia, the Commonwealth of Puerto Rico, a territory or possession of the United States, an agency or instrumentality of a State, and multi-State, regional, or interstate entity having governmental duties and powers.

(b) Prohibitions.

(1) Section 1352 of title 31, United States Code, among other things, prohibits a recipient of a Federal contract, grant, loan, or cooperative agreement from using appropriated funds to pay any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with any of the following covered Federal actions: the awarding of any Federal contract; the making of any Federal grant; the making of any Federal loan; the entering into of any cooperative agreement; or the modification of any Federal contract, grant, loan, or cooperative agreement.

(2) The Act also requires Contractors to furnish a disclosure if any funds other than Federal appropriated funds (including profit or fee received under a covered Federal transaction) have been paid, or will be paid, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a Federal contract, grant, loan, or cooperative agreement.

(3) The prohibitions of the Act do not apply under the following conditions:

(i) Agency and legislative liaison by own employees.

(A) The prohibition on the use of appropriated funds, in subparagraph (b)(1) of this clause, does not apply in the case of a payment of reasonable compensation made to an officer or employee of a person requesting or receiving a covered Federal action if the payment is for agency and legislative liaison activities not directly related to a covered Federal action.

(B) For purposes of subdivision (b)(3)(i)(A) of this clause,

providing any information specifically requested by an agency or Congress is permitted at any time.

(C) The following agency and legislative liaison activities are permitted at any time where they are not related to a specific solicitation for any covered Federal action:

(1) Discussing with an agency the qualities and characteristics (including individual demonstrations) of the person's products or services, conditions or terms of sale, and service capabilities.

(2) Technical discussions and other activities regarding the application or adaptation of the person's products or services for an agency's use.

(D) The following agency and legislative liaison activities are permitted where they are prior to formal solicitation of any covered Federal action--

(1) Providing any information not specifically requested but necessary for an agency to make an informed decision about initiation of a covered Federal action;

(2) Technical discussions regarding the preparation of an unsolicited proposal prior to its official submission; and

(3) Capability presentations by persons seeking awards from an agency pursuant to the provisions of the Small Business Act, as amended by Pub. L. 95-507, and subsequent amendments.

(E) Only those services expressly authorized by subdivision (b)(3)(i)(A) of this clause are permitted under this clause.

(ii) Professional and technical services.

(A) The prohibition on the use of appropriated funds, in subparagraph (b)(1) of this clause, does not apply in the case of--

(1) A payment of reasonable compensation made to an officer or employee of a person requesting or receiving a covered Federal action or an extension, continuation, renewal, amendment, or modification of a covered Federal action, if payment is for professional or technical services rendered directly in the preparation, submission, or negotiation of any bid, proposal, or application for that Federal action or for meeting requirements imposed by or pursuant to law as a condition for receiving that Federal action.

(2) Any reasonable payment to a person, other than an officer or employee of a person requesting or receiving a covered Federal action or an extension, continuation, renewal, amendment, or modification of a covered Federal action if the payment is for professional or technical services rendered directly in the preparation, submission, or negotiation of any bid, proposal, or application for that Federal action or for meeting requirements imposed by or pursuant to law as a condition for receiving that Federal action. Persons other than officers or employees of a person requesting or receiving a covered Federal action include consultants

and trade associations.

(B) For purposes of subdivision (b)(3)(ii)(A) of this clause, "professional and technical services" shall be limited to advice and analysis directly applying any professional or technical discipline. For example, drafting of a legal document accompanying a bid or proposal by a lawyer is allowable. Similarly, technical advice provided by an engineer on the performance or operational capability of a piece of equipment rendered directly in the negotiation of a contract is allowable. However, communications with the intent to influence made by a professional (such as a licensed lawyer) or a technical person (such as a licensed accountant) are not allowable under this section unless they provide advice and analysis directly applying their professional or technical expertise and unless the advice or analysis is rendered directly and solely in the preparation, submission or negotiation of a covered Federal action. Thus, for example, communications with the intent to influence made by a lawyer that do not provide legal advice or analysis directly and solely related to the legal aspects of his or her client's proposal, but generally advocate one proposal over another are not allowable under this section because the lawyer is not providing professional legal services. Similarly, communications with the intent to influence made by an engineer providing an engineering analysis prior to the preparation or submission of a bid or proposal are not allowable under this section since the engineer is providing technical services but not directly in the preparation, submission or negotiation of a covered Federal action.

(C) Requirements imposed by or pursuant to law as a condition for receiving a covered Federal award include those required by law or regulation and any other requirements in the actual award documents.

(D) Only those services expressly authorized by subdivisions (b)(3)(ii)(A)(1) and (2) of this clause are permitted under this clause.

(E) The reporting requirements of FAR 3.803(a) shall not apply with respect to payments of reasonable compensation made to regularly employed officers or employees of a person.

(c) Disclosure.

(1) The Contractor who requests or receives from an agency a Federal contract shall file with that agency a disclosure form, OMB standard form LLL, Disclosure of Lobbying Activities, if such person has made or has agreed to make any payment using nonappropriated funds (to include profits from any covered Federal action), which would be prohibited under subparagraph (b)(1) of this clause, if paid for with appropriated funds.

(2) The Contractor shall file a disclosure form at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the information contained in any disclosure form previously filed by such person under subparagraph (c)(1) of this

clause. An event that materially affects the accuracy of the information reported includes--

(i) A cumulative increase of \$25,000 or more in the amount paid or expected to be paid for influencing or attempting to influence a covered Federal action; or

(ii) A change in the person(s) or individual(s) influencing or attempting to influence a covered Federal action; or

(iii) A change in the officer(s), employee(s), or Member(s) contacted to influence or attempt to influence a covered Federal action.

(3) The Contractor shall require the submittal of a certification, and if required, a disclosure form by any person who requests or receives any subcontract exceeding \$100,000 under the Federal contract.

(4) All subcontractor disclosure forms (but not certifications) shall be forwarded from tier to tier until received by the prime Contractor. The prime Contractor shall submit all disclosures to the Contracting Officer at the end of the calendar quarter in which the disclosure form is submitted by the subcontractor. Each subcontractor certification shall be retained in the subcontract file of the awarding Contractor.

(d) Agreement. The Contractor agrees not to make any payment prohibited by this clause.

(e) Penalties.

(1) Any person who makes an expenditure prohibited under paragraph (a) of this clause or who fails to file or amend the disclosure form to be filed or amended by paragraph (b) of this clause shall be subject to civil penalties as provided for by 31 U.S.C. 1352. An imposition of a civil penalty does not prevent the Government from seeking any other remedy that may be applicable.

(2) Contractors may rely without liability on the representation made by their subcontractors in the certification and disclosure form.

(f) Cost allowability. Nothing in this clause makes allowable or reasonable any costs which would otherwise be unallowable or unreasonable. Conversely, costs made specifically unallowable by the requirements in this clause will not be made allowable under any other provision.

(End of clause)

## 9 52.204-4 PRINTING/COPYING DOUBLE-SIDED ON RECYCLED PAPER (JUN 1996)

(a) In accordance with Executive Order 12873, dated October 20, 1993, as amended by Executive Order 12995, dated March 25, 1996, the Offeror/Contractor is encouraged to submit paper documents, such as offers, letters, or reports, that are printed/copied double-sided on recycled paper that has at least 20 percent postconsumer material.

(b) The 20 percent standard applies to high-speed copier paper, offset paper, forms bond, computer printout paper, carbonless paper, file folders,

white woven envelopes, and other uncoated printed and writing paper, such as writing and office paper, book paper, cotton fiber paper, and cover stock. An alternative to meeting the 20 percent postconsumer material standard is 50 percent recovered material content of certain industrial by-products.

(End of clause)

10 52.209-6 PROTECTING THE GOVERNMENT'S INTEREST WHEN  
SUBCONTRACTING WITH CONTRACTORS DEBARRED, SUSPENDED,  
OR PROPOSED FOR DEBARMENT (JUL 1995)

(a) The Government suspends or debar Contractors to protect the Government's interest. The Contractor shall not enter into any subcontract in excess of \$25,000 with a Contractor that is debarred, suspended, or proposed for debarment unless there is a compelling reason to do so.

(b) The Contractor shall require each proposed first-tier subcontractor, whose subcontract will exceed \$25,000, to disclose to the Contractor, in writing, whether as of the time of award of the subcontract, the subcontractor, or its principals, is or is not debarred, suspended, or proposed for debarment by the Federal Government.

(c) A corporate officer or a designee of the Contractor shall notify the Contracting Officer, in writing, before entering into a subcontract with a party that is debarred, suspended, or proposed for debarment (see FAR 9.404 for information on the List of Parties Excluded from Federal Procurement and Nonprocurement Programs). The notice must include the following:

(1) The name of the subcontractor.

(2) The Contractor's knowledge of the reasons for the subcontractor being on the List of Parties Excluded from Federal Procurement and Nonprocurement Programs.

(3) The compelling reason(s) for doing business with the subcontractor notwithstanding its inclusion on the List of Parties Excluded from Federal Procurement and Nonprocurement Programs.

(4) The systems and procedures the Contractor has established to ensure that it is fully protecting the Government's interests when dealing with such subcontractor in view of the specific basis for the party's debarment, suspension, or proposed debarment.

(End of clause)

11 52.211-18 VARIATION IN ESTIMATED QUANTITY (APR 1984)

If the quantity of a unit-priced item in this contract is an estimated quantity and the actual quantity of the unit-priced item varies more than 15 percent above or below the estimated quantity, an equitable adjustment in the contract price shall be made upon demand of either party. The

equitable adjustment shall be based upon any increase or decrease in costs due solely to the variation above 115 percent or below 85 percent of the estimated quantity. If the quantity variation is such as to cause an increase in the time necessary for completion, the Contractor may request, in writing, an extension of time, to be received by the Contracting Officer within 10 days from the beginning of the delay, or within such further period as may be granted by the Contracting Officer before the date of final settlement of the contract. Upon the receipt of a written request for an extension, the Contracting Officer shall ascertain the facts and make an adjustment for extending the completion date as, in the judgement of the Contracting Officer, is justified.

(End of clause)

## 12 52.214-26 AUDIT AND RECORDS--SEALED BIDDING (OCT 1997)

(a) As used in this clause, records includes books, documents, accounting procedures and practices, and other data, regardless of type and regardless of whether such items are in written form, in the form of computer data, or in any other form.

(b) Cost or pricing data. If the Contractor has been required to submit cost or pricing data in connection with the pricing of any modification to this contract, the Contracting Officer, or an authorized representative of the Contracting Officer, in order to evaluate the accuracy, completeness, and currency of the cost or pricing data, shall have the right to examine and audit all of the Contractor's records, including computations and projections, related to--

- (1) The proposal for the modification;
- (2) The discussions conducted on the proposal(s), including those related to negotiating;
- (3) Pricing of the modification; or
- (4) Performance of the modification.

(c) Comptroller General. In the case of pricing any modification, the Comptroller General of the United States, or an authorized representative, shall have the same rights as specified in paragraph (b) of this clause.

(d) Availability. The Contractor shall make available at its office at all reasonable times the materials described in reproduction, until 3 years after final payment under this contract, or for any other period specified in Subpart 4.7 of the Federal Acquisition Regulation (FAR). FAR Subpart 4.7, Contractor Records Retention, in effect on the date of this contract, is incorporated by reference in its entirety and made a part of this contract.

(1) If this contract is completely or partially terminated, the records relating to the work terminated shall be made available for 3 years after any resulting final termination settlement.

(2) Records pertaining to appeals under the Disputes clause or to litigation or the settlement of claims arising under or relating to the



performance of this contract shall be made available until disposition of such appeals, litigation, or claims.

(e) The Contractor shall insert a clause containing all the provisions of this clause, including this paragraph (e), in all subcontracts expected to exceed the threshold in FAR 15.403-4(a)(1) for submission of cost or pricing data.

(End of clause)

13 52.214-27 PRICE REDUCTION FOR DEFECTIVE COST OR PRICING DATA--  
MODIFICATIONS--SEALED BIDDING (OCT 1997)

(a) This clause shall become operative only for any modification to this contract involving aggregate increases and/or decreases in costs, plus applicable profits, expected to exceed the threshold for the submission of cost or pricing data at FAR 15.403-4(a)(1), except that this clause does not apply to a modification if an exception under FAR 15.403-1(b) applies.

(b) If any price, including profit, negotiated in connection with any modification under this clause, was increased by any significant amount because (1) the Contractor or a subcontractor furnished cost or pricing data that were not complete, accurate, and current as certified in its Certificate of Current Cost or Pricing Data, (2) a subcontractor or prospective subcontractor furnished the Contractor cost or pricing data that were not complete, accurate, and current as certified in the Contractor's Certificate of Current Cost or Pricing Data, or (3) any of these parties furnished data of any description that were not accurate, the price shall be reduced accordingly and the contract shall be modified to reflect the reduction. This right to a price reduction is limited to that resulting from defects in data relating to modifications for which this clause becomes operative under paragraph (a) above.

(c) Any reduction in the contract price under paragraph (b) above due to defective data from a prospective subcontractor that was not subsequently awarded the subcontract shall be limited to the amount, plus applicable overhead and profit markup, by which (1) the actual subcontract or (2) the actual cost to the Contractor, if there was no subcontract, was less than the prospective subcontract cost estimate submitted by the Contractor; provided, that the actual subcontract price was not itself affected by defective cost or pricing data.

(d)(1) If the Contracting Officer determines under paragraph (b) of this clause that a price or cost reduction should be made, the Contractor agrees not to raise the following matters as a defense:

(i) The Contractor or subcontractor was a sole source supplier or otherwise was in a superior bargaining position and thus the price of the contract would not have been modified even if accurate, complete, and current cost or pricing data had been submitted.

(ii) The Contracting Officer should have known that the cost or pricing data in issue were defective even though the Contractor or

subcontractor took no affirmative action to bring the character of the data to the attention of the Contracting Officer.

(iii) The contract was based on an agreement about the total cost of the contract and there was no agreement about the cost of each item procured under the contract.

(iv) The Contractor or subcontractor did not submit a Certificate of Current Cost or Pricing Data.

(2)(i) Except as prohibited by subdivision (d)(2)(ii) of this clause, an offset in an amount determined appropriate by the Contracting Officer based upon the facts shall be allowed against the amount of a contract price reduction if--

(A) The Contractor certifies to the Contracting Officer that, to the best of the Contractor's knowledge and belief, the Contractor is entitled to the offset in the amount requested; and

(B) The Contractor proves that the cost or pricing data were available before the date of agreement on the price of the contract (or price of the modification) and that the data were not submitted before such date.

(ii) An offset shall not be allowed if--

(A) The understated data was known by the Contractor to be understated when the Certificate of Current Cost or Pricing Data was signed; or

(B) The Government proves that the facts demonstrate that the contract price would not have increased in the amount to be offset even if the available data had been submitted before the date of agreement on price.

(e) If any reduction in the contract price under this clause reduces the price of items for which payment was made prior to the date of the modification reflecting the price reduction, the Contractor shall be liable to and shall pay the United States at the time such overpayment is repaid--

(1) Simple interest on the amount of such overpayment to be computed from the date(s) of overpayment to the Contractor to the date the Government is repaid by the Contractor at the applicable underpayment rate effective for each quarter prescribed by the Secretary of the Treasury under 26 U.S.C. 6621(a)(2); and

(2) A penalty equal to the amount of the overpayment, if the Contractor or subcontractor knowingly submitted cost or pricing data which were incomplete, inaccurate, or noncurrent.

(End of clause)

14 52.214-28 SUBCONTRACTOR COST OR PRICING DATA--MODIFICATIONS--  
SEALED BIDDING (OCT 1997)

(a) The requirements of paragraphs (b) and (c) of this clause shall (1) become operative only for any modification to this contract involving aggregate increases and/or decreases in costs, plus applicable profits,

expected to exceed the threshold for submission of cost or pricing data at FAR 15.403-4(a)(1), and (2) be limited to such modifications.

(b) Before awarding any subcontract expected to exceed the threshold for submission of cost or pricing data at FAR 15.403-4(a)(1), on the date of agreement on price or the date of award, whichever is later; or before pricing any subcontract modifications involving aggregate increases and/or decreases in costs, plus applicable profits, expected to exceed the threshold for submission of cost or pricing data at FAR 15.403-4(a)(1), the Contractor shall require the subcontractor to submit cost or pricing data (actually or by specific identification in writing), unless an exception under FAR 15.403-1(b) applies.

(c) The Contractor shall require the subcontractor to certify in substantially the form prescribed in subsection FAR 15.406-2 that, to the best of its knowledge and belief, the data submitted under paragraph (b) of this clause were accurate, complete, and current as of the date of agreement on the negotiated price of the subcontract or subcontract modification.

(d) The Contractor shall insert the substance of this clause, including this paragraph (d), in each subcontract that, when entered into, exceeds the threshold for submission of cost or pricing data at FAR 15.403-4(a)(1).

(End of clause)

#### 15 52.214-29 ORDER OF PRECEDENCE--SEALED BIDDING (JAN 1986)

Any inconsistency in this solicitation or contract shall be resolved by giving precedence in the following order: (a) the Schedule (excluding the specifications); (b) representations and other instructions; (c) contract clauses; (d) other documents, exhibits, and attachments; and (e) the specifications.

(End of clause)

#### 16 52.219-6 NOTICE OF TOTAL SMALL BUSINESS SET-ASIDE (JUL 1996)

(a) Definition.

"Small business concern," as used in this clause, means a concern, including its affiliates, that is independently owned and operated, not dominant in the field of operation in which it is bidding on Government contracts, and qualified as a small business under the size standards in this solicitation.

(b) General. (1) Offers are solicited only from small business concerns. Offers received from concerns that are not small business concerns shall be considered nonresponsive and will be rejected.

(2) Any award resulting from this solicitation will be made to a small business concern.

(c) Agreement. A small business concern submitting an offer in its own name agrees to furnish, in performing the contract, only end items

manufactured or produced by small business concerns in the United States. The term "United States" includes its territories and possessions, the Commonwealth of Puerto Rico, the Trust Territory of the Pacific Islands, and the District of Columbia. If this procurement is processed under simplified acquisition procedures and the total amount of this contract does not exceed \$25,000, a small business concern may furnish the product of any domestic firm. This paragraph does not apply in connection with construction or service contracts.

(End of clause)

#### 17 52.219-8 UTILIZATION OF SMALL BUSINESS CONCERNS (JUN 1999)

(a) It is the policy of the United States that small business concerns, HUBZone small business concerns, small business concerns owned and controlled by socially and economically disadvantaged individuals, and small business concerns owned and controlled by women shall have the maximum practicable opportunity to participate in performing contracts let by any Federal agency, including contracts and subcontracts for subsystems, assemblies, components, and related services for major systems. It is further the policy of the United States that its prime contractors establish procedures to ensure the timely payment of amounts due pursuant to the terms of their subcontracts with small business concerns, HUBZone small business concerns, small business concerns owned and controlled by socially and economically disadvantaged individuals, and small business concerns owned and controlled by women.

(b) The Contractor hereby agrees to carry out this policy in the awarding of subcontracts to the fullest extent consistent with efficient contract performance. The Contractor further agrees to cooperate in any studies or surveys as may be conducted by the United States Small Business Administration or the awarding agency of the United States as may be necessary to determine the extent of the Contractor's compliance with this clause.

(c) Definitions. As used in this contract

(1) Small business concern means a small business as defined pursuant to section 3 of the Small Business Act and relevant regulations promulgated pursuant thereto.

(2) HUBZone small business concern means a small business concern that appears on the List of Qualified HUBZone Small Business Concerns maintained by the Small Business Administration.

(3) Small business concern owned and controlled by socially and economically disadvantaged individuals means a small business concern that represents, as part of its offer, that it meets the definition of a small disadvantaged business concern in 13 CFR 124.1002.

(4) Small business concern owned and controlled by women means a small business concern--

(i) Which is at least 51 percent owned by one or more women, or, in the case of any publicly owned business, at least 51 percent of the stock of which is owned by one or more women; and

(ii) Whose management and daily business operations are controlled by one or more women.

(d) Contractors acting in good faith may rely on written representations by their subcontractors regarding their status as a small business concern, a HUBZone small business concern, a small business concern owned and controlled by socially and economically disadvantaged individuals, or a small business concern owned and controlled by women.

(End of clause)

#### 18 52.219-14 LIMITATIONS ON SUBCONTRACTING (DEC 1996)

(a) This clause does not apply to the unrestricted portion of a partial set-aside.

(b) By submission of an offer and execution of a contract, the Offeror/Contractor agrees that in performance of the contract in the case of a contract for--

(1) Services (except construction). At least 50 percent of the cost of contract performance incurred for personnel shall be expended for employees of the concern.

(2) Supplies (other than procurement from a nonmanufacturer of such supplies). The concern shall perform work for at least 50 percent of the cost of manufacturing the supplies, not including the cost of materials.

(3) General construction. The concern will perform at least 15 percent of the cost of the contract, not including the cost of materials, with its own employees.

(4) Construction by special trade contractors. The concern will perform at least 25 percent of the cost of the contract, not including the cost of materials, with its own employees.

(End of clause)

#### 19 52.222-3 CONVICT LABOR (AUG 1996)

The Contractor agrees not to employ in the performance of this contract any person undergoing a sentence of imprisonment which has been imposed by any court of a State, the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands, or the Trust Territory of the Pacific Islands. This limitation, however, shall not prohibit the employment by the Contractor in the performance of this contract of persons on parole or probation to work at paid employment during the term of their sentence or persons who have been pardoned or who have served their terms. Nor shall it prohibit the employment by the Contractor in the performance of this

contract of persons confined for violation of the laws of any of the States, the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands, or the Trust Territory of the Pacific Islands who are authorized to work at paid employment in the community under the laws of such jurisdiction, if--

(a)(1) The worker is paid or is in an approved work training program on a voluntary basis;

(2) Representatives of local union central bodies or similar labor union organizations have been consulted;

(3) Such paid employment will not result in the displacement of employed workers, or be applied in skills, crafts, or trades in which there is a surplus of available gainful labor in the locality, or impair existing contracts for services; and

(4) The rates of pay and other conditions of employment will not be less than those paid or provided for work of a similar nature in the locality in which the work is being performed; and

(b) The Attorney General of the United States has certified that the work-release laws or regulations of the jurisdiction involved are in conformity with the requirements of Executive Order 11755, as amended by Executive Orders 12608 and 12943.

(End of clause)

20 52.222-4 CONTRACT WORK HOURS AND SAFETY STANDARDS ACT--  
OVERTIME COMPENSATION (JUL 1995)

(a) Overtime requirements. No Contractor or subcontractor contracting for any part of the contract work which may require or involve the employment of laborers or mechanics (see Federal Acquisition Regulation (FAR) 22.300) shall require or permit any such laborers or mechanics in any workweek in which the individual is employed on such work to work in excess of 40 hours in such workweek unless such laborer or mechanic receives compensation at a rate not less than 1 1/2 times the basic rate of pay for all hours worked in excess of 40 hours in such workweek.

(b) Violation; liability for unpaid wages; liquidated damages. In the event of any violation of the provisions set forth in paragraph (a) of this clause, the Contractor and any subcontractor responsible therefor shall be liable for the unpaid wages. In addition, such Contractor and subcontractor shall be liable to the United States (in the case of work done under contract for the District of Columbia or a territory, to such District or to such territory), for liquidated damages. Such liquidated damages shall be computed with respect to each individual laborer or mechanic employed in violation of the provisions set forth in paragraph (a) of this clause in the sum of \$10 for each calendar day on which such individual was required or permitted to work in excess of the standard workweek of 40 hours without payment of the overtime wages required by

provisions set forth in paragraph (a) of this clause.

(c) Withholding for unpaid wages and liquidated damages. The Contracting Officer shall upon his or her own action or upon written request of an authorized representative of the Department of Labor withhold or cause to be withheld, from any moneys payable on account of work performed by the Contractor or subcontractor under any such contract or any other Federal contract with the same Prime Contractor, or any other Federally-assisted contract subject to the Contract Work Hours and Safety Standards Act which is held by the same Prime Contractor, such sums as may be determined to be necessary to satisfy any liabilities of such Contractor or subcontractor for unpaid wages and liquidated damages as provided in the provisions set forth in paragraph (b) of this clause.

(d) Payrolls and basic records. (1) The Contractor or subcontractor shall maintain payrolls and basic payroll records during the course of contract work and shall preserve them for a period of 3 years from the completion of the contract for all laborers and mechanics working on the contract. Such records shall contain the name and address of each such employee, social security number, correct classifications, hourly rates of wages paid, daily and weekly number of hours worked, deductions made, and actual wages paid. Nothing in this paragraph shall require the duplication of records required to be maintained for construction work by Department of Labor regulations at 29 CFR 5.5(a)(3) implementing the Davis-Bacon Act.

(2) The records to be maintained under paragraph (d)(1) of this clause shall be made available by the Contractor or subcontractor for inspection, copying, or transcription by authorized representatives of the Contracting Officer or the Department of Labor. The Contractor or subcontractor shall permit such representatives to interview employees during working hours on the job.

(e) Subcontracts. The Contractor or subcontractor shall insert in any subcontracts exceeding \$100,000, the provisions set forth in paragraphs (a) through (e) of this clause and also a clause requiring the subcontractors to include these provisions in any lower tier subcontracts. The Prime Contractor shall be responsible for compliance by any subcontractor or lower tier subcontractor with the provisions set forth in paragraphs (a) through (e) of this clause.

(End of clause)

## 21 52.222-6 DAVIS-BACON ACT (FEB 1995)

(a) All laborers and mechanics employed or working upon the site of the work will be paid unconditionally and not less often than once a week, and without subsequent deduction or rebate on any account (except such payroll deductions as are permitted by regulations issued by the Secretary of Labor under the Copeland Act (29 CFR Part 3)), the full amount of wages and bona fide fringe benefits (or cash equivalents thereof) due at time of payment computed at rates not less than those contained in the wage determination

of the Secretary of Labor which is attached hereto and made a part hereof, regardless of any contractual relationship which may be alleged to exist between the Contractor and such laborers and mechanics. Contributions made or costs reasonably anticipated for bona fide fringe benefits under section 1(b)(2) of the Davis-Bacon Act on behalf of laborers or mechanics are considered wages paid to such laborers or mechanics, subject to the provisions of paragraph (d) of this clause; also, regular contributions made or costs incurred for more than a weekly period (but not less often than quarterly) under plans, funds, or programs which cover the particular weekly period, are deemed to be constructively made or incurred during such period. Such laborers and mechanics shall be paid not less than the appropriate wage rate and fringe benefits in the wage determination for the classification of work actually performed, without regard to skill, except as provided in the clause entitled Apprentices and Trainees. Laborers or mechanics performing work in more than one classification may be compensated at the rate specified for each classification for the time actually worked therein; provided, that the employer's payroll records accurately set forth the time spent in each classification in which work is performed. The wage determination (including any additional classifications and wage rates conformed under paragraph (b) of this clause) and the Davis-Bacon poster (WH-1321) shall be posted at all times by the Contractor and its subcontractors at the site of the work in a prominent and accessible place where it can be easily seen by the workers.

(b)(1) The Contracting Officer shall require that any class of laborers or mechanics which is not listed in the wage determination and which is to be employed under the contract shall be classified in conformance with the wage determination. The Contracting Officer shall approve an additional classification and wage rate and fringe benefits therefor only when all the following criteria have been met:

(i) The work to be performed by the classification requested is not performed by a classification in the wage determination.

(ii) The classification is utilized in the area by the construction industry.

(iii) The proposed wage rate, including any bona fide fringe benefits, bears a reasonable relationship to the wage rates contained in the wage determination.

(iv) With respect to helpers, such a classification prevails in the area in which the work is performed.

(2) If the Contractor and the laborers and mechanics to be employed in the classification (if known), or their representatives, and the Contracting Officer agree on the classification and wage rate (including the amount designated for fringe benefits, where appropriate), a report of the action taken shall be sent by the Contracting Officer to the Administrator of the Wage and Hour Division, Employment Standards Administration, U.S. Department of Labor, Washington, DC 20210. The Administrator or an authorized representative will approve, modify, or



disapprove every additional classification action within 30 days of receipt and so advise the Contracting Officer or will notify the Contracting Officer within the 30-day period that additional time is necessary.

(3) In the event the Contractor, the laborers or mechanics to be employed in the classification, or their representatives, and the Contracting Officer do not agree on the proposed classification and wage rate (including the amount designated for fringe benefits, where appropriate), the Contracting Officer shall refer the questions, including the views of all interested parties and the recommendation of the Contracting Officer, to the Administrator of the Wage and Hour Division for determination. The Administrator, or an authorized representative, will issue a determination within 30 days of receipt and so advise the Contracting Officer or will notify the Contracting Officer within the 30-day period that additional time is necessary.

(4) The wage rate (including fringe benefits, where appropriate) determined pursuant to subparagraphs (b)(2) and (b)(3) of this clause shall be paid to all workers performing work in the classification under this contract from the first day on which work is performed in the classification.

(c) Whenever the minimum wage rate prescribed in the contract for a class of laborers or mechanics includes a fringe benefit which is not expressed as an hourly rate, the Contractor shall either pay the benefit as stated in the wage determination or shall pay another bona fide fringe benefit or an hourly cash equivalent thereof.

(d) If the Contractor does not make payments to a trustee or other third person, the Contractor may consider as part of the wages of any laborer or mechanic the amount of any costs reasonably anticipated in providing bona fide fringe benefits under a plan or program; provided, that the Secretary of Labor has found, upon the written request of the Contractor, that the applicable standards of the Davis-Bacon Act have been met. The Secretary of Labor may require the Contractor to set aside in a separate account assets for the meeting of obligations under the plan or program.

(End of clause)

## 22 52.222-7 WITHHOLDING OF FUNDS (FEB 1988)

The Contracting Officer shall, upon his or her own action or upon written request of an authorized representative of the Department of Labor, withhold or cause to be withheld from the Contractor under this contract or any other Federal contract with the same Prime Contractor, or any other Federally assisted contract subject to Davis-Bacon prevailing wage requirements, which is held by the same Prime Contractor, so much of the accrued payments or advances as may be considered necessary to pay laborers and mechanics, including apprentices, trainees, and helpers, employed by the Contractor or any subcontractor the full amount of wages required by

the contract. In the event of failure to pay any laborer or mechanic, including any apprentice, trainee, or helper, employed or working on the site of the work, all or part of the wages required by the contract, the Contracting Officer may, after written notice to the Contractor, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds until such violations have ceased.

(End of clause)

## 23 52.222-8 PAYROLLS AND BASIC RECORDS (FEB 1988)

(a) Payrolls and basic records relating thereto shall be maintained by the Contractor during the course of the work and preserved for a period of 3 years thereafter for all laborers and mechanics working at the site of the work. Such records shall contain the name, address, and social security number of each such worker, his or her correct classification, hourly rates of wages paid (including rates of contributions or costs anticipated for bona fide fringe benefits or cash equivalents thereof of the types described in section 1(b)(2)(B) of the Davis-Bacon Act), daily and weekly number of hours worked, deductions made, and actual wages paid. Whenever the Secretary of Labor has found, under paragraph (d) of the clause entitled Davis-Bacon Act, that the wages of any laborer or mechanic include the amount of any costs reasonably anticipated in providing benefits under a plan or program described in section 1(b)(2)(B) of the Davis-Bacon Act, the Contractor shall maintain records which show that the commitment to provide such benefits is enforceable, that the plan or program is financially responsible, and that the plan or program has been communicated in writing to the laborers or mechanics affected, and records which show the costs anticipated or the actual cost incurred in providing such benefits. Contractors employing apprentices or trainees under approved programs shall maintain written evidence of the registration of apprenticeship programs and certification of trainee programs, the registration of apprentices and trainees, and the ratios and wage rates prescribed in the applicable programs.

(b)(1) The Contractor shall submit weekly for each week in which any contract work is performed a copy of all payrolls to the Contracting Officer. The payrolls submitted shall set out accurately and completely all of the information required to be maintained under paragraph (a) of this clause. This information may be submitted in any form desired. Optional Form WH-347 (Federal Stock Number 029-005-00014-1) is available for this purpose and may be purchased from the Superintendent of Documents, U.S. Government Printing Office, Washington, DC 20402. The Prime Contractor is responsible for the submission of copies of payrolls by all subcontractors.

(2) Each payroll submitted shall be accompanied by a "Statement of Compliance," signed by the Contractor or subcontractor or his or her agent who pays or supervises the payment of the persons employed under

the contract and shall certify--

(i) That the payroll for the payroll period contains the information required to be maintained under paragraph (a) of this clause and that such information is correct and complete;

(ii) That each laborer or mechanic (including each helper, apprentice, and trainee) employed on the contract during the payroll period has been paid the full weekly wages earned, without rebate, either directly or indirectly, and that no deductions have been made either directly or indirectly from the full wages earned, other than permissible deductions as set forth in the Regulations, 29 CFR Part 3; and

(iii) That each laborer or mechanic has been paid not less than the applicable wage rates and fringe benefits or cash equivalents for the classification of work performed, as specified in the applicable wage determination incorporated into the contract.

(3) The weekly submission of a properly executed certification set forth on the reverse side of Optional Form WH-347 shall satisfy the requirement for submission of the "Statement of Compliance" required by subparagraph (b)(2) of this clause.

(4) The falsification of any of the certifications in this clause may subject the Contractor or subcontractor to civil or criminal prosecution under Section 1001 of Title 18 and Section 3729 of Title 31 of the United States Code.

(c) The Contractor or subcontractor shall make the records required under paragraph (a) of this clause available for inspection, copying, or transcription by the Contracting Officer or authorized representatives of the Contracting Officer or the Department of Labor. The Contractor or subcontractor shall permit the Contracting Officer or representatives of the Contracting Officer or the Department of Labor to interview employees during working hours on the job. If the Contractor or subcontractor fails to submit required records or to make them available, the Contracting Officer may, after written notice to the Contractor, take such action as may be necessary to cause the suspension of any further payment. Furthermore, failure to submit the required records upon request or to make such records available may be grounds for debarment action pursuant to 29 CFR 5.12.

(End of clause)

#### 24 52.222-9 APPRENTICES AND TRAINEES (FEB 1988)

(a) Apprentices. Apprentices will be permitted to work at less than the predetermined rate for the work they performed when they are employed pursuant to and individually registered in a bona fide apprenticeship program registered with the U.S. Department of Labor, Employment and Training Administration, Bureau of Apprenticeship and Training, or with a State Apprenticeship Agency recognized by the Bureau, or if a person is

employed in his or her first 90 days of probationary employment as an apprentice in such an apprenticeship program, who is not individually registered in the program, but who has been certified by the Bureau of Apprenticeship and Training or a State Apprenticeship Agency (where appropriate) to be eligible for probationary employment as an apprentice. The allowable ratio of apprentices to journeymen on the job site in any craft classification shall not be greater than the ratio permitted to the Contractor as to the entire work force under the registered program. Any worker listed on a payroll at an apprentice wage rate, who is not registered or otherwise employed as stated in this paragraph, shall be paid not less than the applicable wage determination for the classification of work actually performed. In addition, any apprentice performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed. Where a contractor is performing construction on a project in a locality other than that in which its program is registered, the ratios and wage rates (expressed in percentages of the journeyman's hourly rate) specified in the Contractor's or subcontractor's registered program shall be observed. Every apprentice must be paid at not less than the rate specified in the registered program for the apprentice's level of progress, expressed as a percentage of the journeyman hourly rate specified in the applicable wage determination. Apprentices shall be paid fringe benefits in accordance with the provisions of the apprenticeship program. If the apprenticeship program does not specify fringe benefits, apprentices must be paid the full amount of fringe benefits listed on the wage determination for the applicable classification. If the Administrator determines that a different practice prevails for the applicable apprentice classification, fringes shall be paid in accordance with that determination. In the event the Bureau of Apprenticeship and Training, or a State Apprenticeship Agency recognized by the Bureau, withdraws approval of an apprenticeship program, the Contractor will no longer be permitted to utilize apprentices at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

(b) Trainees. Except as provided in 29 CFR 5.16, trainees will not be permitted to work at less than the predetermined rate for the work performed unless they are employed pursuant to and individually registered in a program which has received prior approval, evidenced by formal certification by the U.S. Department of Labor, Employment and Training Administration. The ratio of trainees to journeymen on the job site shall not be greater than permitted under the plan approved by the Employment and Training Administration. Every trainee must be paid at not less than the rate specified in the approved program for the trainee's level of progress, expressed as a percentage of the journeyman hourly rate specified in the applicable wage determination. Trainees shall be paid fringe benefits in accordance with the provisions of the trainee program. If the trainee

program does not mention fringe benefits, trainees shall be paid the full amount of fringe benefits listed in the wage determination unless the Administrator of the Wage and Hour Division determines that there is an apprenticeship program associated with the corresponding journeyman wage rate in the wage determination which provides for less than full fringe benefits for apprentices. Any employee listed on the payroll at a trainee rate who is not registered and participating in a training plan approved by the Employment and Training Administration shall be paid not less than the applicable wage rate in the wage determination for the classification of work actually performed. In addition, any trainee performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate in the wage determination for the work actually performed. In the event the Employment and Training Administration withdraws approval of a training program, the Contractor will no longer be permitted to utilize trainees at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

(c) Equal employment opportunity. The utilization of apprentices, trainees, and journeymen under this clause shall be in conformity with the equal employment opportunity requirements of Executive Order 11246, as amended, and 29 CFR Part 30.

(End of clause)

## 25 52.222-10 COMPLIANCE WITH COPELAND ACT REQUIREMENTS (FEB 1988)

The Contractor shall comply with the requirements of 29 CFR Part 3, which are hereby incorporated by reference in this contract.

(End of clause)

## 26 52.222-11 SUBCONTRACTS (LABOR STANDARDS) (FEB 1988)

(a) The Contractor or subcontractor shall insert in any subcontracts the clauses entitled Davis-Bacon Act, Contract Work Hours and Safety Standards Act--Overtime Compensation, Apprentices and Trainees, Payrolls and Basic Records, Compliance with Copeland Act Requirements, Withholding of Funds, Subcontracts (Labor Standards), Contract Termination--Debarment, Disputes Concerning Labor Standards, Compliance with Davis-Bacon and Related Act Regulations, and Certification of Eligibility, and such other clauses as the Contracting Officer may, by appropriate instructions, require, and also a clause requiring subcontractors to include these clauses in any lower tier subcontracts. The Prime Contractor shall be responsible for compliance by any subcontractor or lower tier subcontractor with all the contract clauses cited in this paragraph.

(b)(1) Within 14 days after award of the contract, the Contractor shall deliver to the Contracting Officer a completed Statement and Acknowledgment Form (SF 1413) for each subcontract, including the subcontractor's signed

and dated acknowledgment that the clauses set forth in paragraph (a) of this clause have been included in the subcontract.

(2) Within 14 days after the award of any subsequently awarded subcontract the Contractor shall deliver to the Contracting Officer an updated completed SF 1413 for such additional subcontract.

(End of clause)

27 52.222-12 CONTRACT TERMINATION--DEBARMENT (FEB 1988)

A breach of the contract clauses entitled Davis-Bacon Act, Contract Work Hours and Safety Standards Act--Overtime Compensation, Apprentices and Trainees, Payrolls and Basic Records, Compliance with Copeland Act Requirements, Subcontracts (Labor Standards), Compliance with Davis-Bacon and Related Act Regulations, or Certification of Eligibility may be grounds for termination of the contract, and for debarment as a Contractor and subcontractor as provided in 29 CFR 5.12.

(End of clause)

28 52.222-13 COMPLIANCE WITH DAVIS-BACON AND RELATED ACT REGULATIONS (FEB 1988)

All rulings and interpretations of the Davis-Bacon and Related Acts contained in 29 CFR Parts 1, 3, and 5 are hereby incorporated by reference in this contract.

(End of clause)

29 52.222-14 DISPUTES CONCERNING LABOR STANDARDS (FEB 1988)

The United States Department of Labor has set forth in 29 CFR Parts 5, 6, and 7 procedures for resolving disputes concerning labor standards requirements. Such disputes shall be resolved in accordance with those procedures and not the Disputes clause of this contract. Disputes within the meaning of this clause include disputes between the Contractor (or any of its subcontractors) and the contracting agency, the U.S. Department of Labor, or the employees of their representatives.

(End of clause)

30 52.222-15 CERTIFICATION OF ELIGIBILITY (FEB 1988)

(a) By entering into this contract, the Contractor certifies that neither it (nor he or she) nor any person or firm who has an interest in the Contractor's firm is a person or firm ineligible to be awarded Government contracts by virtue of section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a)(1).

(b) No part of this contract shall be subcontracted to any person or firm ineligible for award of a Government contract by virtue of section 3(a) of

the Davis-Bacon Act or 29 CFR 5.12(a)(1).

(c) The penalty for making false statements is prescribed in the U.S. Criminal Code, 18 U.S.C. 1001.

(End of clause)

### 31 52.222-26 EQUAL OPPORTUNITY (FEB 1999)

(a) If, during any 12-month period (including the 12 months preceding the award of this contract), the Contractor has been or is awarded nonexempt Federal contracts and/or subcontracts that have an aggregate value in excess of \$10,000, the Contractor shall comply with subparagraphs (b)(1) through (11) of this clause. Upon request, the Contractor shall provide information necessary to determine the applicability of this clause.

(b) During performance of this contract, the Contractor agrees as follows:

(1) The Contractor shall not discriminate against any employee or applicant for employment because of race, color, religion, sex, or national origin. However, it shall not be a violation of this clause for the Contractor to extend a publicly announced preference in employment to Indians living on or near an Indian reservation, in connection with employment opportunities on or near an Indian reservation, as permitted by 41 CFR 60-1.5.

(2) The Contractor shall take affirmative action to ensure that applicants are employed, and that employees are treated during employment, without regard to their race, color, religion, sex, or national origin. This shall include, but not be limited to, (i) employment, (ii) upgrading, (iii) demotion, (iv) transfer, (v) recruitment or recruitment advertising, (vi) layoff or termination, (vii) rates of pay or other forms of compensation, and (viii) selection for training, including apprenticeship.

(3) The Contractor shall post in conspicuous places available to employees and applicants for employment the notices to be provided by the Contracting Officer that explain this clause.

(4) The Contractor shall, in all solicitations or advertisements for employees placed by or on behalf of the Contractor, state that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, or national origin.

(5) The Contractor shall send, to each labor union or representative of workers with which it has a collective bargaining agreement or other contract or understanding, the notice to be provided by the Contracting Officer advising the labor union or workers' representative of the Contractor's commitments under this clause, and post copies of the notice in conspicuous places available to employees and applicants for employment.

(6) The Contractor shall comply with Executive Order 11246, as

amended, and the rules, regulations, and orders of the Secretary of Labor.

(7) The Contractor shall furnish to the contracting agency all information required by Executive Order 11246, as amended, and by the rules, regulations, and orders of the Secretary of Labor. The Contractor shall also file Standard Form 100 (EEO-1), or any successor form, as prescribed in 41 CFR part 60-1. Unless the Contractor has filed within the 12 months preceding the date of contract award, the Contractor shall, within 30 days after contract award, apply to either the regional Office of Federal Contract Compliance Programs (OFCCP) or the local office of the Equal Employment Opportunity Commission for the necessary forms.

(8) The Contractor shall permit access to its premises, during normal business hours, by the contracting agency or the OFCCP for the purpose of conducting on-site compliance evaluations and complaint investigations. The Contractor shall permit the Government to inspect and copy any books, accounts, records (including computerized records), and other material that may be relevant to the matter under investigation and pertinent to compliance with Executive Order 11246, as amended, and rules and regulations that implement the Executive Order.

(9) If the OFCCP determines that the Contractor is not in compliance with this clause or any rule, regulation, or order of the Secretary of Labor, this contract may be canceled, terminated, or suspended in whole or in part and the Contractor may be declared ineligible for further Government contracts, under the procedures authorized in Executive Order 11246, as amended. In addition, sanctions may be imposed and remedies invoked against the Contractor as provided in Executive Order 11246, as amended; in the rules, regulations, and orders of the Secretary of Labor; or as otherwise provided by law.

(10) The Contractor shall include the terms and conditions of subparagraphs (b)(1) through (11) of this clause in every subcontract or purchase order that is not exempted by the rules, regulations, or orders of the Secretary of Labor issued under Executive Order 11246, as amended, so that these terms and conditions will be binding upon each subcontractor or vendor.

(11) The Contractor shall take such action with respect to any subcontract or purchase order as the contracting officer may direct as a means of enforcing these terms and conditions, including sanctions for noncompliance; provided, that if the Contractor becomes involved in, or is threatened with, litigation with a subcontractor or vendor as a result of any direction, the Contractor may request the United States to enter into the litigation to protect the interests of the United States.

(c) Notwithstanding any other clause in this contract, disputes relative to this clause will be governed by the procedures in 41 CFR 60-1.1.

(End of clause)



32 52.222-27 AFFIRMATIVE ACTION COMPLIANCE REQUIREMENTS FOR  
CONSTRUCTION (FEB 1999)

(a) Definitions.

"Covered area," as used in this clause, means the geographical area described in the solicitation for this contract.

"Deputy Assistant Secretary," as used in this clause, means the Deputy Assistant Secretary for Federal Contract Compliance, U.S. Department of Labor, or a designee.

"Employer's identification number," as used in this clause, means the Federal Social Security number used on the employer's quarterly federal tax return, U.S. Treasury Department Form 941.

"Minority," as used in this clause, means--

(1) American Indian or Alaskan Native (all persons having origins in any of the original peoples of North America and maintaining identifiable tribal affiliations through membership and participation or community identification).

(2) Asian and Pacific Islander (all persons having origins in any of the original peoples of the Far East, Southeast Asia, the Indian Subcontinent, or the Pacific Islands);

(3) Black (all persons having origins in any of the black African racial groups not of Hispanic origin); and

(4) Hispanic (all persons of Mexican, Puerto Rican, Cuban, Central or South American, or other Spanish culture or origin, regardless of race).

(b) If the Contractor, or a subcontractor at any tier, subcontracts a portion of the work involving any construction trade, each such subcontract in excess of \$10,000 shall include this clause and the Notice containing the goals for minority and female participation stated in the solicitation for this contract.

(c) If the Contractor is participating in a Hometown Plan (41 CFR 60-4) approved by the U.S. Department of Labor in a covered area, either individually or through an association, its affirmative action obligations on all work in the plan area (including goals) shall comply with the plan for those trades that have unions participating in the plan. Contractors must be able to demonstrate participation in, and compliance with, the provisions of the plan. Each Contractor or subcontractor participating in an approved plan is also required to comply with its obligations under the Equal Opportunity clause, and to make a good faith effort to achieve each goal under the plan in each trade in which it has employees. The overall good-faith performance by other Contractors or subcontractors toward a goal in an approved plan does not excuse any Contractor's or subcontractor's failure to make good-faith efforts to achieve the plan's goals.

(d) The Contractor shall implement the affirmative action procedures in subparagraphs (g)(1) through (16) of this clause. The goals stated in the

solicitation for this contract are expressed as percentages of the total hours of employment and training of minority and female utilization that the Contractor should reasonably be able to achieve in each construction trade in which it has employees in the covered area. If the Contractor performs construction work in a geographical area located outside of the covered area, it shall apply the goals established for the geographical area where that work is actually performed. The Contractor is expected to make substantially uniform progress toward its goals in each craft.

(e) Neither the terms and conditions of any collective bargaining agreement, nor the failure by a union with which the Contractor has a collective bargaining agreement, to refer minorities or women shall excuse the Contractor's obligations under this clause, Executive Order 11246, as amended, or the regulations thereunder.

(f) In order for the nonworking training hours of apprentices and trainees to be counted in meeting the goals, apprentices and trainees must be employed by the Contractor during the training period, and the Contractor must have made a commitment to employ the apprentices and trainees at the completion of their training, subject to the availability of employment opportunities. Trainees must be trained pursuant to training programs approved by the U.S. Department of Labor.

(g) The Contractor shall take affirmative action to ensure equal employment opportunity. The evaluation of the Contractor's compliance with this clause shall be based upon its effort to achieve maximum results from its actions. The Contractor shall document these efforts fully and implement affirmative action steps at least as extensive as the following:

(1) Ensure a working environment free of harassment, intimidation, and coercion at all sites and in all facilities where the Contractor's employees are assigned to work. The Contractor, if possible, will assign two or more women to each construction project. The Contractor shall ensure that foremen, superintendents, and other onsite supervisory personnel are aware of and carry out the Contractor's obligation to maintain such a working environment, with specific attention to minority or female individuals working at these sites or facilities.

(2) Establish and maintain a current list of sources for minority and female recruitment. Provide written notification to minority and female recruitment sources and community organizations when the Contractor or its unions have employment opportunities available, and maintain a record of the organizations' responses.

(3) Establish and maintain a current file of the names, addresses, and telephone numbers of each minority and female off-the-street applicant, referrals of minorities or females from unions, recruitment sources, or community organizations, and the action taken with respect to each individual. If an individual was sent to the union hiring hall for referral and not referred back to the Contractor by the union or, if referred back, not employed by the Contractor, this shall be documented in the file, along with whatever additional actions the Contractor may

have taken.

(4) Immediately notify the Deputy Assistant Secretary when the union or unions with which the Contractor has a collective bargaining agreement has not referred back to the Contractor a minority or woman sent by the Contractor, or when the Contractor has other information that the union referral process has impeded the Contractor's efforts to meet its obligations.

(5) Develop on-the-job training opportunities and/or participate in training programs for the area that expressly include minorities and women, including upgrading programs and apprenticeship and trainee programs relevant to the Contractor's employment needs, especially those programs funded or approved by the Department of Labor. The Contractor shall provide notice of these programs to the sources compiled under subparagraph (g)(2) of this clause.

(6) Disseminate the Contractor's equal employment policy by--

(i) Providing notice of the policy to unions and to training, recruitment, and outreach programs, and requesting their cooperation in assisting the Contractor in meeting its contract obligations;

(ii) Including the policy in any policy manual and in collective bargaining agreements;

(iii) Publicizing the policy in the company newspaper, annual report, etc.;

(iv) Reviewing the policy with all management personnel and with all minority and female employees at least once a year; and

(v) Posting the policy on bulletin boards accessible to employees at each location where construction work is performed.

(7) Review, at least annually, the Contractor's equal employment policy and affirmative action obligations with all employees having responsibility for hiring, assignment, layoff, termination, or other employment decisions. Conduct review of this policy with all on-site supervisory personnel before initiating construction work at a job site. A written record shall be made and maintained identifying the time and place of these meetings, persons attending, subject matter discussed, and disposition of the subject matter.

(8) Disseminate the Contractor's equal employment policy externally by including it in any advertising in the news media, specifically including minority and female news media. Provide written notification to, and discuss this policy with, other Contractors and subcontractors with which the Contractor does or anticipates doing business.

(9) Direct recruitment efforts, both oral and written, to minority, female, and community organizations, to schools with minority and female students, and to minority and female recruitment and training organizations serving the Contractor's recruitment area and employment needs. Not later than 1 month before the date for acceptance of applications for apprenticeship or training by any recruitment source, send written notification to organizations such as the above, describing

the openings, screening procedures, and tests to be used in the selection process.

(10) Encourage present minority and female employees to recruit minority persons and women. Where reasonable, provide after-school, summer, and vacation employment to minority and female youth both on the site and in other areas of the Contractor's workforce.

(11) Validate all tests and other selection requirements where required under 41 CFR 60-3.

(12) Conduct, at least annually, an inventory and evaluation at least of all minority and female personnel for promotional opportunities. Encourage these employees to seek or to prepare for, through appropriate training, etc., opportunities for promotion.

(13) Ensure that seniority practices job classifications, work assignments, and other personnel practices do not have a discriminatory effect by continually monitoring all personnel and employment-related activities to ensure that the Contractor's obligations under this contract are being carried out.

(14) Ensure that all facilities and company activities are nonsegregated except that separate or single-user rest rooms and necessary dressing or sleeping areas shall be provided to assure privacy between the sexes.

(15) Maintain a record of solicitations for subcontracts for minority and female construction contractors and suppliers, including circulation of solicitations to minority and female contractor associations and other business associations.

(16) Conduct a review, at least annually, of all supervisors' adherence to and performance under the Contractor's equal employment policy and affirmative action obligations.

(h) The Contractor is encouraged to participate in voluntary associations that may assist in fulfilling one or more of the affirmative action obligations contained in subparagraphs (g)(1) through (16) of this clause. The efforts of a contractor association, joint contractor-union, contractor-community, or similar group of which the contractor is a member and participant may be asserted as fulfilling one or more of its obligations under subparagraphs (g)(1) through (16) of this clause, provided the Contractor--

- (1) Actively participates in the group;
- (2) Makes every effort to ensure that the group has a positive impact on the employment of minorities and women in the industry;
- (3) Ensures that concrete benefits of the program are reflected in the Contractor's minority and female workforce participation;
- (4) Makes a good-faith effort to meet its individual goals and timetables; and
- (5) Can provide access to documentation that demonstrates the effectiveness of actions taken on behalf of the Contractor. The obligation to comply is the Contractor's, and failure of such a

group to fulfill an obligation shall not be a defense for the Contractor's noncompliance.

(i) A single goal for minorities and a separate single goal for women shall be established. The Contractor is required to provide equal employment opportunity and to take affirmative action for all minority groups, both male and female, and all women, both minority and nonminority. Consequently, the Contractor may be in violation of Executive Order 11246, as amended, if a particular group is employed in a substantially disparate manner.

(j) The Contractor shall not use goals or affirmative action standards to discriminate against any person because of race, color, religion, sex, or national origin.

(k) The Contractor shall not enter into any subcontract with any person or firm debarred from Government contracts under Executive Order 11246, as amended.

(l) The Contractor shall carry out such sanctions and penalties for violation of this clause and of the Equal Opportunity clause, including suspension, termination, and cancellation of existing subcontracts, as may be imposed or ordered under Executive Order 11246, as amended, and its implementing regulations, by the OFCCP. Any failure to carry out these sanctions and penalties as ordered shall be a violation of this clause and Executive Order 11246, as amended.

(m) The Contractor in fulfilling its obligations under this clause shall implement affirmative action procedures at least as extensive as those prescribed in paragraph (g) of this clause, so as to achieve maximum results from its efforts to ensure equal employment opportunity. If the Contractor fails to comply with the requirements of Executive Order 11246, as amended, the implementing regulations, or this clause, the Deputy Assistant Secretary shall take action as prescribed in 41 CFR 60-4.8.

(n) The Contractor shall designate a responsible official to--

(1) Monitor all employment-related activity to ensure that the Contractor's equal employment policy is being carried out;

(2) Submit reports as may be required by the Government; and

(3) Keep records that shall at least include for each employee the name, address, telephone number, construction trade, union affiliation (if any), employee identification number, social security number, race, sex, status (e.g., mechanic, apprentice, trainee, helper, or laborer), dates of changes in status, hours worked per week in the indicated trade, rate of pay, and locations at which the work was performed. Records shall be maintained in an easily understandable and retrievable form; however, to the degree that existing records satisfy this requirement, separate records are not required to be maintained.

(o) Nothing contained herein shall be construed as a limitation upon the application of other laws that establish different standards of compliance or upon the requirements for the hiring of local or other area residents (e.g., those under the Public Works Employment Act of 1977

and the Community Development Block Grant Program).  
(End of clause)

33 52.222-35 AFFIRMATIVE ACTION FOR DISABLED VETERANS AND VETERANS  
OF THE VIETNAM ERA (APR 1998)

(a) Definitions. As used in this clause--

"All employment openings" includes all positions except executive and top management, those positions that will be filled from within the contractor's organization, and positions lasting 3 days or less. This term includes full-time employment, temporary employment of more than 3 days' duration, and part-time employment.

"Appropriate office of the State employment service system" means the local office of the Federal-State national system of public employment offices with assigned responsibility to serve the area where the employment opening is to be filled, including the District of Columbia, Guam, the Commonwealth of Puerto Rico, and the Virgin Islands.

"Positions that will be filled from within the Contractor's organization" means employment openings for which no consideration will be given to persons outside the Contractor's organization (including any affiliates, subsidiaries, and parent companies) and includes any openings that the Contractor proposes to fill from regularly established "recall" lists. The exception does not apply to a particular opening once an employer decides to consider applicants outside of its organization.

"Veteran of the Vietnam era" means a person who--

(1) Served on active duty for a period of more than 180 days, any part of which occurred between August 5, 1964, and May 7, 1975, and was discharged or released therefrom with other than a dishonorable discharge; or

(2) Was discharged or released from active duty for a service-connected disability if any part of such active duty was performed between August 5, 1964, and May 7, 1975.

(b) General. (1) Regarding any position for which the employee or applicant for employment is qualified, the Contractor shall not discriminate against the individual because the individual is a disabled veteran or a veteran of the Vietnam era. The Contractor agrees to take affirmative action to employ, advance in employment, and otherwise treat qualified disabled veterans and veterans of the Vietnam era without discrimination based upon their disability or veterans' status in all employment practices such as--

- (i) Employment;
- (ii) Upgrading;
- (iii) Demotion or transfer;
- (iv) Recruitment;

- (v) Advertising;
- (vi) Layoff or termination;
- (vii) Rates of pay or other forms of compensation; and
- (viii) Selection for training, including apprenticeship.

(2) The Contractor agrees to comply with the rules, regulations, and relevant orders of the Secretary of Labor (Secretary) issued under the Vietnam Era Veterans' Readjustment Assistance Act of 1972 (the Act), as amended.

(c) Listing openings. (1) The Contractor agrees to list all employment openings existing at contract award or occurring during contract performance, at an appropriate office of the State employment service system in the locality where the opening occurs. These openings include those occurring at any Contractor facility, including one not connected with performing this contract. An independent corporate affiliate is exempt from this requirement.

(2) State and local government agencies holding Federal contracts of \$10,000 or more shall also list all employment openings with the appropriate office of the State employment service.

(3) The listing of employment openings with the State employment service system is required at least concurrently with using any other recruitment source or effort and involves the obligations of placing a bona fide job order, including accepting referrals of veterans and nonveterans. This listing does not require hiring any particular job applicant or hiring from any particular group of job applicants and is not intended to relieve the Contractor from any requirements of Executive orders or regulations concerning nondiscrimination in employment.

(4) Whenever the Contractor becomes contractually bound to the listing terms of this clause, it shall advise the State employment service system, in each State where it has establishments, of the name and location of each hiring location in the State. As long as the Contractor is contractually bound to these terms and has so advised the State system, it need not advise the State system of subsequent contracts. The Contractor may advise the State system when it is no longer bound by this contract clause.

(d) Applicability. This clause does not apply to the listing of employment openings that occur and are filled outside the 50 States, the District of Columbia, the Commonwealth of Puerto Rico, Guam, and the Virgin Islands.

(e) Postings. (1) The Contractor agrees to post employment notices stating (i) the Contractor's obligation under the law to take affirmative action to employ and advance in employment qualified disabled veterans and veterans of the Vietnam era, and (ii) the rights of applicants and employees.

(2) These notices shall be posted in conspicuous places that are available to employees and applicants for employment. They shall be in

a form prescribed by the Deputy Assistant Secretary for Federal Contract Compliance Programs, Department of Labor (Deputy Assistant Secretary), and provided by or through the Contracting Officer.

(3) The Contractor shall notify each labor union or representative of workers with which it has a collective bargaining agreement or other contract understanding, that the Contractor is bound by the terms of the Act, and is committed to take affirmative action to employ, and advance in employment, qualified disabled veterans and veterans of the Vietnam era.

(f) Noncompliance. If the Contractor does not comply with the requirements of this clause, appropriate actions may be taken under the rules, regulations, and relevant orders of the Secretary issued pursuant to the Act.

(g) Subcontracts. The Contractor shall include the terms of this clause in every subcontract or purchase order of \$10,000 or more unless exempted by rules, regulations, or orders of the Secretary. The Contractor shall act as specified by the Deputy Assistant Secretary to enforce the terms, including action for noncompliance.

(End of clause)

34 52.222-36 AFFIRMATIVE ACTION FOR WORKERS WITH DISABILITIES  
(JUN 1998)

(a) General. (1) Regarding any position for which the employee or applicant for employment is qualified, the Contractor shall not discriminate against any employee or applicant because of physical or mental disability. The Contractor agrees to take affirmative action to employ, advance in employment, and otherwise treat qualified individuals with disabilities without discrimination based upon their physical or mental disability in all employment practices such as--

- (i) Recruitment, advertising, and job application procedures;
- (ii) Hiring, upgrading, promotion, award of tenure, demotion, transfer, layoff, termination, right of return from layoff, and rehiring;
- (iii) Rates of pay or any other form of compensation and changes in compensation;
- (iv) Job assignments, job classifications, organizational structures, position descriptions, lines of progression, and seniority lists;
- (v) Leaves of absence, sick leave, or any other leave;
- (vi) Fringe benefits available by virtue of employment, whether or not administered by the Contractor;
- (vii) Selection and financial support for training, including apprenticeships, professional meetings, conferences, and other related activities, and selection for leaves of absence to pursue



training;

(viii) Activities sponsored by the Contractor, including social or recreational programs; and

(ix) Any other term, condition, or privilege of employment.

(2) The Contractor agrees to comply with the rules, regulations, and relevant orders of the Secretary of Labor (Secretary) issued under the Rehabilitation Act of 1973 (29 U.S.C. 793) (the Act), as amended.

(b) Postings. (1) The Contractor agrees to post employment notices stating--

(i) The Contractor's obligation under the law to take affirmative action to employ and advance in employment qualified individuals with disabilities; and

(ii) The rights of applicants and employees.

(2) These notices shall be posted in conspicuous places that are available to employees and applicants for employment. The Contractor shall ensure that applicants and employees with disabilities are informed of the contents of the notice (e.g., the Contractor may have the notice read to a visually disabled individual, or may lower the posted notice so that it might be read by a person in a wheelchair). The notices shall be in a form prescribed by the Deputy Assistant Secretary for Federal Contract Compliance of the U.S. Department of Labor (Deputy Assistant Secretary) and shall be provided by or through the Contracting Officer.

(3) The Contractor shall notify each labor union or representative of workers with which it has a collective bargaining agreement or other contract understanding, that the Contractor is bound by the terms of Section 503 of the Act and is committed to take affirmative action to employ, and advance in employment, qualified individuals with physical or mental disabilities.

(c) Noncompliance. If the Contractor does not comply with the requirements of this clause, appropriate actions may be taken under the rules, regulations, and relevant orders of the Secretary issued pursuant to the Act.

(d) Subcontracts. The Contractor shall include the terms of this clause in every subcontract or purchase order in excess of \$10,000 unless exempted by rules, regulations, or orders of the Secretary. The Contractor shall act as specified by the Deputy Assistant Secretary to enforce the terms, including action for noncompliance.

(End of clause)

### 35 52.222-37 EMPLOYMENT REPORTS ON DISABLED VETERANS AND VETERANS OF THE VIETNAM ERA (JAN 1999)

(a) Unless the Contractor is a State or local government agency, the Contractor shall report at least annually, as required by the

Secretary of Labor, on--

(1) The number of disabled veterans and the number of veterans of the Vietnam era in the workforce of the Contractor by job category and hiring location; and

(2) The total number of new employees hired during the period covered by the report, and of that total, the number of disabled veterans, and the number of veterans of the Vietnam era.

(b) The above items shall be reported by completing the form entitled "Federal Contractor Veterans' Employment Report VETS-100."

(c) Reports shall be submitted no later than September 30 of each year beginning September 30, 1988.

(d) The employment activity report required by paragraph (a)(2) of this clause shall reflect total hires during the most recent 12-month period as of the ending date selected for the employment profile report required by paragraph (a)(1) of this clause. Contractors may select an ending date:

(1) As of the end of any pay period during the period January through March 1st of the year the report is due, or (2) as of December 31, if the contractor has previous written approval from the Equal Employment Opportunity Commission to do so for purposes of submitting the Employer Information Report EEO-1 (Standard Form 100).

(e) The count of veterans reported according to paragraph (a) of this clause shall be based on voluntary disclosure. Each Contractor subject to the reporting requirements at 38 U.S.C. 4212 shall invite all disabled veterans and veterans of the Vietnam era who wish to benefit under the affirmative action program at 38 U.S.C. 4212 to identify themselves to the Contractor. The invitation shall state that the information is voluntarily provided; that the information will be kept confidential; that disclosure or refusal to provide the information will not subject the applicant or employee to any adverse treatment; and that the information will be used only in accordance with the regulations promulgated under 38 U.S.C. 4212.

(f) Subcontracts. The Contractor shall include the terms of this clause in every subcontract or purchase order of \$10,000 or more unless exempted by rules, regulations, or orders of the Secretary.

(End of clause)

### 36 52.223-2 CLEAN AIR AND WATER (APR 1984)

(a) "Air Act", as used in this clause, means the Clean Air Act (42 U.S.C. 7401, et seq.).

"Clean air standards," as used in this clause, means--

(1) Any enforceable rules, regulations, guidelines, standards, limitations, orders, controls, prohibitions, work practices, or other requirements contained in, issued under, or otherwise adopted under the Air Act or Executive Order 11738;

(2) An applicable implementation plan as described in section 110(d)

of the Air Act (42 U.S.C. 7410(d));

(3) An approved implementation procedure or plan under section 111(c) or section 111(d) of the Air Act (42 U.S.C. 7411(c) or (d)); or

(4) An approved implementation procedure under section 112(d) of the Air Act (42 U.S.C. 7412(d)).

"Clean water standards," as used in this clause, means any enforceable limitation, control, condition, prohibition, standard, or other requirement promulgated under the Water Act or contained in a permit issued to a discharger by the EPA or by a State under an approved program, as authorized by section 402 of the Water Act (33 U.S.C. 1342), or by local government to ensure compliance with pretreatment regulations as required by section 307 of the Water Act (33 U.S.C. 1317).

"Compliance," as used in this clause, means compliance with--

(1) Clean air or water standards; or

(2) A schedule or plan ordered or approved by a court of competent jurisdiction, the EPA, or an air or water pollution control agency under the requirements of the Air Act or Water Act and related regulations.

"Facility," as used in this clause, means any building, plant, installation, structure, mine, vessel or other floating craft, location, or site of operations, owned, leased, or supervised by a Contractor or subcontractor, used in the performance of a contract or subcontract. When a location or site of operations includes more than one building, plant, installation, or structure, the entire location or site shall be deemed a facility except when the Administrator, or a designee, of the EPA determines that independent facilities are collocated in one geographical area.

"Water Act," as used in this clause, means Clean Water Act (33 U.S.C. 1251, et seq.).

(b) The Contractor agrees--

(1) To comply with all the requirements of section 114 of the Clean Air Act (42 U.S.C. 7414) and section 308 of the Clean Water Act (33 U.S.C. 1318) relating to inspection, monitoring, entry, reports, and information, as well as other requirements specified in section 114 and section 308 of the Air Act and the Water Act, and all regulations and guidelines issued to implement those acts before the award of this contract;

(2) That no portion of the work required by this prime contract will be performed in a facility listed on the EPA List of Violating Facilities on the date when this contract was awarded unless and until the EPA eliminates the name of the facility from the listing;

(3) To use best efforts to comply with clean air standards and clean water standards at the facility in which the contract is being performed; and

(4) To insert the substance of this clause into any nonexempt subcontract, including this subparagraph (b)(4).

(End of clause)

(R 7-103.29 1975 OCT)  
(R 1-1.2302)

37 52.223-3 HAZARDOUS MATERIAL IDENTIFICATION AND MATERIAL SAFETY  
DATA (JAN 1997)

(a) "Hazardous material," as used in this clause, includes any material defined as hazardous under the latest version of Federal Standard No. 313 (including revisions adopted during the term of the contract).

(b) The Offeror must list any hazardous material, as defined in paragraph (a) of this clause, to be delivered under this contract. The hazardous material shall be properly identified and include any applicable identification number, such as National Stock Number or Special Item Number. This information shall also be included on the Material Safety Data Sheet submitted under this contract.

Material	Identification No.
(If none, insert None)	


(c) This list must be updated during performance of the contract whenever the Contractor determines that any other material to be delivered under this contract is hazardous.

(d) The apparently successful Offeror agrees to submit, for each item as required prior to award, a Material Safety Data Sheet, meeting the requirements of 29 CFR 1910.1200(g) and the latest version of Federal Standard No. 313, for all hazardous material identified in paragraph (b) of this clause. Data shall be submitted in accordance with Federal Standard No. 313, whether or not the apparently successful Offeror is the actual manufacturer of these items. Failure to submit the Material Safety Data Sheet prior to award may result in the apparently successful Offeror being considered nonresponsible and ineligible for award.

(e) If, after award, there is a change in the composition of the item(s) or a revision to Federal Standard No. 313, which renders incomplete or inaccurate the data submitted under paragraph (d) of this clause, the Contractor shall promptly notify the Contracting Officer and resubmit the data.

(f) Neither the requirements of this clause nor any act or failure to act by the Government shall relieve the Contractor of any responsibility or liability for the safety of Government, Contractor, or subcontractor personnel or property.

(g) Nothing contained in this clause shall relieve the Contractor from complying with applicable Federal, State, and local laws, codes, ordinances, and regulations (including the obtaining of licenses and permits) in connection with hazardous material.

(h) The Government's rights in data furnished under this contract with

respect to hazardous material are as follows:

- (1) To use, duplicate and disclose any data to which this clause is applicable. The purposes of this right are to--
    - (i) Apprise personnel of the hazards to which they may be exposed in using, handling, packaging, transporting, or disposing of hazardous materials;
    - (ii) Obtain medical treatment for those affected by the material;
    - and
    - (iii) Have others use, duplicate, and disclose the data for the Government for these purposes.
  - (2) To use, duplicate, and disclose data furnished under this clause, in accordance with subparagraph (h)(1) of this clause, in precedence over any other clause of this contract providing for rights in data.
  - (3) The Government is not precluded from using similar or data acquired from other sources.
- (End of clause)

#### 38 52.223-6 DRUG-FREE WORKPLACE (JAN 1997)

(a) Definitions. As used in this clause--

"Controlled substance" means a controlled substance in schedules I through V of section 202 of the Controlled Substances Act (21 U.S.C. 812) and as further defined in regulation at 21 CFR 1308.11 - 1308.15.

"Conviction" means a finding of guilt (including a plea of nolo contendere) or imposition of sentence, or both, by any judicial body charged with the responsibility to determine violations of the Federal or State criminal drug statutes.

"Criminal drug statute" means a Federal or non-Federal criminal statute involving the manufacture, distribution, dispensing, possession or use of any controlled substance.

"Drug-free workplace" means the site(s) for the performance of work done by the Contractor in connection with a specific contract at which employees of the Contractor are prohibited from engaging in the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance.

"Employee" means an employee of a Contractor directly engaged in the performance of work under a Government contract. "Directly engaged" is defined to include all direct cost employees and any other Contractor employee who has other than a minimal impact or involvement in contract performance.

"Individual" means an offeror/contractor that has no more than one employee including the offeror/contractor.

(b) The Contractor, if other than an individual, shall--within 30 days after award (unless a longer period is agreed to in writing for contracts of 30 days or more performance duration), or as soon as possible for contracts of less than 30 days performance duration--

- (1) Publish a statement notifying its employees that the unlawful

manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Contractor's workplace and specifying the actions that will be taken against employees for violations of such prohibition;

(2) Establish an ongoing drug-free awareness program to inform such employees about--

- (i) The dangers of drug abuse in the workplace;
- (ii) The Contractor's policy of maintaining a drug-free workplace;
- (iii) Any available drug counseling, rehabilitation, and employee assistance programs; and
- (iv) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;

(3) Provide all employees engaged in performance of the contract with a copy of the statement required by subparagraph (b)(1) of this clause;

(4) Notify such employees in writing in the statement required by subparagraph (b)(1) of this clause that, as a condition of continued employment on this contract, the employee will--

- (i) Abide by the terms of the statement; and
- (ii) Notify the employer in writing of the employee's conviction under a criminal drug statute for a violation occurring in the workplace no later than 5 days after such conviction.

(5) Notify the Contracting Officer in writing within 10 days after receiving notice under subdivision (b)(4)(ii) of this clause, from an employee or otherwise receiving actual notice of such conviction. The notice shall include the position title of the employee;

(6) Within 30 days after receiving notice under subdivision (b)(4)(ii) of this clause of a conviction, take one of the following actions with respect to any employee who is convicted of a drug abuse violation occurring in the workplace:

- (i) Taking appropriate personnel action against such employee, up to and including termination; or
- (ii) Require such employee to satisfactorily participate in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency, and

(7) Make a good faith effort to maintain a drug-free workplace through implementation of subparagraphs (b)(1) through (b)(6) of this clause.

(c) The Contractor, if an individual, agrees by award of the contract or acceptance of a purchase order, not to engage in the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance while performing this contract.

(d) In addition to other remedies available to the Government, the Contractor's failure to comply with the requirements of paragraph (b) or (c) of this clause may, pursuant to FAR 23.506, render the Contractor subject to suspension of contract payments, termination of the contract

for default, and suspension or debarment.

(End of clause)

39 52.223-14 TOXIC CHEMICAL RELEASE REPORTING (OCT 1996)

(a) Unless otherwise exempt, the Contractor, as owner or operator of a facility used in the performance of this contract, shall file by July 1 for the prior calendar year an annual Toxic Chemical Release Inventory Form (Form R) as described in sections 313(a) and (g) of the Emergency Planning and Community Right-to-Know Act of 1986 (EPCRA) (42 U.S.C. 11023(a) and (g)), and section 6607 of the Pollution Prevention Act of 1990 (PPA) (42 U.S.C. 13106). The Contractor shall file, for each facility subject to the Form R filing and reporting requirements, the annual Form R throughout the life of the contract.

(b) A Contractor owned or operated facility used in the performance of this contract is exempt from the requirement to file an annual Form R if--

(1) The facility does not manufacture, process, or otherwise use any toxic chemicals listed under section 313(c) of EPCRA, 42 U.S.C. 11023(c);

(2) The facility does not have 10 or more full-time employees as specified in section 313(b)(1)(A) of EPCRA, 42 U.S.C. 11023(b)(1)(A);

(3) The facility does not meet the reporting thresholds of toxic chemicals established under section 313(f) of EPCRA, 42 U.S.C. 11023(f) (including the alternate thresholds at 40 CFR 372.27, provided an appropriate certification form has been filed with EPA);

(4) The facility does not fall within Standard Industrial Classification Code (SIC) designations 20 through 39 as set forth in Section 19.102 of the Federal Acquisition Regulation (FAR); or

(5) The facility is not located within any State of the United States, the District of Columbia, the Commonwealth of Puerto Rico, Guam, American Samoa, the United States Virgin Islands, the Northern Mariana Islands, or any other territory or possession over which the United States has jurisdiction.

(c) If the Contractor has certified to an exemption in accordance with one or more of the criteria in paragraph (b) of this clause, and after award of the contract circumstances change so that any of its owned or operated facilities used in the performance of this contract is no longer exempt--

(1) The Contractor shall notify the Contracting Officer; and

(2) The Contractor, as owner or operator of a facility used in the performance of this contract that is no longer exempt, shall (i) submit a Toxic Chemical Release Inventory Form (Form R) on or before July 1 for the prior calendar year during which the facility becomes eligible; and (ii) continue to file the annual Form R for the life of the contract for such facility.

(d) The Contracting Officer may terminate this contract or take other action as appropriate, if the Contractor fails to comply accurately and

fully with the EPCRA and PPA toxic chemical release filing and reporting requirements.

(e) Except for acquisitions of commercial items as defined in FAR Part 2, the Contractor shall--

(1) For competitive subcontracts expected to exceed \$100,000 (including all options), include a solicitation provision substantially the same as the provision at FAR 52.223-13, Certification of Toxic Chemical Release Reporting; and

(2) Include in any resultant subcontract exceeding \$100,000 (including all options), the substance of this clause, except this paragraph (e).

(End of clause)

#### 40 52.225-5 BUY AMERICAN ACT--CONSTRUCTION MATERIALS (JUN 1997)

(a) The Buy American Act (41 U.S.C. 10) provides that the Government give preference to domestic construction material.

"Components," means those articles, materials, and supplies incorporated directly into construction materials.

"Construction materials," means an article, material, or supply brought to the construction site for incorporation into the building or work. Construction material also includes an item brought to the site pre-assembled from articles, materials or supplies. However, emergency life safety systems, such as emergency lighting, fire alarm, and audio evacuation systems, which are discrete systems incorporated into a public building or work and which are produced as a complete system, shall be evaluated as a single and distinct construction material regardless of when or how the individual parts or components of such systems are delivered to the construction site.

"Domestic construction material," means (1) an unmanufactured construction material mined or produced in the United States, or (2) a construction material manufactured in the United States, if the cost of its components mined, produced, or manufactured in the United States exceeds 50 percent of the cost of all its components. Components of foreign origin of the same class or kind as the construction materials determined to be unavailable pursuant to subparagraph 25.202(a)(2) of the Federal Acquisition Regulation (FAR) shall be treated as domestic.

(b)(1) The Buy American Act (41 U.S.C. 10a-10d) requires that only domestic construction material be used in performing this contract, except as provided in paragraphs (b)(2) and (b)(3) of this clause.

(2) This requirement does not apply to the excepted construction material or components listed by the Government as follows:

NONE

(3) Other foreign construction material may be added to the list in paragraph (b)(2) of this clause if the Government determines that--

(i) The cost would be unreasonable (the cost of a particular domestic construction material shall be determined to be unreasonable when the



cost of such material exceeds the cost of foreign material by more than 6 percent, unless the agency head determines a higher percentage to be appropriate);

(ii) The application of the restriction of the Buy American Act to a particular construction material would be impracticable or inconsistent with the public interest; or

(iii) The construction material is not mined, produced, or manufactured in the United States in sufficient and reasonably available commercial quantities of a satisfactory quality.

(4) The Contractor agrees that only domestic construction material will be used by the Contractor, subcontractors, material men, and suppliers in the performance of this contract, except for foreign construction materials, if any, listed in paragraph (b)(2) of this clause.

(c) Request for determination. (1) Contractors requesting to use foreign construction material under paragraph (b)(3) of this clause shall provide adequate information for Government evaluation of the request for a determination regarding the inapplicability of the Buy American Act. Each submission shall include a description of the foreign and domestic construction materials, including unit of measure, quantity, price, time of delivery or availability, location of the construction project, name and address of the proposed contractor, and a detailed justification of the reason for use of foreign materials cited in accordance with paragraph (b)(3) of this clause. A submission based on unreasonable cost shall include a reasonable survey of the market and a completed price comparison table in the format in paragraph (d) of this clause. The price of construction material shall include all delivery costs to the construction site and any applicable duty (whether or not a duty-free certificate may be issued).

(2) If the Government determines after contract award that an exception to the Buy American Act applies, the contract shall be modified to allow use of the foreign construction material, and adequate consideration shall be negotiated. However, when the basis for the exception is the unreasonable price of a domestic construction material, adequate consideration shall not be less than the differential established in paragraph (b)(3)(i) of this clause.

(3) If the Government does not determine that an exception to the Buy American Act applies, the use of that particular foreign construction material will be a failure to comply with the Act.

(d) For evaluation of requests under paragraph (c) of this clause based on unreasonable cost, the following information and any applicable supporting data based on the survey of suppliers shall be included in the request:

Foreign and Domestic Construction Materials Price Comparison

Construction material description	Unit of measure	Quantity	Price (dollars) +
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Item 1:					
Foreign construction material.....	. ...	..... ....	..... .....		
Domestic construction material.....	.....	.	..... .....		
Item 2:					
Foreign construction material.....	. ...	..... .	..... .....		
Domestic construction material.....	.....	.. ....	..... .....		

List name, address, telephone number, and contact for suppliers surveyed. Attach copy of response; if oral, attach summary. Include other applicable supporting information.

+ Include all delivery costs to the construction site and any applicable duty (whether or not a duty-free entry certificate is issued).  
(End of clause)

41 52.225-11 RESTRICTIONS ON CERTAIN FOREIGN PURCHASES (AUG 1998)

(a) Unless advance written approval of the Contracting Officer is obtained, the Contractor shall not acquire, for use in the performance of this contract, any supplies or services originating from sources within, or that were located in or transported from or through, countries whose products are banned from importation into the United States by Executive order or regulations of the Office of Foreign Assets Control, Department of the Treasury. Those countries include Cuba, Iran, Iraq, Libya, North Korea, and Sudan.

(b) The Contractor shall not acquire for use in the performance of this contract any supplies or services from entities controlled by the Government of Iraq.

(c) The Contractor agrees to insert the provisions of this clause, including this paragraph (c), in all subcontracts hereunder.

(End of clause)

42 52.227-1 AUTHORIZATION AND CONSENT (JUL 1995)

(a) The Government authorizes and consents to all use and manufacture, in performing this contract or any subcontract at any tier, of any invention described in and covered by a United States patent (1) embodied in the structure or composition of any article the delivery of which is accepted by the Government under this contract or (2) used in machinery, tools, or methods whose use necessarily results from compliance by the Contractor or a subcontractor with (i) specifications or written provisions forming a part of this contract or (ii) specific written instructions given by the Contracting Officer directing the manner of performance. The entire liability to the Government for infringement of a patent of the United States shall be determined solely by the provisions of the indemnity clause, if any, included in this contract or any subcontract hereunder

(including any lower-tier subcontract), and the Government assumes liability for all other infringement to the extent of the authorization and consent hereinabove granted.

(b) The Contractor agrees to include, and require inclusion of, this clause, suitably modified to identify the parties, in all subcontracts at any tier for supplies or services (including construction, architect-engineer services, and materials, supplies, models, samples, and design or testing services expected to exceed the simplified acquisition threshold); however, omission of this clause from any subcontract, including those at or below the simplified acquisition threshold, does not affect this authorization and consent.

(End of clause)

43 52.227-2 NOTICE AND ASSISTANCE REGARDING PATENT AND COPYRIGHT INFRINGEMENT (AUG 1996)

(a) The Contractor shall report to the Contracting Officer, promptly and in reasonable written detail, each notice or claim of patent or copyright infringement based on the performance of this contract of which the Contractor has knowledge.

(b) In the event of any claim or suit against the Government on account of any alleged patent or copyright infringement arising out of the performance of this contract or out of the use of any supplies furnished or work or services performed under this contract, the Contractor shall furnish to the Government, when requested by the Contracting Officer, all evidence and information in possession of the Contractor pertaining to such suit or claim. Such evidence and information shall be furnished at the expense of the Government except where the Contractor has agreed to indemnify the Government.

(c) The Contractor agrees to include, and require inclusion of, this clause in all subcontracts at any tier for supplies or services (including construction and architect-engineer subcontracts and those for material, supplies, models, samples, or design or testing services) expected to exceed the simplified acquisition threshold at FAR 2.101.

(End of clause)

44 52.227-4 PATENT INDEMNITY--CONSTRUCTION CONTRACTS (APR 1984)

Except as otherwise provided, the Contractor agrees to indemnify the Government and its officers, agents, and employees against liability, including costs and expenses, for infringement upon any United States patent (except a patent issued upon an application that is now or may hereafter be withheld from issue pursuant to a Secrecy Order under 35 U.S.C. 181) arising out of performing this contract or out of the use or disposal by or for the account of the Government of supplies furnished or work performed under this contract.

(End of clause)  
(R 7-602.16 1964 JUN)

45 52.228-2 ADDITIONAL BOND SECURITY (OCT 1997)

The Contractor shall promptly furnish additional security required to protect the Government and persons supplying labor or materials under this contract if--

(a) Any surety upon any bond, or issuing financial institution for other security, furnished with this contract becomes unacceptable to the Government;

(b) Any surety fails to furnish reports on its financial condition as required by the Government;

(c) The contract price is increased so that the penal sum of any bond becomes inadequate in the opinion of the Contracting Officer; or

(d) An irrevocable letter of credit (ILC) used as security will expire before the end of the period of required security. If the Contractor does not furnish an acceptable extension or replacement ILC, or other acceptable substitute, at least 30 days before an ILC's scheduled expiration, the Contracting officer has the right to immediately draw on the ILC.

(End of clause)

46 52.228-11 PLEDGES OF ASSETS (FEB 1992)

(a) Offerors shall obtain from each person acting as an individual surety on a bid guarantee, a performance bond, or a payment bond--

(1) Pledge of assets; and

(2) Standard Form 28, Affidavit of Individual Surety.

(b) Pledges of assets from each person acting as an individual surety shall be in the form of--

(1) Evidence of an escrow account containing cash, certificates of deposit, commercial or Government securities, or other assets described in FAR 28.203-2 (except see 28.203-2(b)(2) with respect to Government securities held in book entry form) and/or;

(2) A recorded lien on real estate. The offeror will be required to provide--

(i) Evidence of title in the form of a certificate of title prepared by a title insurance company approved by the United States Department of Justice. This title evidence must show fee simple title vested in the surety along with any concurrent owners; whether any real estate taxes are due and payable; and any recorded encumbrances against the property, including the lien filed in favor of the Government as required by FAR 28.203-3(d);

(ii) Evidence of the amount due under any encumbrance shown in the evidence of title;

(iii) A copy of the current real estate tax assessment of the

property or a current appraisal dated no earlier than 6 months prior to the date of the bond, prepared by a professional appraiser who certifies that the appraisal has been conducted in accordance with the generally accepted appraisal standards as reflected in the Uniform Standards of Professional Appraisal Practice, as promulgated by the Appraisal Foundation.

(End of clause)

47 52.228-12 PROSPECTIVE SUBCONTRACTOR REQUESTS FOR BONDS (OCT 1995)

In accordance with Section 806(a)(3) of Pub. L. 102-190, as amended by Sections 2091 and 8105 of Pub. L. 103-355, upon the request of a prospective subcontractor or supplier offering to furnish labor or material for the performance of this contract for which a payment bond has been furnished to the Government pursuant to the Miller Act, the Contractor shall promptly provide a copy of such payment bond to the requester.

(End of clause)

48 52.228-14 IRREVOCABLE LETTER OF CREDIT (OCT 1997)

(a) "Irrevocable letter of credit" (ILC), as used in this clause, means a written commitment by a federally insured financial institution to pay all or part of a stated amount of money, until the expiration date of the letter, upon presentation by the Government (the beneficiary) of a written demand therefor. Neither the financial institution nor the offeror/Contractor can revoke or condition the letter of credit.

(b) If the offeror intends to use an ILC in lieu of a bid bond, or to secure other types of bonds such as performance and payment bonds, the letter of credit and letter of confirmation formats in paragraphs (e) and (f) of this clause shall be used.

(c) The letter of credit shall be irrevocable, shall require presentation of no document other than a written demand and the ILC (including confirming letter, if any), shall be issued/confirmed by an acceptable federally insured financial institution as provided in paragraph (d) of this clause, and--

(1) If used as a bid guarantee, the ILC shall expire no earlier than 60 days after the close of the bid acceptance period;

(2) If used as an alternative to corporate or individual sureties as security for a performance or payment bond, the offeror/Contractor may submit an ILC with an initial expiration date estimated to cover the entire period for which financial security is required or may submit an ILC with an initial expiration date that is a minimum period of one year from the date of issuance. The ILC shall provide that, unless the issuer provides the beneficiary written notice of non-renewal at least 60 days in advance of the current expiration date, the ILC is automatically extended without amendment for one year from the expiration date, or any

future expiration date, until the period of required coverage is completed and the Contracting Officer provides the financial institution with a written statement waiving the right to payment. The period of required coverage shall be:

(i) For contracts subject to the Miller Act, the later of--

(A) One year following the expected date of final payment;

(B) For performance bonds only, until completion of any warranty period; or

(C) For payment bonds only, until resolution of all claims filed against the payment bond during the one-year period following final payment.

(ii) For contracts not subject to the Miller Act, the later of--

(A) 90 days following final payment; or

(B) For performance bonds only, until completion of any warranty period.

(d) Only federally insured financial institutions rated investment grade or higher shall issue or confirm the ILC. The offeror/Contractor shall provide the Contracting Officer a credit rating that indicates the financial institution has the required rating(s) as of the date of issuance of the ILC. Unless the financial institution issuing the ILC had letter of credit business of at least \$25 million in the past year, ILCs over \$5 million must be confirmed by another acceptable financial institution that had letter of credit business of at least \$25 million in the past year.

(e) The following format shall be used by the issuing financial institution to create an ILC:

(Issuing Financial Institution's Letterhead or Name and Address)

Issue Date\_\_\_\_\_

Irrevocable Letter of Credit No.\_\_\_\_\_

Account party's name\_\_\_\_\_

Account party's address\_\_\_\_\_

For Solicitation No.\_\_\_\_\_

(For reference only)

TO: (U.S. Government agency)

(U.S. Government agency's address)

1. We hereby establish this irrevocable and transferable Letter of Credit in your favor for one or more drawings up to United States \$\_\_\_\_\_. This Letter of Credit is payable at (issuing financial institution's and, if any, confirming financial institution's) office at (issuing financial institution's address and, if any, confirming financial institution's address) and expires with our close of business on \_\_\_\_\_, or any automatically extended expiration date.

2. We hereby undertake to honor your or the transferee's sight draft(s) drawn on the issuing or, if any, the confirming financial institution, for all or any part of this credit if presented with this Letter of Credit and confirmation, if any, at the office specified in paragraph 1 of this Letter of Credit on or before the expiration date or any

automatically extended expiration date.

3. (This paragraph is omitted if used as a bid guarantee, and subsequent paragraphs are renumbered.) It is a condition of this Letter of Credit that it is deemed to be automatically extended without amendment for one year from the expiration date hereof, or any future expiration date, unless at least 60 days prior to any expiration date, we notify you or the transferee by registered mail, or other receipted means of delivery, that we elect not to consider this Letter of Credit renewed for any such additional period. At the time we notify you, we also agree to notify the account party (and confirming financial institution, if any) by the same means of delivery.

4. This Letter of Credit is transferable. Transfers and assignments of proceeds are to be effected without charge to either the beneficiary or the transferee/assignee of proceeds. Such transfer or assignment shall be only at the written direction of the Government (the beneficiary) in a form satisfactory to the issuing financial institution and the confirming financial institution, if any.

5. This Letter of Credit is subject to the Uniform Customs and Practice (UCP) for Documentary Credits, 1993 Revision, International Chamber of Commerce Publication No. 500, and to the extent not inconsistent therewith, to the laws of \_\_\_\_\_ (state of confirming financial institution, if any, otherwise state of issuing financial institution).

6. If this credit expires during an interruption of business of this financial institution as described in Article 17 of the UCP, the financial institution specifically agrees to effect payment if this credit is drawn against within 30 days after the resumption of our business.

Sincerely,  
(Issuing financial institution)

(f) The following format shall be used by the financial institution to confirm an ILC:

(Confirming Financial Institution's Letterhead or Name and Address)

Date \_\_\_\_\_ 19\_\_\_\_

Our Letter of Credit Advice Number \_\_\_\_\_

Beneficiary: \_\_\_\_\_

(U.S. Government agency)

Issuing Financial Institution: \_\_\_\_\_

Issuing Financial Institution's LC No.: \_\_\_\_\_

Gentlemen:

1. We hereby confirm the above indicated Letter of Credit, the original of which is attached, issued by \_\_\_\_\_ (name of issuing financial institution) for drawings of up to United States dollars \_\_\_\_\_/U.S. \$ \_\_\_\_\_ and expiring with our close of business on \_\_\_\_\_ (the expiration date), or any automatically extended expiration date.

2. Draft(s) drawn under the Letter of Credit and this Confirmation are

payable at our office located at \_\_\_\_\_.

3. We hereby undertake to honor sight draft(s) drawn under and presented with the Letter of Credit and this Confirmation at our offices as specified herein.

4. (This paragraph is omitted if used as a bid guarantee, and subsequent paragraphs are renumbered.) It is a condition of this confirmation that it be deemed automatically extended without amendment for one year from the expiration date hereof, or any automatically extended expiration date, unless:

(a) At least 60 days prior to any such expiration date, we shall notify the Contracting Officer, or the transferee and the issuing financial institution, by registered mail or other receipted means of delivery, that we elect not to consider this confirmation extended for any such additional period; or

(b) The issuing financial institution shall have exercised its right to notify you or the transferee, the account party, and ourselves, of its election not to extend the expiration date of the Letter of Credit.

5. This confirmation is subject to the Uniform Customs and Practice (UCP) for Documentary Credits, 1993 Revision, International Chamber of Commerce Publication No. 500, and to the extent not inconsistent therewith, to the laws of \_\_\_\_\_ (state of confirming financial institution).

6. If this confirmation expires during an interruption of business of this financial institution as described in Article 17 of the UCP, we specifically agree to effect payment if this credit is drawn against within 30 days after the resumption of our business.

Sincerely,

(Confirming financial institution)

(g) The following format shall be used by the Contracting Officer for a sight draft to draw on the Letter of Credit:

SIGHT DRAFT

\_\_\_\_\_  
(City, State)

\_\_\_\_\_, 19\_\_\_\_

\_\_\_\_\_  
(Name and address of financial institution)

Pay to the order of \_\_\_\_\_

(Beneficiary Agency)

the sum of United States \$ \_\_\_\_\_

This draft is drawn under \_\_\_\_\_

Irrevocable Letter of Credit No. \_\_\_\_\_

By: \_\_\_\_\_

(Beneficiary Agency)

(End of clause)



(a) Definitions. As used in this clause--

Contract price means the award price of the contract or, for requirements contracts, the price payable for the estimated quantity; or for indefinite-delivery type contracts, the price payable for the specified minimum quantity.

(b) Unless the resulting contract price is \$100,000 or less, the successful offeror shall be required to furnish performance and payment bonds to the Contracting Officer as follows:

(1) Performance Bonds (Standard Form 25):

(i) The penal amount of performance bonds shall be 100 percent of the original contract price.

(ii) The Government may require additional performance bond protection when the contract price is increased. The increase in protection shall generally equal 100 percent of the increase in contract price.

(iii) The Government may secure additional protection by directing the Contractor to increase the penal amount of the existing bond or to obtain an additional bond.

(2) Payment Bonds (Standard Form 25-A):

(i) The penal amount of payment bonds shall equal--

(A) 50 percent of the contract price if the contract price is not more than \$1 million;

(B) 40 percent of the contract price if the contract price is more than \$1 million but not more than \$5 million; or

(C) \$2.5 million if the contract price is more than \$5 million.

(ii) If the original contract price is \$5 million or less, the Government may require additional protection if the contract price is increased. The penal amount of the total protection shall meet the requirement of subparagraph (b)(2)(i) of this clause.

(iii) The Government may secure additional protection by directing the Contractor to increase the penal sum of the existing bond or to obtain an additional bond.

(c) The Contractor shall furnish all executed bonds, including any necessary reinsurance agreements, to the Contracting Officer, within the time period specified in the Bid Guarantee provision of the solicitation, or otherwise specified by the Contracting Officer, but in any event, before starting work.

(d) The bonds shall be in the form of firm commitment, supported by corporate sureties whose names appear on the list contained in Treasury Department Circular 570, individual sureties, or by other acceptable security such as postal money order, certified check, cashier's check, irrevocable letter of credit, or, in accordance with Treasury Department regulations, certain bonds or notes of the United States. Treasury Circular 570 is published in the Federal Register, or may be obtained from

the U.S. Department of Treasury, Financial Management Service, Surety Bond Branch, 401 14th Street, NW., 2nd Floor, West Wing, Washington, DC 20227.  
(End of clause)

50 52.229-3 FEDERAL, STATE, AND LOCAL TAXES (JAN 1991)

(a) "Contract date," as used in this clause, means the date set for bid opening or, if this is a negotiated contract or a modification, the effective date of this contract or modification.

"All applicable Federal, State, and local taxes and duties," as used in this clause, means all taxes and duties, in effect on the contract date, that the taxing authority is imposing and collecting on the transactions or property covered by this contract.

"After-imposed Federal tax," as used in this clause, means any new or increased Federal excise tax or duty, or tax that was exempted or excluded on the contract date but whose exemption was later revoked or reduced during the contract period, on the transactions or property covered by this contract that the Contractor is required to pay or bear as the result of legislative, judicial, or administrative action taking effect after the contract date. It does not include social security tax or other employment taxes.

"After-relieved Federal tax," as used in this clause, means any amount of Federal excise tax or duty, except social security or other employment taxes, that would otherwise have been payable on the transactions or property covered by this contract, but which the Contractor is not required to pay or bear, or for which the Contractor obtains a refund or drawback, as the result of legislative, judicial, or administrative action taking effect after the contract date.

(b) The contract price includes all applicable Federal, State, and local taxes and duties.

(c) The contract price shall be increased by the amount of any after-imposed Federal tax, provided the Contractor warrants in writing that no amount for such newly imposed Federal excise tax or duty or rate increase was included in the contract price, as a contingency reserve or otherwise.

(d) The contract price shall be decreased by the amount of any after-relieved Federal tax.

(e) The contract price shall be decreased by the amount of any Federal excise tax or duty, except social security or other employment taxes, that the Contractor is required to pay or bear, or does not obtain a refund of, through the Contractor's fault, negligence, or failure to follow instructions of the Contracting Officer.

(f) No adjustment shall be made in the contract price under this clause unless the amount of the adjustment exceeds \$250.

(g) The Contractor shall promptly notify the Contracting Officer of all matters relating to any Federal excise tax or duty that reasonably may be expected to result in either an increase or decrease in the contract price

and shall take appropriate action as the Contracting Officer directs.

(h) The Government shall, without liability, furnish evidence appropriate to establish exemption from any Federal, State, or local tax when the Contractor requests such evidence and a reasonable basis exists to sustain the exemption.

(End of clause)

51 52.232-5 PAYMENTS UNDER FIXED-PRICE CONSTRUCTION CONTRACTS  
(MAY 1997)

(a) Payment of price. The Government shall pay the Contractor the contract price as provided in this contract.

(b) Progress payments. The Government shall make progress payments monthly as the work proceeds, or at more frequent intervals as determined by the Contracting Officer, on estimates of work accomplished which meets the standards of quality established under the contract, as approved by the Contracting Officer.

(1) The Contractor's request for progress payments shall include the following substantiation:

(i) An itemization of the amounts requested, related to the various elements of work required by the contract covered by the payment requested.

(ii) A listing of the amount included for work performed by each subcontractor under the contract.

(iii) A listing of the total amount of each subcontract under the contract.

(iv) A listing of the amounts previously paid to each such subcontractor under the contract.

(v) Additional supporting data in a form and detail required by the Contracting Officer.

(2) In the preparation of estimates, the Contracting Officer may authorize material delivered on the site and preparatory work done to be taken into consideration. Material delivered to the Contractor at locations other than the site also may be taken into consideration if--

(i) Consideration is specifically authorized by this contract; and

(ii) The Contractor furnishes satisfactory evidence that it has acquired title to such material and that the material will be used to perform this contract.

(c) Contractor certification. Along with each request for progress payments, the Contractor shall furnish the following certification, or payment shall not be made: (However, if the Contractor elects to delete paragraph (c)(4) from the certification, the certification is still acceptable.)

I hereby certify, to the best of my knowledge and belief, that--

(1) The amounts requested are only for performance in accordance with the specifications, terms, and conditions of the contract;

(2) Payments to subcontractors and suppliers have been made from previous payments received under the contract, and timely payments will be made from the proceeds of the payment covered by this certification, in accordance with subcontract agreements and the requirements of chapter 39 of Title 31, United States Code;

(3) This request for progress payments does not include any amounts which the prime contractor intends to withhold or retain from a subcontractor or supplier in accordance with the terms and conditions of the subcontract; and

(4) This certification is not to be construed as final acceptance of a subcontractor's performance.

\_\_\_\_\_  
(Name)

\_\_\_\_\_  
(Title)

\_\_\_\_\_  
(Date)

(d) Refund of unearned amounts. If the Contractor, after making a certified request for progress payments, discovers that a portion or all of such request constitutes a payment for performance by the Contractor that fails to conform to the specifications, terms, and conditions of this contract (hereinafter referred to as the "unearned amount"), the Contractor shall--

(1) Notify the Contracting Officer of such performance deficiency; and

(2) Be obligated to pay the Government an amount (computed by the Contracting Officer in the manner provided in paragraph (j) of this clause) equal to interest on the unearned amount from the 8th day after the date of receipt of the unearned amount until--

(i) The date the Contractor notifies the Contracting Officer that the performance deficiency has been corrected; or

(ii) The date the Contractor reduces the amount of any subsequent certified request for progress payments by an amount equal to the unearned amount.

(e) Retainage. If the Contracting Officer finds that satisfactory progress was achieved during any period for which a progress payment is to be made, the Contracting Officer shall authorize payment to be made in full. However, if satisfactory progress has not been made, the Contracting Officer may retain a maximum of 10 percent of the amount of the payment until satisfactory progress is achieved. When the work is substantially complete, the Contracting Officer may retain from previously withheld funds and future progress payments that amount the Contracting Officer considers adequate for protection of the Government and shall release to the Contractor all the remaining withheld funds. Also, on completion and acceptance of each separate building, public work, or other division of the contract, for which the price is stated separately in the contract, payment shall be made for the completed work without retention of a percentage.

(f) Title, liability, and reservation of rights. All material and work covered by progress payments made shall, at the time of payment, become the sole property of the Government, but this shall not be construed as--

(1) Relieving the Contractor from the sole responsibility for all material and work upon which payments have been made or the restoration of any damaged work; or

(2) Waiving the right of the Government to require the fulfillment of all of the terms of the contract.

(g) Reimbursement for bond premiums. In making these progress payments, the Government shall, upon request, reimburse the Contractor for the amount of premiums paid for performance and payment bonds (including coinsurance and reinsurance agreements, when applicable) after the Contractor has furnished evidence of full payment to the surety. The retainage provisions in paragraph (e) of this clause shall not apply to that portion of progress payments attributable to bond premiums.

(h) Final payment. The Government shall pay the amount due the Contractor under this contract after--

(1) Completion and acceptance of all work;

(2) Presentation of a properly executed voucher; and

(3) Presentation of release of all claims against the Government arising by virtue of this contract, other than claims, in stated amounts, that the Contractor has specifically excepted from the operation of the release. A release may also be required of the assignee if the Contractor's claim to amounts payable under this contract has been assigned under the Assignment of Claims Act of 1940 (31 U.S.C. 3727 and 41 U.S.C. 15).

(i) Limitation because of undefinitized work. Notwithstanding any provision of this contract, progress payments shall not exceed 80 percent on work accomplished on undefinitized contract actions. A "contract action" is any action resulting in a contract, as defined in FAR Subpart 2.1, including contract modifications for additional supplies or services, but not including contract modifications that are within the scope and under the terms of the contract, such as contract modifications issued pursuant to the Changes clause, or funding and other administrative changes.

(j) Interest computation on unearned amounts. In accordance with 31 U.S.C. 3903(c)(1), the amount payable under subparagraph (d)(2) of this clause shall be--

(1) Computed at the rate of average bond equivalent rates of 91-day Treasury bills auctioned at the most recent auction of such bills prior to the date the Contractor receives the unearned amount; and

(2) Deducted from the next available payment to the Contractor.

(End of clause)

(a) Except as otherwise provided in this contract under a Price Reduction for Defective Cost or Pricing Data clause or a Cost Accounting Standards clause, all amounts that become payable by the Contractor to the Government under this contract (net of any applicable tax credit under the Internal Revenue Code (26 U.S.C. 1481)) shall bear simple interest from the date due until paid unless paid within 30 days of becoming due. The interest rate shall be the interest rate established by the Secretary of the Treasury as provided in Section 12 of the Contract Disputes Act of 1978 (Public Law 95-563), which is applicable to the period in which the amount becomes due, as provided in paragraph (b) of this clause, and then at the rate applicable for each six-month period as fixed by the Secretary until the amount is paid.

(b) Amounts shall be due at the earliest of the following dates:

(1) The date fixed under this contract.

(2) The date of the first written demand for payment consistent with this contract, including any demand resulting from a default termination.

(3) The date the Government transmits to the Contractor a proposed supplemental agreement to confirm completed negotiations establishing the amount of debt.

(4) If this contract provides for revision of prices, the date of written notice to the Contractor stating the amount of refund payable in connection with a pricing proposal or a negotiated pricing agreement not confirmed by contract modification.

(c) The interest charge made under this clause may be reduced under the procedures prescribed in 32.614-2 of the Federal Acquisition Regulation in effect on the date of this contract.

(End of clause)

### 53 52.232-23 ASSIGNMENT OF CLAIMS (JAN 1986)

(a) The Contractor, under the Assignment of Claims Act, as amended, 31 U.S.C. 3727, 41 U.S.C. 15 (hereafter referred to as "the Act"), may assign its rights to be paid amounts due or to become due as a result of the performance of this contract to a bank, trust company, or other financing institution, including any Federal lending agency. The assignee under such an assignment may thereafter further assign or reassign its right under the original assignment to any type of financing institution described in the preceding sentence.

(b) Any assignment or reassignment authorized under the Act and this clause shall cover all unpaid amounts payable under this contract, and shall not be made to more than one party, except that an assignment or reassignment may be made to one party as agent or trustee for two or more parties participating in the financing of this contract.

(c) The Contractor shall not furnish or disclose to any assignee under this contract any classified document (including this contract) or information related to work under this contract until the Contracting

Officer authorizes such action in writing.  
(End of clause)

54 52.232-27 PROMPT PAYMENT FOR CONSTRUCTION CONTRACTS (JUN 1997)

Notwithstanding any other payment terms in this contract, the Government will make invoice payments and contract financing payments under the terms and conditions specified in this clause. Payment shall be considered as being made on the day a check is dated or the date of an electronic funds transfer. Definitions of pertinent terms are set forth in section 32.902 of the Federal Acquisition Regulation. All days referred to in this clause are calendar days, unless otherwise specified. (However, see subparagraph (a)(3) concerning payments due on Saturdays, Sundays, and legal holidays.)

(a) Invoice payments--

(1) Types of invoice payments. For purposes of this clause, there are several types of invoice payments that may occur under this contract, as follows:

(i) Progress payments, if provided for elsewhere in this contract, based on Contracting Officer approval of the estimated amount and value of work or services performed, including payments for reaching milestones in any project:

(A) The due date for making such payments shall be 14 days after receipt of the payment request by the designated billing office. If the designated billing office fails to annotate the payment request with the actual date of receipt at the time of receipt, the payment due date shall be the 14th day after the date of the Contractor's payment request, provided a proper payment request is received and there is no disagreement over quantity, quality, or Contractor compliance with contract requirements.

(B) The due date for payment of any amounts retained by the Contracting Officer in accordance with the clause at 52.232-5, Payments Under Fixed-Price Construction Contracts, shall be as specified in the contract or, if not specified, 30 days after approval for release to the Contractor by the Contracting Officer.

(ii) Final payments based on completion and acceptance of all work and presentation of release of all claims against the Government arising by virtue of the contract, and payments for partial deliveries that have been accepted by the Government (e.g., each separate building, public work, or other division of the contract for which the price is stated separately in the contract):

(A) The due date for making such payments shall be either the 30th day after receipt by the designated billing office of a proper invoice from the Contractor, or the 30th day after Government acceptance of the work or services completed by the Contractor, whichever is later. If the designated billing office fails to annotate the invoice with the date of actual receipt at the time of

receipt, the invoice payment due date shall be the 30th day after the date of the Contractor's invoice, provided a proper invoice is received and there is no disagreement over quantity, quality, or Contractor compliance with contract requirements.

(B) On a final invoice where the payment amount is subject to contract settlement actions (e.g., release of claims), acceptance shall be deemed to have occurred on the effective date of the contract settlement.

(2) Contractor's invoice. The Contractor shall prepare and submit invoices to the designated billing office specified in the contract. A proper invoice must include the items listed in paragraphs (a)(2)(i) through (a)(2)(ix) of this clause. If the invoice does not comply with these requirements, it shall be returned within 7 days after the date the designated billing office received the invoice, with a statement of the reasons why it is not a proper invoice. Untimely notification will be taken into account in computing any interest penalty owed the Contractor in the manner described in subparagraph (a)(4) of this clause.

(i) Name and address of the Contractor.

(ii) Invoice date. (The Contractor is encouraged to date invoices as close as possible to the date of mailing or transmission.)

(iii) Contract number or other authorization for work or services performed (including order number and contract line item number).

(iv) Description of work or services performed.

(v) Delivery and payment terms (e.g., prompt payment discount terms).

(vi) Name and address of Contractor official to whom payment is to be sent (must be the same as that in the contract or in a proper notice of assignment).

(vii) Name (where practicable), title, phone number, and mailing address of person to be notified in the event of a defective invoice.

(viii) For payments described in paragraph (a)(1)(i) of this clause, substantiation of the amounts requested and certification in accordance with the requirements of the clause at 52.232-5, Payments Under Fixed-Price Construction Contracts.

(ix) Any other information or documentation required by the contract.

(x) While not required, the Contractor is strongly encouraged to assign an identification number to each invoice.

(3) Interest penalty. An interest penalty shall be paid automatically by the designated payment office, without request from the Contractor, if payment is not made by the due date and the conditions listed in paragraphs (a)(3)(i) through (a)(3)(iii) of this clause are met, if applicable. However, when the due date falls on a Saturday, Sunday, or legal holiday when Federal Government offices are closed and Government business is not expected to be conducted, payment may be made on the following business day without incurring a late payment interest penalty.

(i) A proper invoice was received by the designated billing office.

(ii) A receiving report or other Government documentation authorizing



payment was processed and there was no disagreement over quantity, quality, Contractor compliance with any contract term or condition, or requested progress payment amount.

(iii) In the case of a final invoice for any balance of funds due the Contractor for work or services performed, the amount was not subject to further contract settlement actions between the Government and the Contractor.

(4) Computing penalty amount. The interest penalty shall be at the rate established by the Secretary of the Treasury under section 12 of the Contract Disputes Act of 1978 (41 U.S.C. 611) that is in effect on the day after the due date, except where the interest penalty is prescribed by other governmental authority (e.g., tariffs). This rate is referred to as the "Renegotiation Board Interest Rate," and it is published in the Federal Register semiannually on or about January 1 and July 1. The interest penalty shall accrue daily on the invoice principal payment amount approved by the Government until the payment date of such approved principal amount; and will be compounded in 30-day increments inclusive from the first day after the due date through the payment date. That is, interest accrued at the end of any 30-day period will be added to the approved invoice principal payment amount and will be subject to interest penalties if not paid in the succeeding 30-day period. If the designated billing office failed to notify the Contractor of a defective invoice within the periods prescribed in subparagraph (a)(2) of this clause, the due date on the corrected invoice will be adjusted by subtracting from such date the number of days taken beyond the prescribed notification of defects period. Any interest penalty owed the Contractor will be based on this adjusted due date. Adjustments will be made by the designated payment office for errors in calculating interest penalties.

(i) For the sole purpose of computing an interest penalty that might be due the Contractor for payments described in paragraph (a)(1)(ii) of this clause, Government acceptance or approval shall be deemed to have occurred constructively on the 7th day after the Contractor has completed the work or services in accordance with the terms and conditions of the contract. In the event that actual acceptance or approval occurs within the constructive acceptance or approval period, the determination of an interest penalty shall be based on the actual date of acceptance or approval. Constructive acceptance or constructive approval requirements do not apply if there is a disagreement over quantity, quality, or Contractor compliance with a contract provision. These requirements also do not compel Government officials to accept work or services, approve Contractor estimates, perform contract administration functions, or make payment prior to fulfilling their responsibilities.

(ii) The following periods of time will not be included in the determination of an interest penalty:

(A) The period taken to notify the Contractor of defects in

invoices submitted to the Government, but this may not exceed 7 days.

(B) The period between the defects notice and resubmission of the corrected invoice by the Contractor.

(C) For incorrect electronic funds transfer (EFT) information, in accordance with the EFT clause of this contract.

(iii) Interest penalties will not continue to accrue after the filing of a claim for such penalties under the clause at 52.233-1, Disputes, or for more than 1 year. Interest penalties of less than \$1 need not be paid.

(iv) Interest penalties are not required on payment delays due to disagreement between the Government and the Contractor over the payment amount or other issues involving contract compliance, or on amounts temporarily withheld or retained in accordance with the terms of the contract. Claims involving disputes, and any interest that may be payable, will be resolved in accordance with the clause at 52.233-1, Disputes.

(5) Prompt payment discounts. An interest penalty also shall be paid automatically by the designated payment office, without request from the Contractor, if a discount for prompt payment is taken improperly. The interest penalty will be calculated on the amount of discount taken for the period beginning with the first day after the end of the discount period through the date when the Contractor is paid.

(6) Additional interest penalty.

(i) A penalty amount, calculated in accordance with subdivision (a)(6)(iii) of this clause, shall be paid in addition to the interest penalty amount if the Contractor--

(A) Is owed an interest penalty of \$1 or more;

(B) Is not paid the interest penalty within 10 days after the date the invoice amount is paid; and

(C) Makes a written demand to the designated payment office for additional penalty payment, in accordance with subdivision (a)(6)(ii) of this clause, postmarked not later than 40 days after the date the invoice amount is paid.

(ii)(A) Contractors shall support written demands for additional penalty payments with the following data. No additional data shall be required. Contractors shall--

(1) Specifically assert that late payment interest is due under a specific invoice, and request payment of all overdue late payment interest penalty and such additional penalty as may be required;

(2) Attach a copy of the invoice on which the unpaid late payment interest was due; and

(3) State that payment of the principal has been received, including the date of receipt.

(B) Demands must be postmarked on or before the 40th day after payment was made, except that--

(1) If the postmark is illegible or nonexistent, the demand must

have been received and annotated with the date of receipt by the designated payment office on or before the 40th day after payment was made; or

(2) If the postmark is illegible or nonexistent and the designated payment office fails to make the required annotation, the demand's validity will be determined by the date the Contractor has placed on the demand; provided such date is no later than the 40th day after payment was made.

(iii)(A) The additional penalty shall be equal to 100 percent of any original late payment interest penalty, except--

(1) The additional penalty shall not exceed \$5,000;

(2) The additional penalty shall never be less than \$25; and

(3) No additional penalty is owed if the amount of the underlying interest penalty is less than \$1.

(B) If the interest penalty ceases to accrue in accordance with the limits stated in subdivision (a)(4)(iii) of this clause, the amount of the additional penalty shall be calculated on the amount of interest penalty that would have accrued in the absence of these limits, subject to the overall limits on the additional penalty specified in subdivision (a)(6)(iii)(A) of this clause.

(C) For determining the maximum and minimum additional penalties, the test shall be the interest penalty due on each separate payment made for each separate contract. The maximum and minimum additional penalty shall not be based upon individual invoices unless the invoices are paid separately. Where payments are consolidated for disbursing purposes, the maximum and minimum additional penalty determination shall be made separately for each contract therein.

(D) The additional penalty does not apply to payments regulated by other Government regulations (e.g., payments under utility contracts subject to tariffs and regulation).

(b) Contract financing payments--

(1) Due dates for recurring financing payments. If this contract provides for contract financing, requests for payment shall be submitted to the designated billing office as specified in this contract or as directed by the Contracting Officer. Contract financing payments shall be made on the 30th day after receipt of a proper contract financing request by the designated billing office. In the event that an audit or other review of a specific financing request is required to ensure compliance with the terms and conditions of the contract, the designated payment office is not compelled to make payment by the due date specified.

(2) Due dates for other contract financing. For advance payments, loans, or other arrangements that do not involve recurring submissions of contract financing requests, payment shall be made in accordance with the corresponding contract terms or as directed by the Contracting Officer.

(3) Interest penalty not applicable. Contract financing payments shall

not be assessed an interest penalty for payment delays.

(c) Subcontract clause requirements. The Contractor shall include in each subcontract for property or services (including a material supplier) for the purpose of performing this contract the following:

(1) Prompt payment for subcontractors. A payment clause that obligates the Contractor to pay the subcontractor for satisfactory performance under its subcontract not later than 7 days from receipt of payment out of such amounts as are paid to the Contractor under this contract.

(2) Interest for subcontractors. An interest penalty clause that obligates the Contractor to pay to the subcontractor an interest penalty for each payment not made in accordance with the payment clause--

(i) For the period beginning on the day after the required payment date and ending on the date on which payment of the amount due is made; and

(ii) Computed at the rate of interest established by the Secretary of the Treasury, and published in the Federal Register, for interest payments under section 12 of the Contract Disputes Act of 1978 (41 U.S.C. 611) in effect at the time the Contractor accrues the obligation to pay an interest penalty.

(3) Subcontractor clause flowdown. A clause requiring each subcontractor to include a payment clause and an interest penalty clause conforming to the standards set forth in subparagraphs (c)(1) and (c)(2) of this clause in each of its subcontracts, and to require each of its subcontractors to include such clauses in their subcontracts with each lower-tier subcontractor or supplier.

(d) Subcontract clause interpretation. The clauses required by paragraph (c) of this clause shall not be construed to impair the right of the Contractor or a subcontractor at any tier to negotiate, and to include in their subcontract, provisions that--

(1) Retainage permitted. Permit the Contractor or a subcontractor to retain (without cause) a specified percentage of each progress payment otherwise due to a subcontractor for satisfactory performance under the subcontract without incurring any obligation to pay a late payment interest penalty, in accordance with terms and conditions agreed to by the parties to the subcontract, giving such recognition as the parties deem appropriate to the ability of a subcontractor to furnish a performance bond and a payment bond;

(2) Withholding permitted. Permit the Contractor or subcontractor to make a determination that part or all of the subcontractor's request for payment may be withheld in accordance with the subcontract agreement; and

(3) Withholding requirements. Permit such withholding without incurring any obligation to pay a late payment penalty if--

(i) A notice conforming to the standards of paragraph (g) of this clause previously has been furnished to the subcontractor; and

(ii) A copy of any notice issued by a Contractor pursuant to subdivision (d)(3)(i) of this clause has been furnished to the

Contracting Officer.

(e) Subcontractor withholding procedures. If a Contractor, after making a request for payment to the Government but before making a payment to a subcontractor for the subcontractor's performance covered by the payment request, discovers that all or a portion of the payment otherwise due such subcontractor is subject to withholding from the subcontractor in accordance with the subcontract agreement, then the Contractor shall--

(1) Subcontractor notice. Furnish to the subcontractor a notice conforming to the standards of paragraph (g) of this clause as soon as practicable upon ascertaining the cause giving rise to a withholding, but prior to the due date for subcontractor payment;

(2) Contracting Officer notice. Furnish to the Contracting Officer, as soon as practicable, a copy of the notice furnished to the subcontractor pursuant to subparagraph (e)(1) of this clause;

(3) Subcontractor progress payment reduction. Reduce the subcontractor's progress payment by an amount not to exceed the amount specified in the notice of withholding furnished under subparagraph (e)(1) of this clause;

(4) Subsequent subcontractor payment. Pay the subcontractor as soon as practicable after the correction of the identified subcontract performance deficiency, and--

(i) Make such payment within--

(A) Seven days after correction of the identified subcontract performance deficiency (unless the funds therefor must be recovered from the Government because of a reduction under paragraph (e)(5)(i)) of this clause; or

(B) Seven days after the Contractor recovers such funds from the Government; or

(ii) Incur an obligation to pay a late payment interest penalty computed at the rate of interest established by the Secretary of the Treasury, and published in the Federal Register, for interest payments under section 12 of the Contracts Disputes Act of 1978 (41 U.S.C. 611) in effect at the time the Contractor accrues the obligation to pay an interest penalty;

(5) Notice to Contracting Officer. Notify the Contracting Officer upon--

(i) Reduction of the amount of any subsequent certified application for payment; or

(ii) Payment to the subcontractor of any withheld amounts of a progress payment, specifying--

(A) The amounts withheld under subparagraph (e)(1) of this clause; and

(B) The dates that such withholding began and ended; and

(6) Interest to Government. Be obligated to pay to the Government an amount equal to interest on the withheld payments (computed in the manner provided in 31 U.S.C. 3903(c)(1)), from the 8th day after receipt of the

withheld amounts from the Government until--

(i) The day the identified subcontractor performance deficiency is corrected; or

(ii) The date that any subsequent payment is reduced under subdivision (e)(5)(i) of this clause.

(f) Third-party deficiency reports--

(1) Withholding from subcontractor. If a Contractor, after making payment to a first-tier subcontractor, receives from a supplier or subcontractor of the first-tier subcontractor (hereafter referred to as a "second-tier subcontractor") a written notice in accordance with section 2 of the Act of August 24, 1935 (40 U.S.C. 270b, Miller Act), asserting a deficiency in such first-tier subcontractor's performance under the contract for which the Contractor may be ultimately liable, and the Contractor determines that all or a portion of future payments otherwise due such first-tier subcontractor is subject to withholding in accordance with the subcontract agreement, the Contractor may, without incurring an obligation to pay an interest penalty under subparagraph (e)(6) of this clause--

(i) Furnish to the first-tier subcontractor a notice conforming to the standards of paragraph (g) of this clause as soon as practicable upon making such determination; and

(ii) Withhold from the first-tier subcontractor's next available progress payment or payments an amount not to exceed the amount specified in the notice of withholding furnished under paragraph (f)(1)(i) of this clause.

(2) Subsequent payment or interest charge. As soon as practicable, but not later than 7 days after receipt of satisfactory written notification that the identified subcontract performance deficiency has been corrected, the Contractor shall--

(i) Pay the amount withheld under paragraph (f)(1)(ii) of this clause to such first-tier subcontractor; or

(ii) Incur an obligation to pay a late payment interest penalty to such first-tier subcontractor computed at the rate of interest established by the Secretary of the Treasury, and published in the Federal Register, for interest payments under section 12 of the Contracts Disputes Act of 1978 (41 U.S.C. 611) in effect at the time the Contractor accrues the obligation to pay an interest penalty.

(g) Written notice of subcontractor withholding. A written notice of any withholding shall be issued to a subcontractor (with a copy to the Contracting Officer of any such notice issued by the Contractor), specifying--

(1) The amount to be withheld;

(2) The specific causes for the withholding under the terms of the subcontract; and

(3) The remedial actions to be taken by the subcontractor in order to receive payment of the amounts withheld.

(h) Subcontractor payment entitlement. The Contractor may not request payment from the Government of any amount withheld or retained in accordance with paragraph (d) of this clause until such time as the Contractor has determined and certified to the Contracting Officer that the subcontractor is entitled to the payment of such amount.

(i) Prime-subcontractor disputes. A dispute between the Contractor and subcontractor relating to the amount or entitlement of a subcontractor to a payment or a late payment interest penalty under a clause included in the subcontract pursuant to paragraph (c) of this clause does not constitute a dispute to which the United States is a party. The United States may not be interpleaded in any judicial or administrative proceeding involving such a dispute.

(j) Preservation of prime-subcontractor rights. Except as provided in paragraph (i) of this clause, this clause shall not limit or impair any contractual, administrative, or judicial remedies otherwise available to the Contractor or a subcontractor in the event of a dispute involving late payment or nonpayment by the Contractor or deficient subcontract performance or nonperformance by a subcontractor.

(k) Non-recourse for prime contractor interest penalty. The Contractor's obligation to pay an interest penalty to a subcontractor pursuant to the clauses included in a subcontract under paragraph (c) of this clause shall not be construed to be an obligation of the United States for such interest penalty. A cost-reimbursement claim may not include any amount for reimbursement of such interest penalty.

(End of clause)

## 55 52.233-1 DISPUTES (DEC 1998)

(a) This contract is subject to the Contract Disputes Act of 1978, as amended (41 U.S.C. 601-613).

(b) Except as provided in the Act, all disputes arising under or relating to this contract shall be resolved under this clause.

(c) "Claim," as used in this clause, means a written demand or written assertion by one of the contracting parties seeking, as a matter of right, the payment of money in a sum certain, the adjustment or interpretation of contract terms, or other relief arising under or relating to this contract. A claim arising under a contract, unlike a claim relating to that contract, is a claim that can be resolved under a contract clause that provides for the relief sought by the claimant. However, a written demand or written assertion by the Contractor seeking the payment of money exceeding \$100,000 is not a claim under the Act until certified as required by subparagraph (d)(2) of this clause. A voucher, invoice, or other routine request for payment that is not in dispute when submitted is not a claim under the Act. The submission may be converted to a claim under the Act, by complying with the submission and certification requirements of this clause, if it is disputed either as to liability or amount or is not acted upon in a

reasonable time.

(d)(1) A claim by the Contractor shall be made in writing and, unless otherwise stated in this contract, submitted within 6 years after accrual of the claim to the Contracting Officer for a written decision. A claim by the Government against the Contractor shall be subject to a written decision by the Contracting Officer.

(2)(i) The Contractor shall provide the certification specified in paragraph (d)(2)(iii) of this clause when submitting any claim exceeding \$100,000.

(ii) The certification requirement does not apply to issues in controversy that have not been submitted as all or part of a claim.

(iii) The certification shall state as follows:

"I certify that the claim is made in good faith; that the supporting data are accurate and complete to the best of my knowledge and belief; that the amount requested accurately reflects the contract adjustment for which the Contractor believes the Government is liable; and that I am duly authorized to certify the claim on behalf of the Contractor."

(3) The certification may be executed by any person duly authorized to bind the Contractor with respect to the claim.

(e) For Contractor claims of \$100,000 or less, the Contracting Officer must, if requested in writing by the Contractor, render a decision within 60 days of the request. For Contractor-certified claims over \$100,000, the Contracting Officer must, within 60 days, decide the claim or notify the Contractor of the date by which the decision will be made.

(f) The Contracting Officer's decision shall be final unless the Contractor appeals or files a suit as provided in the Act.

(g) If the claim by the Contractor is submitted to the Contracting Officer or a claim by the Government is presented to the Contractor, the parties, by mutual consent, may agree to use alternative dispute resolution (ADR). If the Contractor refuses an offer for ADR, the Contractor shall inform the Contracting Officer, in writing, of the Contractor's specific reasons for rejecting the offer.

(h) The Government shall pay interest on the amount found due and unpaid from (1) the date that the Contracting Officer receives the claim (certified, if required); or (2) the date that payment otherwise would be due, if that date is later, until the date of payment. With regard to claims having defective certifications, as defined in (FAR) 48 CFR 33.201, interest shall be paid from the date that the Contracting Officer initially receives the claim. Simple interest on claims shall be paid at the rate, fixed by the Secretary of the Treasury as provided in the Act, which is applicable to the period during which the Contracting Officer receives the claim and then at the rate applicable for each 6-month period as fixed by the Treasury Secretary during the pendency of the claim.

(i) The Contractor shall proceed diligently with performance of this contract, pending final resolution of any request for relief, claim, appeal, or action arising under the contract, and comply with any decision



of the Contracting Officer.

(End of clause)

#### 56 52.233-3 PROTEST AFTER AWARD (AUG 1996)

(a) Upon receipt of a notice of protest (as defined in FAR 33.101) or a determination that a protest is likely (see FAR 33.102(d)), the Contracting Officer may, by written order to the Contractor, direct the Contractor to stop performance of the work called for by this contract. The order shall be specifically identified as a stop-work order issued under this clause. Upon receipt of the order, the Contractor shall immediately comply with its terms and take all reasonable steps to minimize the incurrence of costs allocable to the work covered by the order during the period of work stoppage. Upon receipt of the final decision in the protest, the Contracting Officer shall either--

- (1) Cancel the stop-work order; or
- (2) Terminate the work covered by the order as provided in the Default, or the Termination for Convenience of the Government, clause of this contract.

(b) If a stop-work order issued under this clause is canceled either before or after a final decision in the protest, the Contractor shall resume work. The Contracting Officer shall make an equitable adjustment in the delivery schedule or contract price, or both, and the contract shall be modified, in writing, accordingly, if--

- (1) The stop-work order results in an increase in the time required for, or in the Contractor's cost properly allocable to, the performance of any part of this contract; and
- (2) The Contractor asserts its right to an adjustment within 30 days after the end of the period of work stoppage; provided, that if the Contracting Officer decides the facts justify the action, the Contracting Officer may receive and act upon a proposal at any time before final payment under this contract.

(c) If a stop-work order is not canceled and the work covered by the order is terminated for the convenience of the Government, the Contracting Officer shall allow reasonable costs resulting from the stop-work order in arriving at the termination settlement.

(d) If a stop-work order is not canceled and the work covered by the order is terminated for default, the Contracting Officer shall allow, by equitable adjustment or otherwise, reasonable costs resulting from the stop-work order.

(e) The Government's rights to terminate this contract at any time are not affected by action taken under this clause.

(f) If, as the result of the Contractor's intentional or negligent misstatement, misrepresentation, or miscertification, a protest related to this contract is sustained, and the Government pays costs, as provided in FAR 33.102(b)(2) or 33.104(h)(1), the Government may require the Contractor

to reimburse the Government the amount of such costs. In addition to any other remedy available, and pursuant to the requirements of Subpart 32.6, the Government may collect this debt by offsetting the amount against any payment due the Contractor under any contract between the Contractor and the Government.

(End of clause)

57 52.236-2 DIFFERING SITE CONDITIONS (APR 1984)

(a) The Contractor shall promptly, and before the conditions are disturbed, give a written notice to the Contracting Officer of (1) subsurface or latent physical conditions at the site which differ materially from those indicated in this contract, or (2) unknown physical conditions at the site, of an unusual nature, which differ materially from those ordinarily encountered and generally recognized as inhering in work of the character provided for in the contract.

(b) The Contracting Officer shall investigate the site conditions promptly after receiving the notice. If the conditions do materially so differ and cause an increase or decrease in the Contractor's cost of, or the time required for, performing any part of the work under this contract, whether or not changed as a result of the conditions, an equitable adjustment shall be made under this clause and the contract modified in writing accordingly.

(c) No request by the Contractor for an equitable adjustment to the contract under this clause shall be allowed, unless the Contractor has given the written notice required; provided, that the time prescribed in (a) above for giving written notice may be extended by the Contracting Officer.

(d) No request by the Contractor for an equitable adjustment to the contract for differing site conditions shall be allowed if made after final payment under this contract.

(End of clause)

58 52.236-3 SITE INVESTIGATION AND CONDITIONS AFFECTING THE WORK (APR 1984)

(a) The Contractor acknowledges that it has taken steps reasonably necessary to ascertain the nature and location of the work, and that it has investigated and satisfied itself as to the general and local conditions which can affect the work or its cost, including but not limited to (1) conditions bearing upon transportation, disposal, handling, and storage of materials; (2) the availability of labor, water, electric power, and roads; (3) uncertainties of weather, river stages, tides, or similar physical conditions at the site; (4) the conformation and conditions of the ground; and (5) the character of equipment and facilities needed preliminary to and during work

performance. The Contractor also acknowledges that it has satisfied itself as to the character, quality, and quantity of surface and subsurface materials or obstacles to be encountered insofar as this information is reasonably ascertainable from an inspection of the site, including all exploratory work done by the Government, as well as from the drawings and specifications made a part of this contract. Any failure of the Contractor to take the actions described and acknowledged in this paragraph will not relieve the Contractor from responsibility for estimating properly the difficulty and cost of successfully performing the work, or for proceeding to successfully perform the work without additional expense to the Government.

(b) The Government assumes no responsibility for any conclusions or interpretations made by the Contractor based on the information made available by the Government. Nor does the Government assume responsibility for any understanding reached or representation made concerning conditions which can affect the work by any of its officers or agents before the execution of this contract, unless that understanding or representation is expressly stated in this contract.

(End of clause)

59 52.236-5 MATERIAL AND WORKMANSHIP (APR 1984)

(a) All equipment, material, and articles incorporated into the work covered by this contract shall be new and of the most suitable grade for the purpose intended, unless otherwise specifically provided in this contract. References in the specifications to equipment, material, articles, or patented processes by trade name, make, or catalog number, shall be regarded as establishing a standard of quality and shall not be construed as limiting competition. The Contractor may, at its option, use any equipment, material, article, or process that, in the judgment of the Contracting Officer, is equal to that named in the specifications, unless otherwise specifically provided in this contract.

(b) The Contractor shall obtain the Contracting Officer's approval of the machinery and mechanical and other equipment to be incorporated into the work. When requesting approval, the Contractor shall furnish to the Contracting Officer the name of the manufacturer, the model number, and other information concerning the performance, capacity, nature, and rating of the machinery and mechanical and other equipment. When required by this contract or by the Contracting Officer, the Contractor shall also obtain the Contracting Officer's approval of the material or articles which the Contractor contemplates incorporating into the work. When requesting approval, the Contractor shall provide full information concerning the material or articles. When directed to do so, the Contractor shall submit samples for approval at the Contractor's expense, with all shipping charges prepaid. Machinery, equipment, material, and articles that do not have the required approval shall be installed or used

at the risk of subsequent rejection.

(c) All work under this contract shall be performed in a skillful and workmanlike manner. The Contracting Officer may require, in writing, that the Contractor remove from the work any employee the Contracting Officer deems incompetent, careless, or otherwise objectionable.

(End of clause)

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60 52.236-6 SUPERINTENDENCE BY THE CONTRACTOR (APR 1984)

At all times during performance of this contract and until the work is completed and accepted, the Contractor shall directly superintend the work or assign and have on the work site a competent superintendent who is satisfactory to the Contracting Officer and has authority to act for the Contractor.

(End of clause)

61 52.236-7 PERMITS AND RESPONSIBILITIES (NOV 1991)

The Contractor shall, without additional expense to the Government, be responsible for obtaining any necessary licenses and permits, and for complying with any Federal, State, and municipal laws, codes, and regulations applicable to the performance of the work. The Contractor shall also be responsible for all damages to persons or property that occur as a result of the Contractor's fault or negligence. The Contractor shall also be responsible for all materials delivered and work performed until completion and acceptance of the entire work, except for any completed unit of work which may have been accepted under the contract.

(End of clause)

62 52.236-8 OTHER CONTRACTS (APR 1984)

The Government may undertake or award other contracts for additional work at or near the site of the work under this contract. The Contractor shall fully cooperate with the other contractors and with Government employees and shall carefully adapt scheduling and performing the work under this contract to accommodate the additional work, heeding any direction that may be provided by the Contracting Officer. The Contractor shall not commit or permit any act that will interfere with the performance of work by any other contractor or by Government employees.

(End of clause)

63 52.236-9 PROTECTION OF EXISTING VEGETATION, STRUCTURES, EQUIPMENT, UTILITIES, AND IMPROVEMENTS (APR 1984)

(a) The Contractor shall preserve and protect all structures, equipment,

and vegetation (such as trees, shrubs, and grass) on or adjacent to the work site, which are not to be removed and which do not unreasonably interfere with the work required under this contract. The Contractor shall only remove trees when specifically authorized to do so, and shall avoid damaging vegetation that will remain in place. If any limbs or branches of trees are broken during contract performance, or by the careless operation of equipment, or by workmen, the Contractor shall trim those limbs or branches with a clean cut and paint the cut with a tree-pruning compound as directed by the Contracting Officer.

(b) The Contractor shall protect from damage all existing improvements and utilities (1) at or near the work site, and (2) on adjacent property of a third party, the locations of which are made known to or should be known by the Contractor. The Contractor shall repair any damage to those facilities, including those that are the property of a third party, resulting from failure to comply with the requirements of this contract or failure to exercise reasonable care in performing the work. If the Contractor fails or refuses to repair the damage promptly, the Contracting Officer may have the necessary work performed and charge the cost to the Contractor.

(End of clause)

#### 64 52.236-10 OPERATIONS AND STORAGE AREAS (APR 1984)

(a) The Contractor shall confine all operations (including storage of materials) on Government premises to areas authorized or approved by the Contracting Officer. The Contractor shall hold and save the Government, its officers and agents, free and harmless from liability of any nature occasioned by the Contractor's performance.

(b) Temporary buildings (e.g., storage sheds, shops, offices) and utilities may be erected by the Contractor only with the approval of the Contracting Officer and shall be built with labor and materials furnished by the Contractor without expense to the Government. The temporary buildings and utilities shall remain the property of the Contractor and shall be removed by the Contractor at its expense upon completion of the work. With the written consent of the Contracting Officer, the buildings and utilities may be abandoned and need not be removed.

(c) The Contractor shall, under regulations prescribed by the Contracting Officer, use only established roadways, or use temporary roadways constructed by the Contractor when and as authorized by the Contracting Officer. When materials are transported in prosecuting the work, vehicles shall not be loaded beyond the loading capacity recommended by the manufacturer of the vehicle or prescribed by any Federal, State, or local law or regulation. When it is necessary to cross curbs or sidewalks, the Contractor shall protect them from damage. The Contractor shall repair or pay for the repair of any damaged curbs, sidewalks, or roads.

(End of clause)

65 52.236-11 USE AND POSSESSION PRIOR TO COMPLETION (APR 1984)

(a) The Government shall have the right to take possession of or use any completed or partially completed part of the work. Before taking possession of or using any work, the Contracting Officer shall furnish the Contractor a list of items of work remaining to be performed or corrected on those portions of the work that the Government intends to take possession of or use. However, failure of the Contracting Officer to list any item of work shall not relieve the Contractor of responsibility for complying with the terms of the contract. The Government's possession or use shall not be deemed an acceptance of any work under the contract.

(b) While the Government has such possession or use, the Contractor shall be relieved of the responsibility for the loss of or damage to the work resulting from the Government's possession or use, notwithstanding the terms of the clause in this contract entitled "Permits and Responsibilities." If prior possession or use by the Government delays the progress of the work or causes additional expense to the Contractor, an equitable adjustment shall be made in the contract price or the time of completion, and the contract shall be modified in writing accordingly.

(End of clause)

66 52.236-12 CLEANING UP (APR 1984)

The Contractor shall at all times keep the work area, including storage areas, free from accumulations of waste materials. Before completing the work, the Contractor shall remove from the work and premises any rubbish, tools, scaffolding, equipment, and materials that are not the property of the Government. Upon completing the work, the Contractor shall leave the work area in a clean, neat, and orderly condition satisfactory to the Contracting Officer.

(End of clause)

67 52.236-13 I ACCIDENT PREVENTION (NOV 1991)--ALTERNATE I (NOV 1991)

(a) The Contractor shall provide and maintain work environments and procedures which will (1) safeguard the public and Government personnel, property, materials, supplies, and equipment exposed to Contractor operations and activities; (2) avoid interruptions of Government operations and delays in project completion dates; and (3) control costs in the performance of this contract.

(b) For these purposes on contracts for construction or dismantling, demolition, or removal of improvements, the Contractor shall--

(1) Provide appropriate safety barricades, signs, and signal lights;

(2) Comply with the standards issued by the Secretary of Labor at 29 CFR Part 1926 and 29 CFR Part 1910; and

(3) Ensure that any additional measures the Contracting Officer determines to be reasonably necessary for the purposes are taken.

(c) If this contract is for construction or dismantling, demolition or removal of improvements with any Department of Defense agency or component, the Contractor shall comply with all pertinent provisions of the latest version of U.S. Army Corps of Engineers Safety and Health Requirements Manual, EM 385-1-1, in effect on the date of the solicitation.

(d) Whenever the Contracting Officer becomes aware of any noncompliance with these requirements or any condition which poses a serious or imminent danger to the health or safety of the public or Government personnel, the Contracting Officer shall notify the Contractor orally, with written confirmation, and request immediate initiation of corrective action. This notice, when delivered to the Contractor or the Contractor's representative at the work site, shall be deemed sufficient notice of the noncompliance and that corrective action is required. After receiving the notice, the Contractor shall immediately take corrective action. If the Contractor fails or refuses to promptly take corrective action, the Contracting Officer may issue an order stopping all or part of the work until satisfactory corrective action has been taken. The Contractor shall not be entitled to any equitable adjustment of the contract price or extension of the performance schedule on any stop work order issued under this clause.

(e) The Contractor shall insert this clause, including this paragraph (e), with appropriate changes in the designation of the parties, in subcontracts.

(f) Before commencing the work, the Contractor shall--

(1) Submit a written proposed plan for implementing this clause. The plan shall include an analysis of the significant hazards to life, limb, and property inherent in contract work performance and a plan for controlling these hazards; and

(2) Meet with representatives of the Contracting Officer to discuss and develop a mutual understanding relative to administration of the overall safety program.

(End of clause)

## 68 52.236-15 SCHEDULES FOR CONSTRUCTION CONTRACTS (APR 1984)

(a) The Contractor shall, within five days after the work commences on the contract or another period of time determined by the Contracting Officer, prepare and submit to the Contracting Officer for approval three copies of a practicable schedule showing the order in which the Contractor proposes to perform the work, and the dates on which the Contractor contemplates starting and completing the several salient features of the work (including acquiring materials, plant, and equipment). The schedule

shall be in the form of a progress chart of suitable scale to indicate appropriately the percentage of work scheduled for completion by any given date during the period. If the Contractor fails to submit a schedule within the time prescribed, the Contracting Officer may withhold approval of progress payments until the Contractor submits the required schedule.

(b) The Contractor shall enter the actual progress on the chart as directed by the Contracting Officer, and upon doing so shall immediately deliver three copies of the annotated schedule to the Contracting Officer. If, in the opinion of the Contracting Officer, the Contractor falls behind the approved schedule, the Contractor shall take steps necessary to improve its progress, including those that may be required by the Contracting Officer, without additional cost to the Government. In this circumstance, the Contracting Officer may require the Contractor to increase the number of shifts, overtime operations, days of work, and/or the amount of construction plant, and to submit for approval any supplementary schedule or schedules in chart form as the Contracting Officer deems necessary to demonstrate how the approved rate of progress will be regained.

(c) Failure of the Contractor to comply with the requirements of the Contracting Officer under this clause shall be grounds for a determination by the Contracting Officer that the Contractor is not prosecuting the work with sufficient diligence to ensure completion within the time specified in the contract. Upon making this determination, the Contracting Officer may terminate the Contractor's right to proceed with the work, or any separable part of it, in accordance with the default terms of this contract.

(End of clause)

#### 69 52.236-21 SPECIFICATIONS AND DRAWINGS FOR CONSTRUCTION (FEB 1997)

(a) The Contractor shall keep on the work site a copy of the drawings and specifications and shall at all times give the Contracting Officer access thereto. Anything mentioned in the specifications and not shown on the drawings, or shown on the drawings and not mentioned in the specifications, shall be of like effect as if shown or mentioned in both. In case of difference between drawings and specifications, the specifications shall govern. In case of discrepancy in the figures, in the drawings, or in the specifications, the matter shall be promptly submitted to the Contracting Officer, who shall promptly make a determination in writing. Any adjustment by the Contractor without such a determination shall be at its own risk and expense. The Contracting Officer shall furnish from time to time such detailed drawings and other information as considered necessary, unless otherwise provided.

(b) Wherever in the specifications or upon the drawings the words "directed", "required", "ordered", "designated", "prescribed", or words of like import are used, it shall be understood that the "direction", "requirement", "order", "designation", or "prescription", of the Contracting Officer is intended and similarly the words "approved",



"acceptable", "satisfactory", or words of like import shall mean "approved by", or "acceptable to", or "satisfactory to" the Contracting Officer, unless otherwise expressly stated.

(c) Where "as shown," "as indicated", "as detailed", or words of similar import are used, it shall be understood that the reference is made to the drawings accompanying this contract unless stated otherwise. The word "provided" as used herein shall be understood to mean "provide complete in place," that is "furnished and installed".

(d) Shop drawings means drawings, submitted to the Government by the Contractor, subcontractor, or any lower tier subcontractor pursuant to a construction contract, showing in detail (1) the proposed fabrication and assembly of structural elements, and (2) the installation (i.e., fit, and attachment details) of materials or equipment. It includes drawings, diagrams, layouts, schematics, descriptive literature, illustrations, schedules, performance and test data, and similar materials furnished by the contractor to explain in detail specific portions of the work required by the contract. The Government may duplicate, use, and disclose in any manner and for any purpose shop drawings delivered under this contract.

(e) If this contract requires shop drawings, the Contractor shall coordinate all such drawings, and review them for accuracy, completeness, and compliance with contract requirements and shall indicate its approval thereon as evidence of such coordination and review. Shop drawings submitted to the Contracting Officer without evidence of the Contractor's approval may be returned for resubmission. The Contracting Officer will indicate an approval or disapproval of the shop drawings and if not approved as submitted shall indicate the Government's reasons therefor. Any work done before such approval shall be at the Contractor's risk. Approval by the Contracting Officer shall not relieve the Contractor from responsibility for any errors or omissions in such drawings, nor from responsibility for complying with the requirements of this contract, except with respect to variations described and approved in accordance with (f) below.

(f) If shop drawings show variations from the contract requirements, the Contractor shall describe such variations in writing, separate from the drawings, at the time of submission. If the Contracting Officer approves any such variation, the Contracting Officer shall issue an appropriate contract modification, except that, if the variation is minor or does not involve a change in price or in time of performance, a modification need not be issued.

(g) The Contractor shall submit to the Contracting Officer for approval four copies (unless otherwise indicated) of all shop drawings as called for under the various headings of these specifications. Three sets (unless otherwise indicated) of all shop drawings, will be retained by the Contracting Officer and one set will be returned to the Contractor.

(End of clause)

## 70 52.236-26 PRECONSTRUCTION CONFERENCE (FEB 1995)

If the Contracting Officer decides to conduct a preconstruction conference, the successful offeror will be notified and will be required to attend. The Contracting Officer's notification will include specific details regarding the date, time, and location of the conference, any need for attendance by subcontractors, and information regarding the items to be discussed.

(End of clause)

## 71 52.242-13 BANKRUPTCY (JUL 1995)

In the event the Contractor enters into proceedings relating to bankruptcy, whether voluntary or involuntary, the Contractor agrees to furnish, by certified mail or electronic commerce method authorized by the contract, written notification of the bankruptcy to the Contracting Officer responsible for administering the contract. This notification shall be furnished within five days of the initiation of the proceedings relating to bankruptcy filing. This notification shall include the date on which the bankruptcy petition was filed, the identity of the court in which the bankruptcy petition was filed, and a listing of Government contract numbers and contracting offices for all Government contracts against which final payment has not been made. This obligation remains in effect until final payment under this contract.

(End of clause)

## 72 52.242-14 SUSPENSION OF WORK (APR 1984)

(a) The Contracting Officer may order the Contractor, in writing, to suspend, delay, or interrupt all or any part of the work of this contract for the period of time that the Contracting Officer determines appropriate for the convenience of the Government.

(b) If the performance of all or any part of the work is, for an unreasonable period of time, suspended, delayed, or interrupted (1) by an act of the Contracting Officer in the administration of this contract, or (2) by the Contracting Officer's failure to act within the time specified in this contract (or within a reasonable time if not specified), an adjustment shall be made for any increase in the cost of performance of this contract (excluding profit) necessarily caused by the unreasonable suspension, delay, or interruption, and the contract modified in writing accordingly. However, no adjustment shall be made under this clause for any suspension, delay, or interruption to the extent that performance would have been so suspended, delayed, or interrupted by any other cause, including the fault or negligence of the Contractor, or for which an equitable adjustment is provided for or excluded under any other term or condition of this contract.

(c) A claim under this clause shall not be allowed (1) for any costs incurred more than 20 days before the Contractor shall have notified the Contracting Officer in writing of the act or failure to act involved (but this requirement shall not apply as to a claim resulting from a suspension order), and (2) unless the claim, in an amount stated, is asserted in writing as soon as practicable after the termination of the suspension, delay, or interruption, but not later than the date of final payment under the contract.

(End of clause)

### 73 52.243-4 CHANGES (AUG 1987)

(a) The Contracting Officer may, at any time, without notice to the sureties, if any, by written order designated or indicated to be a change order, make changes in the work within the general scope of the contract, including changes--

- (1) In the specifications (including drawings and designs);
- (2) In the method or manner of performance of the work;
- (3) In the Government-furnished facilities, equipment, materials, services, or site; or
- (4) Directing acceleration in the performance of the work.

(b) Any other written or oral order (which, as used in this paragraph (b), includes direction, instruction, interpretation, or determination) from the Contracting Officer that causes a change shall be treated as a change order under this clause; provided, that the Contractor gives the Contracting Officer written notice stating (1) the date, circumstances, and source of the order and (2) that the Contractor regards the order as a change order.

(c) Except as provided in this clause, no order, statement, or conduct of the Contracting Officer shall be treated as a change under this clause or entitle the Contractor to an equitable adjustment.

(d) If any change under this clause causes an increase or decrease in the Contractor's cost of, or the time required for, the performance of any part of the work under this contract, whether or not changed by any such order, the Contracting Officer shall make an equitable adjustment and modify the contract in writing. However, except for an adjustment based on defective specifications, no adjustment for any change under paragraph (b) of this clause shall be made for any costs incurred more than 20 days before the Contractor gives written notice as required. In the case of defective specifications for which the Government is responsible, the equitable adjustment shall include any increased cost reasonably incurred by the Contractor in attempting to comply with the defective specifications.

(e) The Contractor must assert its right to an adjustment under this clause within 30 days after (1) receipt of a written change order under paragraph (a) of this clause or (2) the furnishing of a written notice under paragraph (b) of this clause, by submitting to the Contracting

Officer a written statement describing the general nature and amount of the proposal, unless this period is extended by the Government. The statement of proposal for adjustment may be included in the notice under paragraph (b) above.

(f) No proposal by the Contractor for an equitable adjustment shall be allowed if asserted after final payment under this contract.

(End of clause)

#### 74 52.244-6 SUBCONTRACTS FOR COMMERCIAL ITEMS AND COMMERCIAL COMPONENTS (OCT 1998)

(a) Definition.

"Commercial item," as used in this clause, has the meaning contained in the clause at 52.202-1, Definitions.

"Subcontract," as used in this clause, includes a transfer of commercial items between divisions, subsidiaries, or affiliates of the Contractor or subcontractor at any tier.

(b) To the maximum extent practicable, the Contractor shall incorporate, and require its subcontractors at all tiers to incorporate, commercial items or nondevelopmental items as components of items to be supplied under this contract.

(c) Notwithstanding any other clause of this contract, the Contractor is not required to include any FAR provision or clause, other than those listed below to the extent they are applicable and as may be required to establish the reasonableness of prices under Part 15, in a subcontract at any tier for commercial items or commercial components:

(1) 52.222-26, Equal Opportunity (E.O. 11246);

(2) 52.222-35, Affirmative Action for Disabled Veterans and Veterans of the Vietnam Era (38 U.S.C. 4212(a));

(3) 52.222-36, Affirmative Action for Workers with Disabilities (29 U.S.C.793); and

(4) 52.247-64, Preference for Privately Owned U.S.-Flagged Commercial Vessels (46 U.S.C. 1241) (flow down not required for subcontracts awarded beginning May 1, 1996).

(d) The Contractor shall include the terms of this clause, including this paragraph (d), in subcontracts awarded under this contract.

(End of clause)

#### 75 52.245-2 GOVERNMENT PROPERTY (FIXED-PRICE CONTRACTS) (DEC 1989)

(a) Government-furnished property. (1) The Government shall deliver to the Contractor, for use in connection with and under the terms of this contract, the Government-furnished property described in the Schedule or specifications together with any related data and information that the Contractor may request and is reasonably required for the intended use of the property (hereinafter referred to as "Government-furnished property").

(2) The delivery or performance dates for this contract are based upon the expectation that Government-furnished property suitable for use (except for property furnished "as is") will be delivered to the Contractor at the times stated in the Schedule or, if not so stated, in sufficient time to enable the Contractor to meet the contract's delivery or performance dates.

(3) If Government-furnished property is received by the Contractor in a condition not suitable for the intended use, the Contractor shall, upon receipt of it, notify the Contracting Officer, detailing the facts, and, as directed by the Contracting Officer and at Government expense, either repair, modify, return, or otherwise dispose of the property. After completing the directed action and upon written request of the Contractor, the Contracting Officer shall make an equitable adjustment as provided in paragraph (h) of this clause.

(4) If Government-furnished property is not delivered to the Contractor by the required time, the Contracting Officer shall, upon the Contractor's timely written request, make a determination of the delay, if any, caused the Contractor and shall make an equitable adjustment in accordance with paragraph (h) of this clause.

(b) Changes in Government-furnished property. (1) The Contracting Officer may, by written notice, (i) decrease the Government-furnished property provided or to be provided under this contract, or (ii) substitute other Government-furnished property for the property to be provided by the Government, or to be acquired by the Contractor for the Government, under this contract. The Contractor shall promptly take such action as the Contracting Officer may direct regarding the removal, shipment, or disposal of the property covered by such notice.

(2) Upon the Contractor's written request, the Contracting Officer shall make an equitable adjustment to the contract in accordance with paragraph (h) of this clause, if the Government has agreed in the Schedule to make the property available for performing this contract and there is any--

(i) Decrease or substitution in this property pursuant to subparagraph (b)(1) above; or

(ii) Withdrawal of authority to use this property, if provided under any other contract or lease.

(c) Title in Government property. (1) The Government shall retain title to all Government-furnished property.

(2) All Government-furnished property and all property acquired by the Contractor, title to which vests in the Government under this paragraph (collectively referred to as "Government property"), are subject to the provisions of this clause. However, special tooling accountable to this contract is subject to the provisions of the Special Tooling clause and is not subject to the provisions of this clause. Title to Government property shall not be affected by its incorporation into or attachment to any property not owned by the Government, nor shall Government

property become a fixture or lose its identity as personal property by being attached to any real property.

(3) Title to each item of facilities and special test equipment acquired by the Contractor for the Government under this contract shall pass to and vest in the Government when its use in performing this contract commences or when the Government has paid for it, whichever is earlier, whether or not title previously vested in the Government.

(4) If this contract contains a provision directing the Contractor to purchase material for which the Government will reimburse the Contractor as a direct item of cost under this contract--

(i) Title to material purchased from a vendor shall pass to and vest in the Government upon the vendor's delivery of such material; and

(ii) Title to all other material shall pass to and vest in the Government upon--

(A) Issuance of the material for use in contract performance;

(B) Commencement of processing of the material or its use in contract performance; or

(C) Reimbursement of the cost of the material by the Government, whichever occurs first.

(d) Use of Government property. The Government property shall be used only for performing this contract, unless otherwise provided in this contract or approved by the Contracting Officer.

(e) Property administration. (1) The Contractor shall be responsible and accountable for all Government property provided under this contract and shall comply with Federal Acquisition Regulation (FAR) Subpart 45.5, as in effect on the date of this contract.

(2) The Contractor shall establish and maintain a program for the use, maintenance, repair, protection, and preservation of Government property in accordance with sound industrial practice and the applicable provisions of Subpart 45.5 of the FAR.

(3) If damage occurs to Government property, the risk of which has been assumed by the Government under this contract, the Government shall replace the items or the Contractor shall make such repairs as the Government directs. However, if the Contractor cannot effect such repairs within the time required, the Contractor shall dispose of the property as directed by the Contracting Officer. When any property for which the Government is responsible is replaced or repaired, the Contracting Officer shall make an equitable adjustment in accordance with paragraph (h) of this clause.

(4) The Contractor represents that the contract price does not include any amount for repairs or replacement for which the Government is responsible. Repair or replacement of property for which the Contractor is responsible shall be accomplished by the Contractor at its own expense.

(f) Access. The Government and all its designees shall have access at all reasonable times to the premises in which any Government property is

located for the purpose of inspecting the Government property.

(g) Risk of loss. Unless otherwise provided in this contract, the Contractor assumes the risk of, and shall be responsible for, any loss or destruction of, or damage to, Government property upon its delivery to the Contractor or upon passage of title to the Government under paragraph (c) of this clause. However, the Contractor is not responsible for reasonable wear and tear to Government property or for Government property properly consumed in performing this contract.

(h) Equitable adjustment. When this clause specifies an equitable adjustment, it shall be made to any affected contract provision in accordance with the procedures of the Changes clause. When appropriate, the Contracting Officer may initiate an equitable adjustment in favor of the Government. The right to an equitable adjustment shall be the Contractor's exclusive remedy. The Government shall not be liable to suit for breach of contract for--

- (1) Any delay in delivery of Government-furnished property;
- (2) Delivery of Government-furnished property in a condition not suitable for its intended use;
- (3) A decrease in or substitution of Government-furnished property; or
- (4) Failure to repair or replace Government property for which the Government is responsible.

(i) Final accounting and disposition of Government property. Upon completing this contract, or at such earlier dates as may be fixed by the Contracting Officer, the Contractor shall submit, in a form acceptable to the Contracting Officer, inventory schedules covering all items of Government property (including any resulting scrap) not consumed in performing this contract or delivered to the Government. The Contractor shall prepare for shipment, deliver f.o.b. origin, or dispose of the Government property as may be directed or authorized by the Contracting Officer. The net proceeds of any such disposal shall be credited to the contract price or shall be paid to the Government as the Contracting Officer directs.

(j) Abandonment and restoration of Contractor's premises. Unless otherwise provided herein, the Government--

- (1) May abandon any Government property in place, at which time all obligations of the Government regarding such abandoned property shall cease; and

(2) Has no obligation to restore or rehabilitate the Contractor's premises under any circumstances (e.g., abandonment, disposition upon completion of need, or upon contract completion). However, if the Government-furnished property (listed in the Schedule or specifications) is withdrawn or is unsuitable for the intended use, or if other Government property is substituted, then the equitable adjustment under paragraph (h) of this clause may properly include restoration or rehabilitation costs.

(k) Communications. All communications under this clause shall be in

writing.

(1) Overseas contracts. If this contract is to be performed outside of the United States of America, its territories, or possessions, the words "Government" and "Government-furnished" (wherever they appear in this clause) shall be construed as "United States Government" and "United States Government-furnished," respectively.

(End of clause)

## 76 52.245-4 GOVERNMENT-FURNISHED PROPERTY (SHORT FORM) (APR 1984)

(a) The Government shall deliver to the Contractor, at the time and locations stated in this contract, the Government-furnished property described in the Schedule or specifications. If that property, suitable for its intended use, is not delivered to the Contractor, the Contracting Officer shall equitably adjust affected provisions of this contract in accordance with the Changes clause when--

(1) The Contractor submits a timely written request for an equitable adjustment; and

(2) The facts warrant an equitable adjustment.

(b) Title to Government-furnished property shall remain in the Government. The Contractor shall use the Government-furnished property only in connection with this contract. The Contractor shall maintain adequate property control records in accordance with sound industrial practice and will make such records available for Government inspection at all reasonable times, unless the clause at Federal Acquisition Regulation 52.245-1, Property Records, is included in this contract.

(c) Upon delivery of Government-furnished property to the Contractor, the Contractor assumes the risk and responsibility for its loss or damage, except--

(1) For reasonable wear and tear;

(2) To the extent property is consumed in performing this contract;  
or

(3) As otherwise provided for by the provisions of this contract.

(d) Upon completing this contract, the Contractor shall follow the instructions of the Contracting Officer regarding the disposition of all Government-furnished property not consumed in performing this contract or previously delivered to the Government. The Contractor shall prepare for shipment, deliver f.o.b. origin, or dispose of the Government property, as may be directed or authorized by the Contracting Officer. The net proceeds of any such disposal shall be credited to the contract price or shall be paid to the Government as directed by the Contracting Officer.

(e) If this contract is to be performed outside the United States of America, its territories, or possessions, the words "Government" and "Government-furnished" (wherever they appear in this clause) shall be construed as "United States Government" and "United States Government-furnished," respectively.



(End of clause)  
(R 7-104.24(f) 1964 NOV)

77 52.246-12 INSPECTION OF CONSTRUCTION (AUG 1996)

(a) Definition. "Work" includes, but is not limited to, materials, workmanship, and manufacture and fabrication of components.

(b) The Contractor shall maintain an adequate inspection system and perform such inspections as will ensure that the work performed under the contract conforms to contract requirements. The Contractor shall maintain complete inspection records and make them available to the Government. All work shall be conducted under the general direction of the Contracting Officer and is subject to Government inspection and test at all places and at all reasonable times before acceptance to ensure strict compliance with the terms of the contract.

(c) Government inspections and tests are for the sole benefit of the Government and do not--

(1) Relieve the Contractor of responsibility for providing adequate quality control measures;

(2) Relieve the Contractor of responsibility for damage to or loss of the material before acceptance;

(3) Constitute or imply acceptance; or

(4) Affect the continuing rights of the Government after acceptance of the completed work under paragraph (i) below.

(d) The presence or absence of a Government inspector does not relieve the Contractor from any contract requirement, nor is the inspector authorized to change any term or condition of the specification without the Contracting Officer's written authorization.

(e) The Contractor shall promptly furnish, at no increase in contract price, all facilities, labor, and material reasonably needed for performing such safe and convenient inspections and tests as may be required by the Contracting Officer. The Government may charge to the Contractor any additional cost of inspection or test when work is not ready at the time specified by the Contractor for inspection or test, or when prior rejection makes reinspection or retest necessary. The Government shall perform all inspections and tests in a manner that will not unnecessarily delay the work. Special, full size, and performance tests shall be performed as described in the contract.

(f) The Contractor shall, without charge, replace or correct work found by the Government not to conform to contract requirements, unless in the public interest the Government consents to accept the work with an appropriate adjustment in contract price. The Contractor shall promptly segregate and remove rejected material from the premises.

(g) If the Contractor does not promptly replace or correct rejected work, the Government may (1) by contract or otherwise, replace or correct the work and charge the cost to the Contractor or (2) terminate for default the

Contractor's right to proceed.

(h) If, before acceptance of the entire work, the Government decides to examine already completed work by removing it or tearing it out, the Contractor, on request, shall promptly furnish all necessary facilities, labor, and material. If the work is found to be defective or nonconforming in any material respect due to the fault of the Contractor or its subcontractors, the Contractor shall defray the expenses of the examination and of satisfactory reconstruction. However, if the work is found to meet contract requirements, the Contracting Officer shall make an equitable adjustment for the additional services involved in the examination and reconstruction, including, if completion of the work was thereby delayed, an extension of time.

(i) Unless otherwise specified in the contract, the Government shall accept, as promptly as practicable after completion and inspection, all work required by the contract or that portion of the work the Contracting Officer determines can be accepted separately. Acceptance shall be final and conclusive except for latent defects, fraud, gross mistakes amounting to fraud, or the Government's rights under any warranty or guarantee.

(End of clause)

78 52.246-13 INSPECTION--DISMANTLING, DEMOLITION, OR REMOVAL OF IMPROVEMENTS (AUG 1996)

(a) Unless otherwise designated by the specifications, all workmanship performed under the contract is subject to Government inspection at all times and places where dismantling or demolition work is being performed. The Contractor shall furnish promptly, and at no increase in contract price all reasonable facilities, labor, and materials necessary for safe and convenient inspection by the Government. The Government shall perform inspections in a manner that will not unduly delay the work.

(b) The Contractor is responsible for damage to property caused by defective workmanship. The Contractor shall promptly segregate and remove from the premises any unsatisfactory facilities, materials, and equipment used in contract performance, and promptly replace them with satisfactory items. If the Contractor fails to proceed at once in a workmanlike manner with performance of the work or with the correction of defective workmanship, the Government may (1) by contract or otherwise, replace the facilities, materials, and equipment or correct the workmanship and charge the cost to the Contractor and (2) terminate for default the Contractor's right to proceed. The Contractor and any surety shall be liable, to the extent specified in the contract for any damage or cost of repair or replacement.

(End of clause)

(R 7-2101.19 1976 OCT)

79 52.248-3 I VALUE ENGINEERING--CONSTRUCTION (MAR 1989)--ALTERNATE I

(APR 1984)

(a) General. The Contractor is encouraged to develop, prepare, and submit value engineering change proposals (VECP's) voluntarily. The Contractor shall share in any instant contract savings realized from accepted VECP's, in accordance with paragraph (f) below.

(b) Definitions. "Collateral costs," as used in this clause, means agency costs of operation, maintenance, logistic support, or Government-furnished property.

"Collateral savings," as used in this clause, means those measurable net reductions resulting from a VECP in the agency's overall projected collateral costs, exclusive of acquisition savings, whether or not the acquisition cost changes.

"Contractor's development and implementation costs," as used in this clause, means those costs the Contractor incurs on a VECP specifically in developing, testing, preparing, and submitting the VECP, as well as those costs the Contractor incurs to make the contractual changes required by Government acceptance of a VECP.

"Government costs," as used in this clause, means those agency costs that result directly from developing and implementing the VECP, such as any net increases in the cost of testing, operations, maintenance, and logistic support. The term does not include the normal administrative costs of processing the VECP.

"Instant contract savings," as used in this clause, means the estimated reduction in Contractor cost of performance resulting from acceptance of the VECP, minus allowable Contractor's development and implementation costs, including subcontractors' development and implementation costs (see paragraph (h) below).

"Value engineering change proposal (VECP)" means a proposal that--

(1) Requires a change to this, the instant contract, to implement; and  
 (2) Results in reducing the contract price or estimated cost without impairing essential functions or characteristics; provided, that it does not involve a change--

(i) In deliverable end item quantities only; or

(ii) To the contract type only.

(c) VECP preparation. As a minimum, the Contractor shall include in each VECP the information described in subparagraphs (1) through (7) below. If the proposed change is affected by contractually required configuration management or similar procedures, the instructions in those procedures relating to format, identification, and priority assignment shall govern VECP preparation. The VECP shall include the following:

(1) A description of the difference between the existing contract requirement and that proposed, the comparative advantages and disadvantages of each, a justification when an item's function or characteristics are being altered, and the effect of the change on the end item's performance.

(2) A list and analysis of the contract requirements that must be changed if the VECP is accepted, including any suggested specification revisions.

(3) A separate, detailed cost estimate for (i) the affected portions of the existing contract requirement and (ii) the VECP. The cost reduction associated with the VECP shall take into account the Contractor's allowable development and implementation costs, including any amount attributable to subcontracts under paragraph (h) below.

(4) A description and estimate of costs the Government may incur in implementing the VECP, such as test and evaluation and operating and support costs.

(5) A prediction of any effects the proposed change would have on collateral costs to the agency.

(6) A statement of the time by which a contract modification accepting the VECP must be issued in order to achieve the maximum cost reduction, noting any effect on the contract completion time or delivery schedule.

(7) Identification of any previous submissions of the VECP, including the dates submitted, the agencies and contract numbers involved, and previous Government actions, if known.

(d) Submission. The Contractor shall submit VECP's to the Resident Engineer at the worksite, with a copy to the Contracting Officer.

(e) Government action. (1) The Contracting Officer shall notify the Contractor of the status of the VECP within 45 calendar days after the contracting office receives it. If additional time is required, the Contracting Officer shall notify the Contractor within the 45-day period and provide the reason for the delay and the expected date of the decision. The Government will process VECP's expeditiously; however, it shall not be liable for any delay in acting upon a VECP.

(2) If the VECP is not accepted, the Contracting Officer shall notify the Contractor in writing, explaining the reasons for rejection. The Contractor may withdraw any VECP, in whole or in part, at any time before it is accepted by the Government. The Contracting Officer may require that the Contractor provide written notification before undertaking significant expenditures for VECP effort.

(3) Any VECP may be accepted, in whole or in part, by the Contracting Officer's award of a modification to this contract citing this clause. The Contracting Officer may accept the VECP, even though an agreement on price reduction has not been reached, by issuing the Contractor a notice to proceed with the change. Until a notice to proceed is issued or a contract modification applies a VECP to this contract, the Contractor shall perform in accordance with the existing contract. The Contracting Officer's decision to accept or reject all or part of any VECP shall be final and not subject to the Disputes clause or otherwise subject to litigation under the Contract Disputes Act of 1978 (41 U.S.C. 601-613).

(f) Sharing. (1) Rates. The Government's share of savings is determined by subtracting Government costs from instant contract savings

and multiplying the result by (i) 45 percent for fixed-price contracts or (ii) 75 percent for cost-reimbursement contracts.

(2) Payment. Payment of any share due the Contractor for use of a VECP on this contract shall be authorized by a modification to this contract to--

(i) Accept the VECP;

(ii) Reduce the contract price or estimated cost by the amount of instant contract savings; and

(iii) Provide the Contractor's share of savings by adding the amount calculated to the contract price or fee.

(g) Subcontracts. The Contractor shall include an appropriate value engineering clause in any subcontract of \$50,000 or more and may include one in subcontracts of lesser value. In computing any adjustment in this contract's price under paragraph (f) above, the Contractor's allowable development and implementation costs shall include any subcontractor's allowable development and implementation costs clearly resulting from a VECP accepted by the Government under this contract, but shall exclude any value engineering incentive payments to a subcontractor. The Contractor may choose any arrangement for subcontractor value engineering incentive payments; provided, that these payments shall not reduce the Government's share of the savings resulting from the VECP.

(h) Data. The Contractor may restrict the Government's right to use any part of a VECP or the supporting data by marking the following legend on the affected parts:

"These data, furnished under the Value Engineering--Construction clause of contract \_\_\_\_\_, shall not be disclosed outside the Government or duplicated, used, or disclosed, in whole or in part, for any purpose other than to evaluate a value engineering change proposal submitted under the clause. This restriction does not limit the Government's right to use information contained in these data if it has been obtained or is otherwise available from the Contractor or from another source without limitations."

If a VECP is accepted, the Contractor hereby grants the Government unlimited rights in the VECP and supporting data, except that, with respect to data qualifying and submitted as limited rights technical data, the Government shall have the rights specified in the contract modification implementing the VECP and shall appropriately mark the data. (The terms "unlimited rights" and "limited rights" are defined in Part 27 of the Federal Acquisition Regulation.)

(End of clause)

## 80 52.249-1 TERMINATION FOR CONVENIENCE OF THE GOVERNMENT (FIXED-PRICE) (SHORT FORM) (APR 1984)

The Contracting Officer, by written notice, may terminate this contract, in whole or in part, when it is in the Government's interest. If this

contract is terminated, the rights, duties, and obligations of the parties, including compensation to the Contractor, shall be in accordance with Part 49 of the Federal Acquisition Regulation in effect on the date of this contract.

(End of clause)

(R 1-8.705-1)

(R 1-8.705-2)

(R 7-103.21(a) 1968 FEB)

(R 7-602.29(b) 1965 JAN)

81 52.249-2 I TERMINATION FOR CONVENIENCE OF THE GOVERNMENT (FIXED-PRICE) (SEP 1996)--ALTERNATE I (SEP 1996)

(a) The Government may terminate performance of work under this contract in whole or, from time to time, in part if the Contracting Officer determines that a termination is in the Government's interest. The Contracting Officer shall terminate by delivering to the Contractor a Notice of Termination specifying the extent of termination and the effective date.

(b) After receipt of a Notice of Termination, and except as directed by the Contracting Officer, the Contractor shall immediately proceed with the following obligations, regardless of any delay in determining or adjusting any amounts due under this clause:

(1) Stop work as specified in the notice.

(2) Place no further subcontracts or orders (referred to as subcontracts in this clause) for materials, services, or facilities, except as necessary to complete the continued portion of the contract.

(3) Terminate all subcontracts to the extent they relate to the work terminated.

(4) Assign to the Government, as directed by the Contracting Officer, all right, title, and interest of the Contractor under the subcontracts terminated, in which case the Government shall have the right to settle or to pay any termination settlement proposal arising out of those terminations.

(5) With approval or ratification to the extent required by the Contracting Officer, settle all outstanding liabilities and termination settlement proposals arising from the termination of subcontracts; the approval or ratification will be final for purposes of this clause.

(6) As directed by the Contracting Officer, transfer title and deliver to the Government (i) the fabricated or unfabricated parts, work in process, completed work, supplies, and other material produced or acquired for the work terminated, and (ii) the completed or partially completed plans, drawings, information, and other property that, if the contract had been completed, would be required to be furnished to the Government.

(7) Complete performance of the work not terminated.

(8) Take any action that may be necessary, or that the Contracting Officer may direct, for the protection and preservation of the property related to this contract that is in the possession of the Contractor and in which the Government has or may acquire an interest.

(9) Use its best efforts to sell, as directed or authorized by the Contracting Officer, any property of the types referred to in subparagraph (b)(6) of this clause; provided, however, that the Contractor (i) is not required to extend credit to any purchaser and (ii) may acquire the property under the conditions prescribed by, and at prices approved by, the Contracting Officer. The proceeds of any transfer or disposition will be applied to reduce any payments to be made by the Government under this contract, credited to the price or cost of the work, or paid in any other manner directed by the Contracting Officer.

(c) The Contractor shall submit complete termination inventory schedules no later than 120 days from the effective date of termination, unless extended in writing by the Contracting Officer upon written request of the Contractor within this 120-day period.

(d) After expiration of the plant clearance period as defined in Subpart 45.6 of the Federal Acquisition Regulation, the Contractor may submit to the Contracting Officer a list, certified as to quantity and quality, of termination inventory not previously disposed of, excluding items authorized for disposition by the Contracting Officer. The Contractor may request the Government to remove those items or enter into an agreement for their storage. Within 15 days, the Government will accept title to those items and remove them or enter into a storage agreement. The Contracting Officer may verify the list upon removal of the items, or if stored, within 45 days from submission of the list, and shall correct the list, as necessary, before final settlement.

(e) After termination, the Contractor shall submit a final termination settlement proposal to the Contracting Officer in the form and with the certification prescribed by the Contracting Officer. The Contractor shall submit the proposal promptly, but no later than 1 year from the effective date of termination, unless extended in writing by the Contracting Officer upon written request of the Contractor within this 1 year period. However, if the Contracting Officer determines that the facts justify it, a termination settlement proposal may be received and acted on after 1 year or any extension. If the Contractor fails to submit the proposal within the time allowed, the Contracting Officer may determine, on the basis of information available, the amount, if any, due the Contractor because of the termination and shall pay the amount determined.

(f) Subject to paragraph (e) of this clause, the Contractor and the Contracting Officer may agree upon the whole or any part of the amount to be paid or remaining to be paid because of the termination. The amount may include a reasonable allowance for profit on work done. However, the agreed amount, whether under this paragraph (f) or paragraph (g) of this

clause, exclusive of costs shown in subparagraph (g)(3) of this clause, may not exceed the total contract price as reduced by (1) the amount of payments previously made and (2) the contract price of work not terminated. The contract shall be modified, and the Contractor paid the agreed amount. Paragraph (g) of this clause shall not limit, restrict, or affect the amount that may be agreed upon to be paid under this paragraph.

(g) If the Contractor and Contracting Officer fail to agree on the whole amount to be paid the Contractor because of the termination of work, the Contracting Officer shall pay the Contractor the amounts determined as follows, but without duplication of any amounts agreed upon under paragraph (f) of this clause:

(1) For contract work performed before the effective date of termination, the total (without duplication of any items) of--

- (i) The cost of this work;
- (ii) The cost of settling and paying termination settlement proposals under terminated subcontracts that are properly chargeable to the terminated portion of the contract if not included in subdivision (g)(1)(i) of this clause; and
- (iii) A sum, as profit on subdivision (g)(1)(i) of this clause, determined by the Contracting Officer under 49.202 of the Federal Acquisition Regulation, in effect on the date of this contract, to be fair and reasonable; however, if it appears that the Contractor would have sustained a loss on the entire contract had it been completed, the Contracting Officer shall allow no profit under this subdivision (iii) and shall reduce the settlement to reflect the indicated rate of loss.

(2) The reasonable costs of settlement of the work terminated, including--

- (i) Accounting, legal, clerical, and other expenses reasonably necessary for the preparation of termination settlement proposals and supporting data;
- (ii) The termination and settlement of subcontracts (excluding the amounts of such settlements); and
- (iii) Storage, transportation, and other costs incurred, reasonably necessary for the preservation, protection, or disposition of the termination inventory.

(h) Except for normal spoilage, and except to the extent that the Government expressly assumed the risk of loss, the Contracting Officer shall exclude from the amounts payable to the Contractor under paragraph (g) of this clause, the fair value, as determined by the Contracting Officer, of property that is destroyed, lost, stolen, or damaged so as to become undeliverable to the Government or to a buyer.

(i) The cost principles and procedures of Part 31 of the Federal Acquisition Regulation, in effect on the date of this contract, shall govern all costs claimed, agreed to, or determined under this clause.

(j) The Contractor shall have the right of appeal, under the Disputes clause, from any determination made by the Contracting Officer under



paragraph (e), (g), or (l) of this clause, except that if the Contractor failed to submit the termination settlement proposal or request for equitable adjustment within the time provided in paragraph (e) or (l), respectively, and failed to request a time extension, there is no right of appeal.

(k) In arriving at the amount due the Contractor under this clause, there shall be deducted--

(1) All unliquidated advance or other payments to the Contractor under the terminated portion of this contract;

(2) Any claim which the Government has against the Contractor under this contract; and

(3) The agreed price for, or the proceeds of sale of, materials, supplies, or other things acquired by the Contractor or sold under the provisions of this clause and not recovered by or credited to the Government.

(l) If the termination is partial, the Contractor may file a proposal with the Contracting Officer for an equitable adjustment of the price(s) of the continued portion of the contract. The Contracting Officer shall make any equitable adjustment agreed upon. Any proposal by the Contractor for an equitable adjustment under this clause shall be requested within 90 days from the effective date of termination unless extended in writing by the Contracting Officer.

(m)(1) The Government may, under the terms and conditions it prescribes, make partial payments and payments against costs incurred by the Contractor for the terminated portion of the contract, if the Contracting Officer believes the total of these payments will not exceed the amount to which the Contractor will be entitled.

(2) If the total payments exceed the amount finally determined to be due, the Contractor shall repay the excess to the Government upon demand, together with interest computed at the rate established by the Secretary of the Treasury under 50 U.S.C. App. 1215(b)(2). Interest shall be computed for the period from the date the excess payment is received by the Contractor to the date the excess is repaid. Interest shall not be charged on any excess payment due to a reduction in the Contractor's termination settlement proposal because of retention or other disposition of termination inventory until 10 days after the date of the retention or disposition, or a later date determined by the Contracting Officer because of the circumstances.

(n) Unless otherwise provided in this contract or by statute, the Contractor shall maintain all records and documents relating to the terminated portion of this contract for 3 years after final settlement. This includes all books and other evidence bearing on the Contractor's costs and expenses under this contract. The Contractor shall make these records and documents available to the Government, at the Contractor's office, at all reasonable times, without any direct charge. If approved by the Contracting Officer, photographs, microphotographs, or other

authentic reproductions may be maintained instead of original records and documents.

(End of clause)

82 52.249-3 TERMINATION FOR CONVENIENCE OF THE GOVERNMENT  
(DISMANTLING, DEMOLITION, OR REMOVAL OF IMPROVEMENTS)  
(SEP 1996)

(a) The Government may terminate performance of work under this contract, in whole or, from time to time, in part if the Contracting Officer determines that a termination is in the Government's interest. The Contracting Officer shall terminate by delivering to the Contractor a Notice of Termination specifying the extent of termination and the effective date. Upon receipt of the notice, if title to property is vested in the Contractor under this contract, it shall revert in the Government regardless of any other clause of this contract, except for property that the Contractor disposed of by bona fide sale or removed from the site.

(b) After receipt of a Notice of Termination, and except as directed by the Contracting Officer, the Contractor shall immediately proceed with the following obligations, regardless of delay in determining or adjusting any amounts due under this clause:

(1) Stop work as specified in the notice.

(2) Place no further subcontracts or orders (referred to as subcontracts in this clause) for materials, services, or facilities, except as necessary to complete the continued portion of the contract.

(3) Terminate all subcontracts to the extent they relate to the work terminated.

(4) Assign to the Government, as directed by the Contracting Officer, all right, title, and interest of the Contractor under the subcontracts terminated, in which case the Government shall have the right to settle or to pay any termination settlement proposal arising out of those terminations.

(5) With approval or ratification to the extent required by the Contracting Officer, settle all outstanding liabilities and termination settlement proposals arising from the termination of subcontracts; the approval or ratification will be final for purposes of this clause.

(6) As directed by the Contracting Officer, transfer title and deliver to the Government (i) the fabricated or unfabricated parts, work in process, completed work, supplies, and other material produced or acquired for the work terminated, and (ii) the completed or partially completed plans, drawings, information, and other property that, if the contract has been completed, would be required to be furnished to the Government.

(7) Complete performance of the work not terminated.

(8) Take any action that may be necessary, or that the Contracting Officer may direct, for the protection and preservation of the property

related to this contract that is in the possession of the Contractor and in which the Government has or may acquire an interest.

(9) Use its best efforts to sell, as directed or authorized by the Contracting Officer, any property of the types referred to in subparagraph (b)(6) of this clause; provided, however, that the Contractor (i) is not required to extend credit to any purchaser and (ii) may acquire the property under the conditions prescribed by, and at prices approved by, the Contracting Officer. The proceeds of any transfer or disposition will be applied to reduce any payments to be made by the Government under this contract, credited to the price or cost of the work, or paid in any other manner directed by the Contracting Officer.

(c) The Contractor shall submit complete termination inventory schedules no later than 120 days from the effective date of termination, unless extended in writing by the Contracting Officer upon written request of the Contractor within this 120-day period.

(d) After expiration of the plant clearance period as defined in Subpart 45.6 of the Federal Acquisition Regulation, the Contractor may submit to the Contracting Officer a list, certified as to quantity and quality, of termination inventory not previously disposed of, excluding items authorized for disposition by the Contracting Officer. The Contractor may request the Government to remove those items or enter into an agreement for their storage. Within 15 days, the Government will accept title to those items and remove them or enter into a storage agreement. The Contracting Officer may verify the list upon removal of the items, or if stored, within 45 days from submission of the list, and shall correct the list, as necessary, before final settlement.

(e) After termination, the Contractor shall submit a final termination settlement proposal to the Contracting Officer in the form and with the certification prescribed by the Contracting Officer. The Contractor shall submit the proposal promptly, but no later than 1 year from the effective date of termination, unless extended in writing by the Contracting Officer upon written request of the Contractor within this 1-year period. However, if the Contracting Officer determines that the facts justify it, a termination settlement proposal may be received and acted on after 1 year or any extension. If the Contractor fails to submit the proposal within the time allowed, the Contracting Officer may determine, on the basis of information available, the amount, if any, due the Contractor because of the termination and shall pay the amount determined.

(f) Subject to paragraph (e) of this clause, the Contractor and the Contracting Officer may agree upon the whole or any part of the amount to be paid because of the termination. The amount may include a reasonable allowance for profit on work done. However, the agreed amount, whether under this paragraph (f) or paragraph (g) of this clause, exclusive of settlement costs, may not exceed the total contract price as reduced by (1) the amount of payments previously made and (2) the contract price of work

not terminated. The contract shall be amended and the Contractor paid the agreed amount. Paragraph (g) of this clause shall not limit, restrict, or affect the amount that may be agreed upon to be paid under this paragraph.

(g) If the Contractor and the Contracting Officer fail to agree on the whole amount to be paid because of the termination of work, the Contracting Officer shall pay the Contractor the amounts determined by the Contracting Officer as follows, but without duplication of any amounts agreed on under paragraph (f) of this clause:

(1) For contract work performed before the effective date of termination, the total (without duplication of any items) of--

(i) The cost of this work;

(ii) The cost of settling and paying termination settlement proposals under terminated subcontracts that are properly chargeable to the terminated portion of the contract, if not included in subdivision (g)(1)(i) of this clause; and

(iii) A sum, as profit on subdivision (g)(1)(i) of this clause, determined by the Contracting Officer under section 49.202 of the Federal Acquisition Regulation, in effect on the date of this contract, to be fair and reasonable; however, if it appears that the Contractor would have sustained a loss on the entire contract had it been completed, the Contracting Officer shall allow no profit under this subdivision (iii) and shall reduce the amount of the settlement to reflect the indicated rate of loss.

(2) The reasonable costs of settlement of the work terminated, including--

(i) Accounting, legal, clerical, and other expenses reasonably necessary for the preparation of termination settlement proposals and supporting data;

(ii) The termination and settlement of subcontracts (excluding the amounts of such settlements); and

(iii) Preservation and protection of property under subparagraph (b)(8) of this clause.

(h) Except for normal spoilage, and except to the extent that the Government expressly assumed the risk of loss, the Contracting Officer shall exclude from the amounts payable to the Contractor under paragraph (g) of this clause, the fair value as determined by the Contracting Officer, of property that is destroyed, lost, stolen, or damaged so as to become undeliverable to the Government or to a buyer.

(i) The cost principles and procedures of Part 31 of the Federal Acquisition Regulation, in effect on the date of this contract, shall govern all costs claimed, agreed to, or determined under this clause.

(j) The Contractor shall have the right of appeal, under the Disputes clause, from any determination made by the Contracting Officer under paragraph (e), (g), or (l) of this clause, except that if the Contractor failed to submit the termination settlement proposal within the time provided in paragraph (e) or (l) and failed to request a time extension,

there is no right of appeal. If the Contracting Officer has made a determination of the amount due under paragraph (e), (g), or (l) of this clause, the Government shall pay the Contractor (1) the amount determined by the Contracting Officer, if there is no right of appeal or if no timely appeal has been taken, or (2) the amount finally determined on an appeal.

(k) In arriving at the amount due the Contractor under this clause, there shall be deducted--

(1) All unliquidated advance or other payments to the Contractor under the terminated portion of this contract;

(2) Any claim which the Government has against the Contractor under this contract; and

(3) The agreed price for, or the proceeds of sale of, materials, supplies, or other things acquired by the Contractor or sold under the provisions of this clause and not recovered by or credited to the Government.

(l) If the termination is partial, the Contractor may file a proposal with the Contracting Officer for an equitable adjustment of the price(s) of the continued portion of the contract. The Contracting Officer shall make any equitable adjustment agreed upon. Any proposal by the Contractor for an equitable adjustment under this clause shall be requested within 90 days from the effective date of termination unless extended in writing by the Contracting Officer.

(m)(1) The Government may, under the terms and conditions it prescribes, make partial payments and payments against cost incurred by the Contractor for the terminated portion of the contract, if the Contracting Officer believes the total of these payments will not exceed the amount to which the Contractor will be entitled.

(2) If the total payments exceed the amount finally determined to be due, the Contractor shall repay the excess to the Government upon demand, together with interest computed at the rate established by the Secretary of the Treasury under 50 U.S.C. App. 1215(b)(2). Interest shall be computed for the period from the date the excess payment is received by the Contractor to the date the excess is repaid. Interest shall not be charged on any excess payment due to a reduction in the Contractor's termination settlement proposal because of retention or other disposition of termination inventory until 10 days after the date of the retention or disposition, or a later date determined by the Contracting Officer because of the circumstances.

(n) Unless otherwise provided in this contract or by statute, the Contractor shall maintain all records and documents relating to the terminated portion of this contract for 3 years after final settlement. This includes all books and other evidence bearing on the Contractor's costs and expenses under this contract. The Contractor shall make these records and documents available to the Government, at the Contractor's office, at all reasonable times, without any direct charge. If approved by the Contracting Officer, photographs, micro-photographs, or other

authentic reproductions may be maintained instead of original records and documents.

(End of clause)

83 52.249-10 DEFAULT (FIXED-PRICE CONSTRUCTION) (APR 1984)

(a) If the Contractor refuses or fails to prosecute the work or any separable part, with the diligence that will insure its completion within the time specified in this contract including any extension, or fails to complete the work within this time, the Government may, by written notice to the Contractor, terminate the right to proceed with the work (or the separable part of the work) that has been delayed. In this event, the Government may take over the work and complete it by contract or otherwise, and may take possession of and use any materials, appliances, and plant on the work site necessary for completing the work. The Contractor and its sureties shall be liable for any damage to the Government resulting from the Contractor's refusal or failure to complete the work within the specified time, whether or not the Contractor's right to proceed with the work is terminated. This liability includes any increased costs incurred by the Government in completing the work.

(b) The Contractor's right to proceed shall not be terminated nor the Contractor charged with damages under this clause, if-

(1) The delay in completing the work arises from unforeseeable causes beyond the control and without the fault or negligence of the Contractor. Examples of such causes include (i) acts of God or of the public enemy, (ii) acts of the Government in either its sovereign or contractual capacity, (iii) acts of another Contractor in the performance of a contract with the Government, (iv) fires, (v) floods, (vi) epidemics, (vii) quarantine restrictions, (viii) strikes, (ix) freight embargoes, (x) unusually severe weather, or (xi) delays of subcontractors or suppliers at any tier arising from unforeseeable causes beyond the control and without the fault or negligence of both the Contractor and the subcontractors or suppliers; and

(2) The Contractor, within 10 days from the beginning of any delay (unless extended by the Contracting Officer), notifies the Contracting Officer in writing of the causes of delay. The Contracting Officer shall ascertain the facts and the extent of delay. If, in the judgment of the Contracting Officer, the findings of fact warrant such action, the time for completing the work shall be extended. The findings of the Contracting Officer shall be final and conclusive on the parties, but subject to appeal under the Disputes clause.

(c) If, after termination of the Contractor's right to proceed, it is determined that the Contractor was not in default, or that the delay was excusable, the rights and obligations of the parties will be the same as if the termination had been issued for the convenience of the Government.

(d) The rights and remedies of the Government in this clause are in

addition to any other rights and remedies provided by law or under this contract.

(End of clause)

84 52.252-4 ALTERATIONS IN CONTRACT (APR 1984)

Portions of this contract are altered as follows:

N/A

(End of clause)

(R 7-105.1(a) 1949 JUL)

85 52.252-6 AUTHORIZED DEVIATIONS IN CLAUSES (APR 1984)

(a) The use in this solicitation or contract of any Federal Acquisition Regulation (48 CFR Chapter 1) clause with an authorized deviation is indicated by the addition of "(DEVIATION)" after the date of the clause.

(b) The use in this solicitation or contract of any DoD FAR Supplement (48 CFR Chapter 2 ) clause with an authorized deviation is indicated by the addition of "(DEVIATION)" after the name of the regulation.

(End of clause)

(NM)

86 52.201-7000 CONTRACTING OFFICER'S REPRESENTATIVE (DEC 1991)

(a) Definition. "Contracting officer's representative" means an individual designated in accordance with subsection 201.602-2 of the Defense Federal Acquisition Regulation Supplement and authorized in writing by the Contracting Officer to perform specific technical or administrative functions.

(b) If the Contracting Officer designates a contracting officer's representative (COR), the Contractor will receive a copy of the written designation. It will specify the extent of the COR's authority to act on behalf of the Contracting Officer. The COR is not authorized to make any commitments or changes that will affect price, quality, quantity, delivery, or any other term or condition of the contract.

(End of clause)

87 52.203-7001 PROHIBITION ON PERSONS CONVICTED OF FRAUD OR OTHER DEFENSE-CONTRACT-RELATED FELONIES (MAR 1999)

(a) Definitions.

As used in this clause--

(1) "Arising out of a contract with the DoD" means any act in connection with--

(i) Attempting to obtain;

(ii) Obtaining; or

(iii) Performing a contract or first-tier subcontract of any agency, department, or component of the Department of Defense (DoD).

(2) "Conviction of fraud or any other felony" means any conviction for fraud or a felony in violation of state or Federal criminal statutes, whether entered on a verdict or plea, including a plea of nolo contendere, for which sentence has been imposed.

(3) "Date of conviction" means the date judgment was entered against the individual.

(b) Any individual who is convicted after September 29, 1988, of fraud or any other felony arising out of a contract with the DoD is prohibited from serving--

(1) In a management or supervisory capacity on any DoD contract or first-tier subcontract;

(2) On the board of directors of any DoD contractor or first-tier subcontractor;

(3) As a consultant, agent, or representative for any DoD contractor or first-tier subcontractor; or

(4) In any other capacity with the authority to influence, advise, or control the decisions of any DoD contractor or subcontractor with regard to any DoD contract or first-tier subcontract.

(c) Unless waived, the prohibition in paragraph (b) of this clause applies for not less than 5 years from the date of conviction.

(d) 10 U.S.C. 2408 provides that a defense Contractor or first-tier subcontractor shall be subject to a criminal penalty of not more than \$500,000 if convicted of knowingly--

(1) Employing a person under a prohibition specified in paragraph (b) of this clause; or

(2) Allowing such a person to serve on the board of directors of the Contractor or first-tier subcontractor.

(e) In addition to the criminal penalties contained in 10 U.S.C. 2408, the Government may consider other available remedies, such as--

(1) Suspension or debarment;

(2) Cancellation of the contract at no cost to the Government; or

(3) Termination of the contract for default.

(f) The Contractor may submit written requests for waiver of the prohibition in paragraph (b) of this clause to the Contracting Officer. Requests shall clearly identify--

(1) The person involved;

(2) The nature of the conviction and resultant sentence or punishment imposed;

(3) The reasons for the requested waiver; and

(4) An explanation of why a waiver is in the interest of national security.

(g) The Contractor agrees to include the substance of this clause,



appropriately modified to reflect the identity and relationship of the parties, in all first-tier subcontracts exceeding the simplified acquisition threshold in Part 2 of the Federal Acquisition Regulation, except those for commercial items or components.

(h) Pursuant to 10 U.S.C. 2408(c), defense contractors and subcontractors may obtain information as to whether a particular person has been convicted of fraud or any other felony arising out of a contract with the DoD by contacting The Office of Justice Programs, The Denial of Federal Benefits Office, U.S. Department of Justice, telephone (202) 616-3507.

(End of clause)

## 88 52.204-7004 REQUIRED CENTRAL CONTRACTOR REGISTRATION (MAR 1998)

(a) Definitions. As used in this clause--

(1) "Central Contractor Registration (CCR) database" means the primary DoD repository for contractor information required for the conduct of business with DoD.

(2) "Data Universal Numbering System (DUNS) number" means the 9-digit number assigned by Dun and Bradstreet Information Services to identify unique business entities.

(3) "Data Universal Numbering System +4 (DUNS+4) number" means the DUNS number assigned by Dun and Bradstreet plus a 4-digit suffix that may be assigned by a parent (controlling) business concern. This 4-digit suffix may be assigned at the discretion of the parent business concern for such purposes as identifying subunits or affiliates of the parent business concern.

(4) "Registered in the CCR database" means that all mandatory information, including the DUNS number or the DUNS+4 number, if applicable, and the corresponding Commercial and Government Entity (CAGE) code, is in the CCR database; the DUNS number and the CAGE code have been validated; and all edits have been successfully completed.

(b)(1) By submission of an offer, the offeror acknowledges the requirement that a prospective awardee must be registered in the CCR database prior to award, during performance, and through final payment of any contract resulting from this solicitation, except for awards to foreign vendors for work to be performed outside the United States.

(2) The offeror shall provide its DUNS or, if applicable, its DUNS+4 number with its offer, which will be used by the Contracting Officer to verify that the offeror is registered in the CCR database.

(3) Lack of registration in the CCR database will make an offeror ineligible for award.

(4) DoD has established a goal of registering an applicant in the CCR database within 48 hours after receipt of a complete and

accurate application via the Internet. However, registration of an applicant submitting an application through a method other than the Internet may take up to 30 days. Therefore, offerors that are not registered should consider applying for registration immediately upon receipt of this solicitation.

(c) The Contractor is responsible for the accuracy and completeness of the data within the CCR, and for any liability resulting from the Government's reliance on inaccurate or incomplete data. To remain registered in the CCR database after the initial registration, the Contractor is required to confirm on an annual basis that its information in the CCR database is accurate and complete.

(d) Offerors and contractors may obtain information on registration and annual confirmation requirements by calling 1-888-227-2423, or via the Internet at <http://ccr.edi.disa.mil>.

(End of clause)

## 89 52.223-7001 HAZARD WARNING LABELS (DEC 1991)

(a) "Hazardous material," as used in this clause, is defined in the Hazardous Material Identification and Material Safety Data clause of this contract.

(b) The Contractor shall label the item package (unit container) of any hazardous material to be delivered under this contract in accordance with the Hazard Communication Standard (29 CFR 1910.1200 et seq.). The Standard requires that the hazard warning label conform to the requirements of the standard unless the material is otherwise subject to the labelling requirements of one of the following statutes:

- (1) Federal Insecticide, Fungicide and Rodenticide Act;
- (2) Federal Food, Drug and Cosmetics Act;
- (3) Consumer Product Safety Act;
- (4) Federal Hazardous Substances Act; or
- (5) Federal Alcohol Administration Act.

(c) The Offeror shall list which hazardous material listed in the Hazardous Material Identification and Material Safety Data clause of this contract will be labelled in accordance with one of the Acts in paragraphs (b)(1) through (5) of this clause instead of the Hazard Communication Standard. Any hazardous material not listed will be interpreted to mean that a label is required in accordance with the Hazard Communication Standard.

Material (if none, insert "none.")	Act

(d) The apparently successful Offeror agrees to submit, before award, a copy of the hazard warning label for all hazardous materials not listed in paragraph (c) of this clause. The Offeror shall submit the label with the Material Safety Data Sheet being furnished under the Hazardous Material Identification and Material Safety Data clause of this contract.

(e) The Contractor shall also comply with MIL-STD-129, Marking for Shipment and Storage (including revisions adopted during the term of this contract).

(End of clause)

#### 90 52.223-7004 DRUG-FREE WORK FORCE (SEP 1988)

(a) Definitions.

(1) "Employee in a sensitive position," as used in this clause, means an employee who has been granted access to classified information; or employees in other positions that the Contractor determines involve national security, health or safety, or functions other than the foregoing requiring a high degree of trust and confidence.

(2) "Illegal drugs," as used in this clause, means controlled substances included in Schedules I and II, as defined by section 802(6) of Title 21 of the United States Code, the possession of which is unlawful under Chapter 13 of that Title. The term "illegal drugs" does not mean the use of a controlled substance pursuant to a valid prescription or other uses authorized by law.

(b) The Contractor agrees to institute and maintain a program for achieving the objective of a drug-free work force. While this clause defines criteria for such a program, contractors are encouraged to implement alternative approaches comparable to the criteria in paragraph (c) that are designed to achieve the objectives of this clause.

(c) Contractor programs shall include the following, or appropriate alternatives:

(1) Employee assistance programs emphasizing high level direction, education, counseling, rehabilitation, and coordination with available community resources;

(2) Supervisory training to assist in identifying and addressing illegal drug use by Contractor employees;

(3) Provision for self-referrals as well as supervisory referrals to treatment with maximum respect for individual confidentiality consistent with safety and security issues;

(4) Provision for identifying illegal drug users, including testing on a controlled and carefully monitored basis. Employee drug testing programs shall be established taking account of the following:

(i) The Contractor shall establish a program that provides for testing for the use of illegal drugs by employees in sensitive positions. The extent of and criteria for such testing shall be determined by the Contractor based on considerations that include the

nature of the work being performed under the contract, the employee's duties, the efficient use of Contractor resources, and the risks to health, safety, or national security that could result from the failure of an employee adequately to discharge his or her position.

(ii) In addition, the Contractor may establish a program for employee drug testing--

(A) When there is a reasonable suspicion that an employee uses illegal drugs; or

(B) When an employee has been involved in an accident or unsafe practice;

(C) As part of or as a follow-up to counseling or rehabilitation for illegal drug use;

(D) As part of a voluntary employee drug testing program.

(iii) The Contractor may establish a program to test applicants for employment for illegal drug use.

(iv) For the purpose of administering this clause, testing for illegal drugs may be limited to those substances for which testing is prescribed by section 2.1 of Subpart B of the "Mandatory Guidelines for Federal Workplace Drug Testing Programs" (53 FR 11980 (April 11 1988)), issued by the Department of Health and Human Services.

(d) Contractors shall adopt appropriate personnel procedures to deal with employees who are found to be using drugs illegally. Contractors shall not allow any employee to remain on duty or perform in a sensitive position who is found to use illegal drugs until such time as the Contractor, in accordance with procedures established by the Contractor, determines that the employee may perform in such a position.

(e) The provisions of this clause pertaining to drug testing programs shall not apply to the extent they are inconsistent with state or local law, or with an existing collective bargaining agreement; provided that with respect to the latter, the Contractor agrees that those issues that are in conflict will be a subject of negotiation at the next collective bargaining session.

(End of clause)

## 91 52.227-7033 RIGHTS IN SHOP DRAWINGS (APR 1966)

(a) Shop drawings for construction means drawings, submitted to the Government by the Construction Contractor, subcontractor or any lower-tier subcontractor pursuant to a construction contract, showing in detail (i) the proposed fabrication and assembly of structural elements and (ii) the installation (i.e., form, fit, and attachment details) of materials or equipment. The Government may duplicate, use, and disclose in any manner and for any purpose shop drawings delivered under this contract.

(b) This clause, including this paragraph (b), shall be included in all subcontracts hereunder at any tier.

(End of clause)

## 92 52.231-7000 SUPPLEMENTAL COST PRINCIPLES (DEC 1991)

When the allowability of costs under this contract is determined in accordance with Part 31 of the Federal Acquisition Regulation (FAR), allowability shall also be determined in accordance with Part 231 of the Defense FAR Supplement, in effect on the date of this contract.

(End of clause)

## 93 52.236-7000 MODIFICATION PROPOSALS--PRICE BREAKDOWN (DEC 1991)

(a) The Contractor shall furnish a price breakdown, itemized as required and within the time specified by the Contracting Officer, with any proposal for a contract modification.

(b) The price breakdown--

(1) Must include sufficient detail to permit an analysis of profit, and of all costs for--

- (i) Material;
- (ii) Labor;
- (iii) Equipment;
- (iv) Subcontracts; and
- (v) Overhead; and

(2) Must cover all work involved in the modification, whether the work was deleted, added, or changed.

(c) The Contractor shall provide similar price breakdowns to support any amounts claimed for subcontracts.

(d) The Contractor's proposal shall include a justification for any time extension proposed.

(End of clause)

## 94 52.243-7001 PRICING OF CONTRACT MODIFICATIONS (DEC 1991)

When costs are a factor in any price adjustment under this contract, the contract cost principles and procedures in FAR Part 31 and DFARS Part 231, in effect on the date of this contract, apply.

(End of clause)

## 95 52.0-4000 CONTRACT CLAUSE AND SOLICITATION PROVISION NUMBERING SYSTEM

This document is computer-generated by the Standard Army Automated Contracting System (SAACONS). The numbering system used by the computer for contract clauses and solicitation provisions differs slightly from the procurement regulations but is similar and easily recognizable. The Federal Acquisition Regulation (FAR) uses a numbering system for contract clause and solicitation provisions as

follows:

52.2xx-1 and higher (e.g., 52.215-5)

SAACONS uses a 10-digit number in the format of 52.02xx-xxxx. The SAACONS number for the same clause would be 52.0215-0005. FAR contract clauses and solicitation provisions are recognized by a "0" in the 6th digit of the SAACONS number. Department of Defense Federal Acquisition Regulation Supplement (DFARS) contract clauses and solicitation provisions are recognized by a "7" in the 6th digit of the SAACONS number. Army Federal Acquisition Regulation Supplement (AFARS) contract clauses and solicitation provisions are recognized by a "9" in the 6th digit of the SAACONS number. Engineer Federal Acquisition Regulation Supplement (EFARS) contract clauses and solicitation provisions are recognized by a "5" in the 6th digit of the SAACONS number. Local clauses/provisions are identified by three zeros in positions three through five. A summary example of the difference in the numbering systems is as follows:

FAR: 52.227-1	SAACONS: 52.227-0001
DFARS: 52.243-7000	SAACONS: 52.243-7000
AFARS: 52.237-9030	SAACONS: 52.237-9030
EFARS: 52.214-5000	SAACONS: 52.214-5000
LOCAL CLAUSES AND OTHER	SAACONS: 52.000-4001
UPDATED/NEW FAR, DFARS,	
AFARS, AND EFARS CLAUSES	

END OF SECTION 00700

DITCH NO. 1 AND DITCH NO. 6  
COUNTY BRIDGE AT MILES 1.21 AND 2.95  
PEMISCOT COUNTY, MISSOURI  
ST. FRANCIS BASIN PROJECT - CONSTRUCTION

SECTION 00800

SPECIAL CONTRACT REQUIREMENTS

TABLE OF CONTENTS

<u>Para No.</u>	<u>Paragraph Title</u>	<u>Page No.</u>
1.1	Commencement, Prosecution, and Completion of Work	00800-1
1.2	Not Used	00800-1
1.3	Liquidated Damages-Construction	00800-1
1.4	Not Used	00800-1
1.5	Contract Drawings, Maps, and Specifications	00800-1
1.6	Physical Data	00800-2
1.7	Rights-of-way	00800-2
1.8	Layout of Work	00800-3
1.9 thru 1.10	Not Used	00800-4
1.11	Progress Chart	00800-4
1.12	Safety-Related Special Requirements	00800-4
1.13	Basis for Settlement of Proposals	00800-6
1.14	Certificates of Compliance	00800-6
1.15	Contractor's Certificate	00800-7
1.16	Shop Drawings	00800-7
1.17	As-Built Drawings	00800-7
1.18	Damage to Work	00800-7
1.19	Notification of Area Engineer Before Beginning Work	00800-8
1.20	Equipment Ownership and Operating Expense Schedule	00800-8
1.21	Retesting of Construction Materials	00800-8
1.22	Vehicle Weight Limitations	00800-9
1.23	Obstructions	00800-9
1.24	Not Used	00800-10
1.25	Performance of Work by the Contractor	00800-10
1.26	Continuing Contracts	00800-10
1.27	Not Used	00800-11
1.28	Time Extensions for Unusually Severe Weather	00800-11
1.29	Not Used	00800-12
1.30	Stone Sources	00800-12
1.31	Field Office Building	00800-12
1.32 thru 1.34	Not Used	00800-13
1.35	Temporary Project Fencing	00800-13
1.36 thru 1.38	Not Used	00800-13
1.39	Sunday, Holiday and Night Work	00800-13
1.40 thru 1.41	Not Used	00800-13
1.42	Storage of Equipment and Materials	00800-13
1.43	Warranty of Construction	00800-13
1.44	Not Used	00800-14
1.45	Availability and Use of Utility Services	00800-14
1.46	Commercial Warranty	00800-14
1.47	Not Used	00800-14
1.48	Payment for Material Stored Offsite	00800-14
1.49 thru 1.62	Not Used	00800-15
1.63	Designated Billing Office	00800-15
1.64	Year 2000 Compliance	00800-15

DITCH NO. 1 AND DITCH NO. 6  
COUNTY BRIDGE AT MILES 1.21 AND 2.95  
PEMISCOT COUNTY, MISSOURI  
ST. FRANCIS BASIN PROJECT - CONSTRUCTION

SECTION 00800 - SPECIAL CONTRACT REQUIREMENTS

1.1. COMMENCEMENT, PROSECUTION, AND COMPLETION OF WORK (APR 1984). The Contractor shall be required to (a) commence work under this contract within 10 calendar days after the date the Contractor receives the notice to proceed, (b) prosecute the work diligently, and (c) complete the entire work ready for use not later than 355 calendar days after the date of receipt by him of notice to proceed. The time stated for completion shall include final cleanup of the premises. (FAR 52.211-10)

1.2. NOT USED.

1.3. LIQUIDATED DAMAGES-CONSTRUCTION (APR 1984).

a. If the Contractor fails to complete the work within the time specified in the contract, or any extension, the Contractor shall pay to the Government as liquidated damages, the sum of \$345.00 for each day of delay.

b. If the Government terminates the Contractor's right to proceed, the resulting damage will consist of liquidated damages until such reasonable time as may be required for final completion of the work together with any increased costs occasioned the Government in completing the work.

c. If the Government does not terminate the Contractor's right to proceed, the resulting damage will consist of liquidated damages until the work is completed or accepted. (FAR 52.211-12)

1.4. NOT USED.

1.5. CONTRACT DRAWINGS, MAPS, AND SPECIFICATIONS (DEC 1991).

a. The Government will provide the Contractor, without charge, a compact disk (CD) containing contract drawings and specifications (except publications incorporated into the technical provisions by reference) and any amendments that were issued.

b. The Contractor shall--

- (1) Check all drawings furnished immediately;
- (2) Compare all drawings and verify the figures before laying out the work;
- (3) Promptly notify the Contracting Officer of any discrepancies; and
- (4) Be responsible for any errors which might have been avoided by complying with this paragraph b.

c. Figures marked on drawings shall, in general, be followed in preference to scale measurements.

d. Omissions from the drawings or specifications or the misdescription of details of work which are manifestly necessary to carry out the intent of the drawings and specifications, or which are customarily performed, shall not



relieve the Contractor from performing such omitted or misdescribed details of the work, but shall be performed as if fully and correctly set forth and described in the drawings and specifications.

e. The work shall conform to the specifications and the contract drawings identified on the following index of drawings:

DITCH NO. 1 AND DITCH NO. 6  
COUNTY BRIDGE AT MILES 1.21 AND 2.95  
PEMISCOT COUNTY, MISSOURI  
ST. FRANCIS BASIN PROJECT - CONSTRUCTION

FILE NO. 001A0644 thru 009A0644  
001A0197, 001A215 thru 001A0217  
001A0213 thru 004A0213

INDEX TO DRAWINGS

<u>TITLE</u>	<u>DRAWING NUMBER</u>
MAPS AND INDEX	1
SITE PLAN (MILE 1.21)	2
ROADWAY CROSS SECTIONS (MILE 1.21)	3
PLAN AND ELEVATION (MILE 1.21)	4
SITE PLAN (MILE 2.95)	5
ROADWAY CROSS SECTIONS (MILE 2.95)	6
PLAN AND ELEVATION (MILE 2.95)	7
SUBSTRUCTURE DETAILS (MILES 1.21 & 2.95)	8
BORING LOGS (MILES 1.21 & 2.95)	9
STANDARD BORING LEGEND	10
STANDARD 31' PRECAST CONCRETE SPANS	11
STANDARD 25' PRECAST CONCRETE SPANS	12
STANDARD 19' PRECAST CONCRETE SPANS	13
STANDARD CONCRETE PILE DETAILS	14
STANDARD TRAFFIC CONTROL DETAILS	15
STANDARD RIPRAP DETAILS	16
STANDARD GUARD RAIL DETAILS	17

(DFARS 252, 236 - 7001)

1.6. PHYSICAL DATA (APR 1984). Data and information furnished or referred to below are for the Contractor's information. The Government shall not be responsible for any interpretation of or conclusion drawn from the data or information by the Contractor.

a. The indications of physical conditions on the drawings and in the specifications are the result of site investigations by aerial photographs and topographic surveys.

b. Weather Conditions. Information with respect to temperatures and precipitation may be obtained from the National Weather Service. Also see 1.28, "Time Extensions for Unusually Severe Weather".

c. Additional Data. Additional data consisting of cross sections, river stage records, records of borings, and boring samples may be available for inspection at the U.S. Army Engineer District, Memphis, Tennessee. (FAR 52.236-4)

1.7. RIGHTS-OF-WAY.

a. The rights-of-way and easements for the work to be constructed under this contract within the limits indicated on the drawings will be provided by the Government without cost to the Contractor. However, the Contractor shall

make his own arrangements with the appropriate owners or organizations for transporting his equipment across, over or under railroad tracks, highways, bridges, private property, and utility lines and shall provide at his own expense any additional right-of-way or easements required to effect such crossings, including insurance requirements of owners. Limits of right-of-way which will be provided by the Government are as indicated on the drawings.

b. The Contractor shall, upon reasonable notice, without expense to the Government and at any time during the progress of the work when not being actively used for contract operations, promptly vacate and clean up any part of the Government grounds that have been allotted to or have been in use by him when directed to do so by the Contracting Officer.

c. The Contractor shall not obstruct any existing roads on the lands controlled by the United States except with the permission of the Contracting Officer, and shall maintain such roads in as good condition as exists at the time of commencement of the work.

d. Any additional right-of-way required for access or for the Contractor's method of operation must be obtained by and at the expense of the Contractor. The Contractor shall submit written evidence to the Contracting Officer that he has obtained the rights-of-way from the property owners. The written evidence shall consist of an authenticated copy of the conveyance under which the Contractor acquired the rights-of-way, prepared and executed in accordance with the laws of the State of Arkansas. If temporary rights are obtained by the Contractor, the period of time shall coincide with Clause 1.1, "Commencement, Prosecution, and Completion of Work", of the SPECIAL CONTRACT REQUIREMENTS CLAUSES, plus a reasonable time for any extension granted for completion of the work. The Contractor shall be solely responsible for any and all damages, claims for damages, and liability of any nature whatsoever arising from or growing out of the use of rights-of-way other than those rights-of-way furnished by the Government.

e. The Contractor shall repair, at his own expense, any and all damage to the existing roads when such damage is a result of his operations on this contract. The Contractor shall also replace, at his own expense, any and all surfacing displaced or damaged by his operations on this contract. The repairs and/or replacement shall be done to the satisfaction of the Contracting Officer.

#### 1.8. LAYOUT OF WORK.

a. The Contractor will establish the following baselines and bench marks at the site of the work:

(1) Baselines as shown on the drawings.

(2) Bench marks as shown on the drawings.

b. The Contractor shall complete the layout of the work and shall be responsible for all measurements that may be required for the execution of the work to the location and limit marks prescribed in the specifications or on the contract drawings, subject to such modifications as the Contracting Officer may require to meet changed conditions or as a result of necessary modifications to the contract work.

c. The Contractor shall furnish, at his own expense, such stakes, templates, platforms, equipment, tools and materials, and all labor as may be required in laying out any part of the work. It shall be the responsibility of the Contractor to maintain and preserve all stakes and marks established by the Contracting Officer until authorized to remove them, and if such marks are destroyed, by the Contractor or through his negligence, prior to their authorized removal, they may be replaced by the Contracting Officer, at his discretion, and

the expense of replacement will be deducted from any amounts due or to become due the Contractor. The Contracting Officer may require that work be suspended at any time when location and limit marks established by the Contractor are not reasonably adequate to permit checking of the work.

1.9. THRU 1.10. NOT USED.

1.11. PROGRESS CHART. The progress chart required by provisions of paragraph (a) of the CONTRACT CLAUSE entitled "Schedules for Construction Contracts" shall be prepared on ENG Form 2454, copies of which will be furnished to the Contractor by the Government. THREE COPIES OF THE SCHEDULE WILL BE REQUIRED.

1.12. SAFETY-RELATED SPECIAL REQUIREMENTS. ALL WORK UNDER THIS CONTRACT SHALL COMPLY WITH THE LATEST VERSION OF U.S. ARMY CORPS OF ENGINEERS SAFETY AND HEALTH REQUIREMENTS MANUAL, EM 385-1-1, AND OCCUPATIONAL SAFETY AND HEALTH ACT (OSHA) STANDARDS IN EFFECT ON THE DATE OF THE SOLICITATION. NO SEPARATE PAYMENT WILL BE MADE FOR COMPLIANCE WITH EM 385-1-1, NOR FOR COMPLIANCE WITH ANY OF THE OTHER SAFETY-RELATED SPECIAL REQUIREMENTS.

a. Accident Investigations and Reporting. Refer to EM 385-1-1, Section 1. Accidents shall be investigated and reports completed by the immediate supervisor of the employee(s) involved and reported to the Contracting Officer or his representative within one working day after the accident occurs.

b. Accident Prevention Program. Refer to the CONTRACT CLAUSE entitled, "Accident Prevention (Alternate I)". Within 21 days after receipt of Notice of Award of the contract, four copies of the Accident Prevention Program shall be submitted to the Contracting Officer for review and approval. The program shall be prepared in the following format:

(1) An executed LMV Form 358R, Administrative Plan (available upon request).

(2) An executed LMV Form 359R, Activity Hazard Analysis (available upon request).

(3) A copy of company policy statement regarding accident prevention.

(4) When marine plant and equipment are in use under a contract, the method of fuel oil transfer shall be submitted on LMV Form 414R, Fuel Oil Transfer, (available upon request). (Refer to 33 CFR 156.)

The Contractor shall not commence physical work at the site until the program has been approved by the Contracting Officer, or his authorized representative. At the Contracting Officer's discretion, the Contractor may submit his Activity Hazard Analysis for only the first phase of construction provided that it is accompanied by an outline of the remaining phases of construction. All remaining phases shall be submitted and accepted prior to the beginning of work in each phase. Also refer to Section 1 of EM 385-1-1.

c. Daily Inspections. The Contractor shall perform daily safety inspections and record them on the forms approved by the Contracting Officer. Reports of daily inspections shall be maintained at the jobsite. The reports shall be records of the daily inspections and resulting actions. Each report will include, as a minimum, the following:

(1) Phase(s) of construction underway during the inspection.

(2) Locations or areas inspections were made.

(3) Results of inspection, including nature of deficiencies observed and corrective actions taken, or to be taken, date, and signature of the person responsible for its contents.

d. Machinery and Mechanized Equipment. Machinery and mechanized equipment used under this contract shall comply with the following:

(1) When mechanized equipment is operated on floating plant, the Contractor shall provide positive and acceptable means of preventing this equipment from moving or falling into the water. The type of equipment addressed by this clause includes front-end loaders, bulldozers, trucks (both on- and off-road), backhoes, track hoes, and similar equipment. If the Contractor plans to use such equipment on floating plant, an activity hazard analysis must be developed for this feature of work. The plan must include a detailed explanation of the type or types of physical barriers, curbs, structures, etc., which will be incorporated to protect the operator and prevent the equipment from entering the water. Nonstructural warning devices may be considered for situations where the use of structural barriers is determined to be impracticable. The activity hazard analysis must thoroughly address the procedure and be submitted to the Corps for review and acceptance prior to start of this feature of work.

(2) The stability of crawler, truck, and wheeled mounted cranes shall be assured.

(a) The manufacturer's load-rating chart may be used to determine the maximum allowable working load for each particular crane's boom angle provided a test load, with a boom angle of 20 degrees, confirms the manufacturer's load-rating table.

(b) Stability tests are required if:

(i) There is no manufacturer's load-rating chart securely fixed to the operator's cab;

(ii) There has been a change in the boom or other structural members; or

(iii) There has been a change in the counter-weight.

The test shall consist of lifting a load with the boom in the least stable undercarriage position and at an angle of 20 degrees above the horizontal. The test shall be conducted under close supervision on a firm, level surface. The load that tilts the machine shall be identified as the test load. The test load moment (in ft-lbs) shall then be calculated by multiplying the horizontal distance (in ft) from the center of rotation of the machine to the test load, times the test load (in lbs). Three-fourths of this test load moment shall then be used to compute the maximum allowable operating loads for the boom at 20, 40, 60, and 80 degrees above horizontal. From these maximum allowable operating loads, a curve shall be plotted and posted in the cab of the machine in sight of the operator. These values shall not be exceeded except in the performance test described below. The test load shall never exceed 110 percent of the manufacturer's maximum rated capacity.

(c) In lieu of the test and computations above, the crane may be load tested for stability at each of the four boom positions listed above.

(3) Performance tests shall be performed in accordance with Section 16 of EM 385-1-1. Performance tests shall be conducted after each stability test, when the crane is placed in service on a project, and at least every 12 months.

(4) Inspections shall be made which will ensure a safe and economical operation of both cranes and draglines. Specific inspections and their frequencies are listed on the appropriate check lists noted below. Results of inspections and tests for cranes shall be recorded on the Safety Inspection Checklist, LMV Form 326R (available upon request), and inspection results for draglines shall be recorded on LMV Form 373R (available upon request). Copies of the inspections and tests shall be available at the jobsite for review. All stability and performance tests on cranes and all complete dragline inspections shall be witnessed by the Contracting Officer or his authorized representative.

(5) A complete dragline inspection shall be made:

(a) At least annually;

(b) Prior to the dragline being placed in operation;  
and

(c) After the dragline has been out of service for more than six months.

e. Safety Sign. The Contractor shall furnish, erect, and maintain a safety sign at the site, as located by the Contracting Officer. The sign shall conform to the requirements of this paragraph and the drawing entitled "Safety Sign", included at the end of these Special Contract Requirements. The lettering shall be black and the background white. When placed on a floating plant, the sign may be half size. Upon request, the Government will furnish a decal of the Engineer Castle. The sign shall be erected as soon as practicable, but not later than 15 calendar days after the date established for commencement of work. The data required shall be current.

1.13. BASIS FOR SETTLEMENT OF PROPOSALS (JAN 1997). Actual costs will be used to determine equipment costs for a settlement proposal submitted on the total cost basis under FAR 49.206-2(b). In evaluating a terminations settlement proposal using the total cost basis, the following principles will be applied to determine allowable equipment costs:

(1) Actual costs for each piece of equipment, or groups of similar serial or series equipment, need not be available in the Contractor's accounting records to determine total actual equipment costs.

(2) If equipment costs have been allocated to a contract using predetermined rates, those charges will be adjusted to actual costs.

(3) Recorded job costs adjusted for unallowable expenses will be used to determine equipment operating expenses.

(4) Ownership costs (depreciation) will be determined using the Contractor's depreciation schedule (subject to the provisions of FAR 31.205-11).

(5) License, taxes, storage and insurance costs are normally recovered as an indirect expense and unless the Contractor charges these costs directly to contracts, they will be recovered through the indirect expense rate. (EFARS 52.249-5000)

1.14. CERTIFICATES OF COMPLIANCE. Any certificates required for demonstrating proof of compliance of material with specification requirements shall be executed in four (4) copies. Each certificate shall be signed by an official authorized to certify on behalf of the manufacturing company and shall contain the name and address of the Contractor, the project name and location, and the quantity and date or dates of shipment or delivery to which the certificates apply. Copies of laboratory test reports submitted with certifi-

cates shall contain the name and address of the testing laboratory and the date or dates of the test to which the report applies. Certification shall not be construed as relieving the Contractor from furnishing satisfactory material, if, after tests are performed on selected samples, the material is found not to meet the specific requirements.

1.15. CONTRACTOR'S CERTIFICATE. Each submittal of shop drawings and materials data shall be accompanied by a certificate, signed by the head of the Quality Control Organization of the prime Contractor, that the prime Contractor has reviewed in detail all shop drawings and materials contained in the submittal and that they are correct and in strict conformance with the contract drawings and specifications except as may be otherwise explicitly stated. The Government will first check for the Contractor's certificate and then review and render approval action or indicate disapproval in those cases where contract requirements are not fulfilled.

1.16. SHOP DRAWINGS. The Contractor shall submit to the Contracting Officer for approval 6 copies of all shop drawings as called for under the various headings of these specifications. These drawings shall be complete and detailed. If approved by the Contracting Officer, each copy of the drawings will be identified as having received such approval by being so stamped and dated. The Contractor shall make any correction required by the Contracting Officer. If the Contractor considers any correction indicated on the drawings to constitute a change to the contract drawings or specifications, notice as required under the CONTRACT CLAUSE entitled "Changes", will be given to the Contracting Officer. Five sets of all shop drawings will be retained by the Contracting Officer and one set will be returned to the Contractor. The approval of the drawings by the Contracting Officer shall not be construed as a complete check, but will indicate only that the general method of construction and detailing is satisfactory. Approval of such drawings will not relieve the Contractor of the responsibility for any errors which may exist as the Contractor shall be responsible for the dimensions and design of adequate connections, details, and satisfactory construction of all work.

1.17. AS-BUILT DRAWINGS. The Contractor shall maintain two (2) full-size sets of the Contract drawings depicting a current record of the work as actually constructed. One set is for the Contractor's use and one for the Government's use. These working as-built drawing red-line mark-ups may be manually or electronically generated using the construction plans. These working as-built drawings shall be reviewed at least monthly with the Contracting Officer, prior to the Contractor submitting a request for progress payment. Both shall certify that the as-built drawings are accurate and up-to-date before progress payment is made. Upon completion of the work and not later than 60 days from acceptance, the Contractor shall deliver a complete final set of the as-built red-line marked-up plans depicting the construction as actually accomplished. The final as-built drawings shall be identified as such by marking or stamping them with the words "AS-BUILT DRAWINGS" in letters at least 3/16" high. Those drawings where no change is involved shall be marked or stamped "AS-BUILT, NO CHANGE". Compliance and delivery of the final as-built drawings will be enforced through the approval of final payment. Also, the quality of the final as-built drawings will be reflected in the Contract's performance evaluation.

1.18. DAMAGE TO WORK. The responsibility for damage to any part of the permanent work shall be as set forth in the CONTRACT CLAUSE entitled "Permits and Responsibilities". However, if, in the judgement of the Contracting Officer, any part of the permanent work performed by the Contractor is damaged by flood, tornado, or earthquake, which damage is not due to the failure of the Contractor to take reasonable precautions or to exercise sound engineering and construction practices in the conduct of the work, the Contractor will make the repairs as ordered by the Contracting Officer and full compensation for such repairs will be made at the applicable contract unit or lump sum prices as fixed and established in the contract. If, in the opinion of the Contracting Officer, there are

no contract unit or lump sum prices applicable to any part of such work, an equitable adjustment pursuant to the CONTRACT CLAUSE entitled "Changes" will be made as full compensation for the repairs of that part of the permanent work for which there are no applicable contract unit or lump sum prices. Except as herein provided, damage to all work (including temporary construction), utilities, materials, equipment and plant shall be repaired to the satisfaction of the Contracting Officer at the Contractor's expense regardless of the cause of such damage.

1.19. NOTIFICATION OF AREA ENGINEER BEFORE BEGINNING WORK. At least 7 days before beginning work, the Contractor shall notify Mr. Stephen P. Shankle, Area Engineer, Caruthersville Area Office, 706 Harry S. Truman Blvd., Caruthersville, Missouri, 63830-1268. (573) 333-1043. COLLECT CALLS WILL NOT BE ACCEPTED.

1.20. EQUIPMENT OWNERSHIP AND OPERATING EXPENSE SCHEDULE (MAR 1995).

a. This clause does not apply to terminations. See SPECIAL CONTRACT REQUIREMENT entitled, "Basis for Settlement of Proposals" and FAR Part 49.

b. Allowable cost for construction and marine plant and equipment in sound workable condition owned or controlled and furnished by a Contractor or subcontractor at any tier shall be based on actual cost data for each piece of equipment or groups of similar serial and series for which the Government can determine both ownership and operating costs from the Contractor's accounting records. When both ownership and operating costs cannot be determined for any piece of equipment or groups of similar serial or series equipment from the Contractor's accounting records, costs for that equipment shall be based upon the applicable provisions of EP 1110-1-8, Construction Equipment Ownership and Operating Expense Schedule, Region III. Working conditions shall be considered to be average for determining equipment rates using the schedule unless specified otherwise by the Contracting Officer. For equipment not included in the schedule, rates for comparable pieces of equipment may be used or a rate may be developed using the formula provided in the schedule. For forward pricing, the schedule in effect at the time of negotiations shall apply. For retroactive pricing, the schedule in effect at the time the work was performed shall apply.

c. Equipment rental costs are allowable, subject to the provisions of FAR 31.105(d)(2)(ii) and FAR 31.205-36. Rates for equipment rented from an organization under common control, lease-purchase arrangements, and sale-lease-back arrangements, will be determined using the schedule, except that actual rates will be used for equipment leased from an organization under common control that has an established practice of leasing the same or similar equipment to unaffiliated lessees.

d. When actual equipment costs are proposed and the total amount of the pricing action exceeds the small purchase threshold, the Contracting Officer shall request the Contractor to submit either certified cost or pricing data, or partial/limited data, as appropriate. The data shall be submitted on Standard Form 1411, Contract Pricing Proposal Cover Sheet. (EFARS 52.231-5000)

NOTE: THE CONTRACTOR MAY PURCHASE THE EQUIPMENT MANUAL FROM THE GOVERNMENT PRINTING OFFICE. THE GOVERNMENT PRINTING OFFICE TELEPHONE NO. IS 202-512-1800.

1.21. RETESTING OF CONSTRUCTION MATERIALS. Unless otherwise specified, where the Technical Specifications state that tests will be performed at the expense of the Government, the cost of only the initial test will be borne by the Government. Any retesting due to failure of the materials to meet the requirements in the initial test or any retesting requested by the Contractor shall be performed at the Contractor's expense. The retests shall be at laboratories approved by the Contracting Officer. The costs of retests made at Government laboratories will be deducted from the total amount due the Contractor.

1.22. VEHICLE WEIGHT LIMITATIONS. Vehicle weight limitations for operation on roads, streets, and bridges may affect the prosecution of work under this contract. The Contractor will be responsible for obtaining all necessary licenses and permits in accordance with the CONTRACT CLAUSE entitled "Permits and Responsibilities".

1.23. OBSTRUCTIONS.

- a. All existing utilities will have been temporarily rerouted by others prior to bridge construction. If the Contractor desires additional relocations for his convenience, the Contractor shall make his own arrangements with the owners of the utilities. No separate payment as such will be made for the alteration of these utilities and the costs in connection therewith shall be considered as an incidental expense to the Contractor. The Contractor shall exercise special care when working in the vicinity of the utilities to prevent damage or injury to the Contractor's employees or others.
- b. The Contractor shall note 7.2KV powerline and 2" U.G. waterline on drawing No. 2 and two 12KV powerlines and U.G. telephone cable on drawing No. 5.
- c. Contacts for various utilities are listed below:

Utilities and Owners

<u>Location</u>	<u>Type of Utility</u>	<u>Owner and Address</u>
Mile 1.21	7.2 KV Powerline	Mr. Charles Guest Union Electric Company 2805 Highway 84 Hayti, Missouri 63851 (573) 333-6601
Mile 1.21	2" U.G. Wateline	Mr. Harrison Hostler Consolidated Public Water Supply District No. 1 Post Office Box 36 Hayti, Missouri 63851 (573) 359-1713
Mile 2.95	Two - 12 KV Powerlines	Mr. Charles Guest Union Electric Company 2805 Highway 84 Hayti, Missouri 63851 (573) 333-6601
Mile 2.95	U.G. Telephone Cable	Mr. Roger Statler Southwestern Bell Telephone 208 College Street Kennett, Missouri 63857 (573) 888-5328

NOTE: Prior to bridge construction, the contractor shall call the "Call Before You Dig" number for Missouri (1-800-DIG-RITE or 1-800-344-7483).



1.24. NOT USED.

1.25. PERFORMANCE OF WORK BY THE CONTRACTOR (APR 1984). The Contractor shall perform on the site, and with its own organization, work equivalent to at least fifteen (15) percent of the total amount of work to be performed under the contract. This percentage may be reduced by a supplemental agreement to this contract if, during performing the work, the Contractor requests a reduction and the Contracting Officer determines that the reduction would be to the advantage of the Government. (FAR 52.236-1)

1.26. CONTINUING CONTRACTS (MAR 1995).

a. This is a continuing contract, as authorized by Section 10 of the River and Harbor Act of September 22, 1922 (33 U.S. Code 621). The payment of some portion of the contract price is dependent upon reservations of funds from future appropriations, and from future contribution to the project having one or more non-federal project sponsors. The responsibilities of the Government are limited by this clause notwithstanding any contrary provision of the "Payments Under Fixed-Price Construction Contracts" clause or any other clause of this contract.

b. The sum of \$1,000.00 has been reserved for this contract and is available for payments to the Contractor during the current fiscal year. It is expected that Congress will make appropriations for future fiscal years from which additional funds together with funds provided by one or more non-federal project sponsors will be reserved for this contract.

c. Failure to make payments in excess of the amount currently reserved, or that may be reserved from time to time, shall not entitle the Contractor to a price adjustment under the terms of this contract except as specifically provided in paragraphs "f" and "i" below. No such failure shall constitute a breach of this contract, except that this provision shall not bar a breach-of-contract action if an amount finally determined to be due as a termination allowance remains unpaid for one year due solely to a failure to reserve sufficient additional funds therefor.

d. The Government may at any time reserve additional funds for payments under the contract if there are funds available for such purpose. The Contracting Officer will promptly notify the Contractor of any additional funds reserved for the contract by issuing an administrative modification to the contract.

e. If earnings will be such that funds reserved for the contract will be exhausted before the end of any fiscal year, the Contractor shall give written notice to the Contracting Officer of the estimated date of exhaustion and the amount of additional funds which will be needed to meet payments due or to become due under the contract during that fiscal year. This notice shall be given not less than 45 nor more than 60 days prior to the estimated date of exhaustion.

f. No payments will be made after exhaustion of funds except to the extent that additional funds are reserved for the contract. The Contractor shall be entitled to simple interest on any payment that the Contracting Officer determines was actually earned under the terms of the contract and would have been made except for exhaustion of funds. Interest shall be computed from the time such payment would otherwise have been made until actually or constructively made, and shall be at the rate established by the Secretary of the Treasury pursuant to Public Law 92-41, 85 STAT 97, as in effect on the first day of the delay in such payment.

g. Any suspension, delay, or interruption of work arising from exhaustion or anticipated exhaustion of funds shall not constitute a breach of

this contract and shall not entitle the Contractor to any price adjustment under the "Suspension of Work" clause or in any other manner under this contract.

h. An equitable adjustment in performance time shall be made for any increase in the time required for performance of any part of the work arising from exhaustion of funds or the reasonable anticipation of exhaustion of funds.

i. If, upon the expiration of sixty (60) days after the beginning of the fiscal year following an exhaustion of funds, the Government has failed to reserve sufficient additional funds to cover payments otherwise due, the Contractor, by written notice delivered to the Contracting Officer at any time before such additional funds are reserved, may elect to treat his right to proceed with the work as having been terminated. Such a termination shall be considered a termination for the convenience of the Government.

j. If at any time it becomes apparent that the funds reserved for any fiscal year are in excess of the funds required to meet all payments due or to become due the Contractor because of work performed and to be performed under the contract during the fiscal year, the Government reserves the right, after notice to the Contractor, to reduce said reservation by the amount of such excess. (EFARS 52.232-5001)

1.27. NOT USED.

1.28. TIME EXTENSIONS FOR UNUSUALLY SEVERE WEATHER (31 OCT 1989).

a. This provision specifies the procedure for determination of time extensions for unusually severe weather in accordance with the CONTRACT CLAUSE entitled "Default (Fixed-Price Construction)". In order for the Contracting Officer to award a time extension under this clause, the following conditions must be satisfied:

(1) The weather experienced at the project site during the contract period must be found to be unusually severe, that is, more severe than the adverse weather anticipated for the project location during any given month.

(2) The unusually severe weather must actually cause a delay to the completion of the project. The delay must be beyond the control and without the fault or negligence of the Contractor.

b. The following schedule of monthly anticipated adverse weather delays is based on National Oceanic and Atmospheric Administration (NOAA) or similar data for the project location and will constitute the base line for monthly weather time evaluations. The Contractor's progress schedule must reflect these anticipated adverse weather delays in all weather dependent activities.

MONTHLY ANTICIPATED ADVERSE WEATHER DELAY  
WORK DAYS BASED ON (5) DAY WORK WEEK

JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC
(10)	(8)	(4)	(3)	(3)	(2)	(2)	(1)	(2)	(3)	(4)	(9)

c. Upon acknowledgment of the Notice to Proceed (NTP) and continuing throughout the contract, the Contractor will record on the daily CQC report, the occurrence of adverse weather and resultant impact to normally scheduled work. Actual adverse weather delay days must prevent work on critical activities for 50 percent or more of the Contractor's scheduled work day. The number of actual adverse weather delay days shall include days impacted by actual adverse weather (even if adverse weather occurred in previous month), be calculated chronologically from the first to the last day of each month, and be recorded as full days. If the number of actual adverse weather delay days exceeds the number of days anticipated in paragraph b, above, the Contracting Officer will convert any

qualifying delays to calendar days, giving full consideration for equivalent fair weather work days, and issue a modification in accordance with the CONTRACT CLAUSE entitled "Default (Fixed-Price Construction)". (ER 415-1-15, Appendix A)

1.29. NOT USED.

1.30. STONE SOURCES.

a. Stone meeting the quality requirements of these specifications has been produced from the sources listed below:

Name of Firm	Name of Quarry	Location	Certification Date
Brickeys Stone, LLC	Old Menefee	Bloomsdale, MO	1997
Martin Marietta Aggregates	Three Rivers	Smithland, KY	1996
Meridian Aggregate Co.	Valley Stone	Black Rock, AR	1995
Pine Bluff Sand and Gravel Co.	River Mountain	Delaware, AR	1996
Seminole Ag-Lime Co.	Seminole	Dexter, MO	1996
Tower Rock Stone Co.	Bussen	Ste. Genevieve, MO	1995
Tower Rock Stone Co.	Grays Point	Scott City, MO	1995
Vulcan Materials	Gilbertsville	Lake City, KY	1996
Vulcan Materials	Parsons	Parsons, TN	1996
Vulcan Materials	Verkler	Black Rock, AR	1996

b. Stone may be furnished either from any of the listed sources or from any other sources designated by the Contractor and accepted by the Contracting Officer, subject to the conditions hereinafter stated.

c. After the award of the contract, the Contractor shall designate in writing only one source or one combination of sources from which he proposes to furnish stone. If the Contractor proposes to furnish stone from a source or sources not listed above, he may designate only a single additional source for stone. Samples for acceptance testing shall be provided as required in the Technical Specifications. If a source for stone so designated by the Contractor is not accepted by the Contracting Officer for use, the Contractor may not propose other sources but shall furnish the stone from a listed source at no additional cost to the Government.

d. Acceptance of a source of stone shall not be construed as acceptance of all material from that source. The right is reserved to reject materials from certain localized areas, zones, strata, or channels, when such materials are unsuitable for stone as determined by the Contracting Officer. Materials produced from a listed source shall meet all the requirements of the Technical Specifications.

1.31. FIELD OFFICE BUILDING.

a. The Contractor shall furnish and maintain a temporary building for the exclusive use of the Government inspectors during the life of the contract. The building shall conform to the following requirements:

Floor Space	Not less than 150 sq. ft.
Height of Ceiling	Not less than 7 feet
Windows	Not less than 4
Doors	At least 1
Type of Floor	Wood or Concrete

The building shall be of light but weatherproof construction. Windows shall be arranged to open and to be fastened from the inside. All door and window openings shall be provided with suitable screens. The door shall be equipped with a durable hasp and padlock. Interior surfaces of exterior walls and ceilings shall be covered with insulating board and an inside storage room of adequate size shall be provided. The Contractor shall furnish an adequate

supply of approved drinking water, sufficient electrical outlets for office calculators and equipment, adequate toilet facilities, all electricity required and sufficient fixtures for adequate lighting, and during cold weather shall furnish adequate heat. The field office, its location and all facilities shall be subject to the approval of the Contracting Officer. The building shall also be equipped with air conditioning during hot weather. The office shall be equipped with at least 2 chairs and one desk.

b. No separate payment will be made for furnishing and maintaining the field office. Such building will remain the property of the Contractor and shall be removed upon completion of the work as provided in the CONTRACT CLAUSE entitled "Operations and Storage Areas".

1.32. THRU 1.34. NOT USED.

1.35. TEMPORARY PROJECT FENCING. Temporary project fencing as required by Paragraph 04.A.04 of EM 385-1-1 is not required on this project.

1.36. THRU 1.38. NOT USED.

1.39. SUNDAY, HOLIDAY AND NIGHT WORK. Sunday and Holiday work will be at the option of the Contractor, but night work will not be permitted unless otherwise authorized by the Contracting Officer.

1.40. THRU 1.41. NOT USED.

1.42. STORAGE OF EQUIPMENT AND MATERIALS. Storage of the Contractor's equipment and materials shall be at those areas within the rights-of-way designated by the Contracting Officer.

1.43. WARRANTY OF CONSTRUCTION (MAR 1994).

a. In addition to any other warranties in this contract, the Contractor warrants, except as provided in paragraph i. of this clause, that work performed under this contract conforms to the contract requirements and is free of any defect in equipment, material, or design furnished, or workmanship performed by the Contractor or any subcontractor or supplier at any tier.

b. This warranty shall continue for a period of 1 year from the date of final acceptance of the work. If the Government takes possession of any part of the work before final acceptance, this warranty shall continue for a period of 1 year from the date the Government takes possession.

c. The Contractor shall remedy at the Contractor's expense any failure to conform, or any defect. In addition, the Contractor shall remedy at the Contractor's expense any damage to Government-owned or controlled real or personal property, when that damage is the result of--

(1) The Contractor's failure to conform to contract requirements; or

(2) Any defect of equipment, material, workmanship, or design furnished.

d. The Contractor shall restore any work damaged in fulfilling the terms and conditions of this clause. The Contractor's warranty with respect to work repaired or replaced will run for 1 year from the date of repair or replacement.

e. The Contracting Officer shall notify the Contractor, in writing, within a reasonable time after the discovery of any failure, defect, or damage.

f. If the Contractor fails to remedy any failure, defect, or damage within a reasonable time after receipt of notice, the Government shall have the right to replace, repair, or other-wise remedy the failure, defect, or damage at the Contractor's expense.

g. With respect to all warranties, express or implied, from subcontractors, manufacturers, or suppliers for work performed and materials furnished under this contract, the Contractor shall--

(1) Obtain all warranties that would be given in normal commercial practice;

(2) Require all warranties to be executed, in writing, for the benefit of the Government, if directed by the Contracting Officer; and

(3) Enforce all warranties for the benefit of the Government, if directed by the Contracting Officer.

h. In the event the Contractor's warranty under paragraph (b) of this clause has expired, the Government may bring suit at its expense to enforce a subcontractor's, manufacturer's, or supplier's warranty.

i. Unless a defect is caused by the negligence of the Contractor or subcontractor or supplier at any tier, the Contractor shall not be liable for the repair of any defects of material or design furnished by the Government nor for the repair of any damage that results from any defect in Government-furnished material or design.

j. This warranty shall not limit the Government's rights under the Inspection and Acceptance clause of this contract with respect to latent defects, gross mistakes, or fraud. (FAR 52.246-21)

1.44 NOT USED.

1.45. AVAILABILITY AND USE OF UTILITY SERVICES. The Contractor shall provide at the site for all work under this contract, the necessary utility services needed for completion of work under this contract.

1.46. COMMERCIAL WARRANTY. The Contractor agrees that the building and construction materials and building hardware furnished under this contract shall be covered by the most favorable commercial warranty the Contractor gives to any customer for such products and that the rights and remedies provided herein are in addition to and do not limit any rights afforded to the Government by any other clause of this contract. The warranty will take effect immediately after compliance by the Contractor of these specifications, and acceptance of the completed work by the Government.

1.47. NOT USED.

1.48. PAYMENT FOR MATERIAL STORED OFFSITE.

a. In the preparation of monthly progress payment estimates, the Contracting Officer, upon request from the Contractor and in compliance with other criteria as hereinafter stated, will authorize payment, subject to availability of funds, for materials delivered to the Contractor at locations other than the site for the following items:

(1) Precast Concrete Deck Units.

(2) Piling: Concrete, Precast, Prestressed.

b. The following criteria must be satisfied before the prescribed payment will be approved.

(1) The Contractor shall furnish written evidence that he holds title to the material.

(2) The Contractor shall furnish evidence of the value of the materials.

(3) The materials shall have prior approval for incorporation into the work, i.e., required shop drawings, certificates of compliance, etc., must have been submitted and final approval action taken.

(4) The materials must be properly stored to the satisfaction of the Contracting Officer.

c. Other materials having a value exceeding \$5,000.00 and delivered to the Contractor at locations other than the site may be considered for payment at the sole discretion of the Contracting Officer.

1.49 THRU 1.62 NOT USED.

1.63. DESIGNATED BILLING OFFICE. The designated billing office for this contract shall be Caruthersville Area Office, 706 Harry S. Truman Blvd., Caruthersville, Missouri, 63830-1268.

1.64 YEAR 2000 COMPLIANCE. In accordance with FAR 39.106, the Contractor shall ensure that with respect to any design, construction, goods, or services under this contract as well as any subsequent task/delivery orders issued under this contract (if applicable), all information technology contained therein shall be year 2000 compliant. Specifically the Contractor shall:

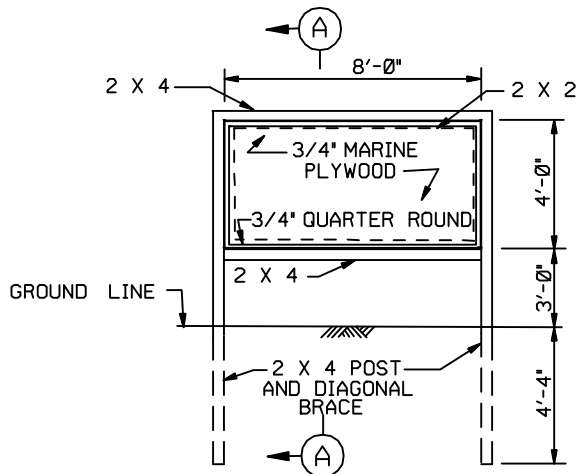
a. Perform, maintain, and provide an inventory of all major components to include structures, equipment, items, parts, and furnishings under this contract and each task/delivery order which may be affected by the Y2K compliance requirement.

b. Indicate whether each component is currently Year 2000 compliant or requires an upgrade for compliance prior to Government acceptance.

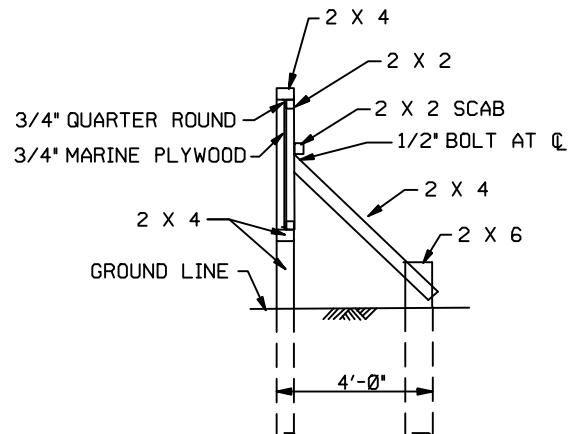
-- End of Section --



ELEVATION



ELEVATION



SECTION A-A

NOTES:

1. CONTRACTOR SHALL CONSTRUCT AND MAINTAIN A DURABLE SIGN AS SHOWN.
2. WOOD IN CONTACT WITH GROUND SHALL BE TREATED LUMBER.
3. ALL EXPOSED SURFACES SHALL BE WHITE HOUSE PAINT.
4. LETTERING SHALL BE BLACK.
5. ENGINEER CASTLE DECAL FURNISHED BY GOVERNMENT.
6. 22 GA. SHEET METAL MAY BE USED IN LIEU OF PLYWOOD.



**US Army Corps of Engineers**

Memphis District

ENGINEER CASTLE DETAIL

SCALE: NONE

MARCH 1995

U.S. ARMY ENGINEER DISTRICT, MEMPHIS  
CORPS OF ENGINEERS  
MEMPHIS, TENNESSEE

SAFETY SIGN

TABLE OF CONTENTS  
TECHNICAL SPECIFICATIONS

<u>SECTION NO.</u>	<u>DESCRIPTION</u>
	DIVISION 1 - GENERAL REQUIREMENTS
01025	MEASUREMENT AND PAYMENT
01130	ENVIRONMENTAL PROTECTION
01300	SUBMITTAL PROCEDURES
01451	CONTRACTOR QUALITY CONTROL
01452	PROJECT SIGN, BARRICADES, AND TRAFFIC CONTROL SIGNS
	DIVISION 2 - SITE WORK
02110	CLEARING, GRUBBING, AND BRIDGE REMOVAL
02225	EARTHWORK
02542	STONE PROTECTION
02546	AGGREGATE SURFACING
02700	CULVERT REMOVAL AND INSTALLATION
02935	ESTABLISHMENT OF TURF
	DIVISION 3 - CONCRETE
03100	FORMWORK FOR CONCRETE
03200	STEEL BARS AND ACCESSORIES FOR CONCRETE REINFORCEMENT
03230	STRESSING STRANDS AND ACCESSORIES FOR PRESTRESSED CONCRETE
03300	CAST-IN-PLACE STRUCTURAL CONCRETE
03425	PRECAST CONCRETE
03430	PILING; CONCRETE, PRECAST, PRESTRESSED
03440	BRIDGE SUPERSTRUCTURE
	DIVISION 4 - NOT USED
	DIVISION 5 - METALS
05500	GUARDRAIL
	DIVISION 6 - DIVISION 16 NOT USED



DIVISION 1 - GENERAL REQUIREMENTS

SECTION 01025

MEASUREMENT AND PAYMENT

TABLE OF CONTENTS

PART 1	GENERAL
1.1	LUMP SUM PAYMENT ITEMS
1.1.1	General
1.2	UNIT PRICE PAYMENT ITEMS
1.2.1	General
PART 2	PRODUCTS (Not Used)
PART 3	EXECUTION (Not Used)

SECTION 01025

MEASUREMENT AND PAYMENT

PART 1 GENERAL

1.1 LUMP SUM PAYMENT ITEMS

1.1.1 General

Payment items for the work of this contract for which contract lump sum payments will be made are listed in the BIDDING SCHEDULE and described below. All costs for items of work, which are not specifically mentioned to be included in a particular lump sum or unit price payment item, shall be included in the listed lump sum item most closely associated with the work involved. The lump sum price and payment made for each item listed shall constitute full compensation for furnishing all plant, labor, materials, and equipment, and performing any associated Contractor Quality Control, meeting safety requirements, tests and reports, and for performing all work required for which separate payment is not otherwise provided.

(1) "Clearing and Grubbing"

a. Payment for clearing, grubbing, and bridge removal will be made at the contract lump sum price for "Clearing and Grubbing", which price and payment shall constitute full compensation for clearing and grubbing, disposal of cleared and grubbed materials including existing roadway and bridge refill of grubbing holes, and performing all operations incidental thereto; all as specified in SECTION 02110.

b. Unit of measure, job: JB.

(2) "Excavation"

a. Payment for excavation will be made at the contract lump sum price for "Excavation", which price and payment shall constitute full compensation for furnishing all material and equipment and performing all labor for excavation; disposal of excess excavated materials in the disposal areas; and all other operations incidental thereto all as specified in Section 02225.

b. Unit of measure, job: JB.

(3) "Cast-in-Place Structural Concrete"

a. Payment for furnishing "Cast-in-Place Structural Concrete" will be made at the contract lump sum price, which price and payment shall constitute full compensation for furnishing and performing all operations incidental thereto; all as specified in SECTION 03300 and SECTION 03440.

b. Unit of measure, job: JB.

(4) "Finish Dressing, Fertilizing, Seeding, and Mulching"

a. Payment for finish dressing, fertilizing, seeding, and mulching will be made at the contract lump sum price for "Finish Dressing, Fertilizing, Seeding, and Mulching", which price and payment shall constitute full compensation for finish dressing, fertilizing, seeding, and mulching all as specified in Section 02935.

b. Unit of measure, job: JB.

(5) "Aggregate Surfacing"

a. Payment for aggregate surfacing will be made at the contract lump sum price for "Aggregate Surfacing", which price and payment shall constitute full compensation for furnishing all material and equipment and performing all labor for placing aggregate surfacing; and all other operations incidental thereto; all as specified in SECTION 02546.

b. Unit of measure; job: JB.

(6) "Environmental Protection"

a. Payment will be made for costs associated with operations necessary for "Environmental Protection" as specified in Section 01130.

b. Unit of measure, job: JB.

(7) "Roadway and Field Access Ramp Embankments"

a. Payment for embankments will be made at the contract lump sum price for "Roadway and Field Access Ramp Embankments", which price and payment shall constitute full compensation for furnishing all materials and equipment and performing all labor for furnishing and constructing embankment, and all other operations incidental thereto, all as specified in Section 02225.

b. Unit of measure, job: JB.

(8) "Grout for Riprap"

a. Payment for grout for riprap will be made at the contract lump sum price for "Grout for Riprap", which price and payment shall constitute full compensation for furnishing all labor, equipment, materials, batching; transporting, placing, curing, and performing all other operations incidental thereto, all as specified in SECTION 02542.

b. Unit of measure, job: JB.

(9) "Guardrail"

a. Payment

Payment for guard rail will be made at the contract lump sum price for "Guardrail," which price and payment shall constitute full compensation for installation of the guard rail, and performing all operations incidental thereto; all as specified in SECTION 05500.

b. Unit of measure, job: JB.

(10) "Bridge at Mile 1.21"

a. Payment for bridge at Mile 1.21 will be made at the contract lump sum price for "Bridge at Mile 1.21" which price and payment shall include all work under the contract which is not included in payment for Items 0001 through 0014 of the Bidding Schedule unless otherwise specified.

b. Unit of measure, job: JB.

(11) "Bridge at Mile 2.95"

a. Payment for bridge at Mile 2.95 will be made at the contract lump sum price for "Bridge at Mile 2.95" which price and payment shall include all work under the contract which is not included in payment for Items 0016 through 0029 of the Bidding Schedule unless otherwise specified.

b. Unit of measure, job: JB.

1.2 UNIT PRICE PAYMENT ITEMS

1.2.1 General

Payment items for the work under this contract for which the contract progress payments will be based are listed in the BIDDING SCHEDULE and described below. The unit price and payment made for each item listed shall constitute full compensation for furnishing all plant, labor, materials, and equipment, and performing any associated Contractor quality control, meeting safety requirements, tests and reports, and for performing all work required for each of the unit price items.

(1) "Filter Material"

a. Payment for filter material will be made at the contract unit price per ton for "Filter Material", which price and payment shall constitute full compensation for furnishing all materials and equipment and performing all labor for installing filter material; and all operations incidental; thereto, all as specified in SECTION 02542 STONE PROTECTION.

b. The filter material will be measured for payment by the ton.

c. Unit of Measure, ton: TN.

(2) "Riprap"

a. Payment for riprap will be made at the Contract unit price per ton for "Riprap", which price and payment shall constitute full compensation for furnishing all materials and equipment and performing all labor for installing riprap; and all operations incidental; thereto, all as specified in SECTION 02542 STONE PROTECTION.

b. Riprap will be measured for payment by the ton.

c. Unit of Measure, ton: TN.

(3) "Concrete Piling, Precast, Prestressed, 14-inch Square"

a. Payment for each pile acceptably driven will be made at the contract unit price per linear foot for "Concrete Piling, Precast, Prestressed, 14-inch Square ", as determined by the length of pile specified or directed to be driven, which price and payment shall include all items incidental to furnishing and driving the piles, redriving uplifted piles, authorized splices and cutting-off any piles with their tops not driven to cut-off grade unless driving to a higher elevation has been specifically authorized all as specified in Section 03430.

Payment for cut-offs will be made for the measured cut-off portion of any pile at the rate of 50 percent of the contract unit price of the pile, and no other payment will be made for such cut-off all as defined in Section 03430.

Payment for piles which are pulled, at the direction of the Contracting Officer, and found to be in good condition will be paid for at the contract unit price for furnishing and driving the pile in its original position plus an equitable amount for the cost of pulling. Such pulled piles when redriven will be paid for at 50 percent of the contract unit price for furnishing and driving the measured length of pile redriven, which price shall constitute payment for redriving. When piles are pulled and found to be damaged, no payment will be made for originally furnishing and driving such piles, nor for the cost of pulling. They shall be replaced by new piles, which will be paid for at the contract unit price for the lengths driven all as specified in Section 03430.

b. Precast concrete piles will be measured for payment on the basis of lengths along the axis of the pile in place at or below the cut-off elevation. The cut-off portion of any pile will be measured for payment on the basis of the difference between the length specified and the length driven below cut-off elevation.

c. Unit of measure, linear feet: LF.

(4) "Corrugated Metal Pipe, 24-inch"

a. Measurement

The culverts will be measured for payment by the linear foot. Such measurement will be made in the field and will be based on the length installed as determined and approved by the Contracting Officer.

b. Payment

Payment for the culverts will be made at the contract unit price per linear foot for "Corrugate Metal Pipe, 24-inch", which price and payment shall constitute full compensation for furnishing and installing the culverts; incidental excavation required for the removal of the existing culverts and installation of

the new culverts; backfilling around and over the culverts; disposition of the existing culverts; and all other operations incidental thereto; all as specified in SECTION 02700 and as applicable to each individual culvert.

c. Unit of measurement, linear foot: LF.

(5) "Flared End Section, 24-inch"

a. Payment

Payment for the flared end sections will be made at the contract unit price per each for "Flared End Section, 24-inch", which price and payment shall constitute full compensation for furnishing and installing the flared end sections, and incidental excavation required for installation of the flared end sections.

b. Unit of measurement, each: EA.

PART 2 PRODUCTS (Not Used)

PART 3 EXECUTION (Not Used)

-- End of Section --

DIVISION 1 - GENERAL REQUIREMENTS

SECTION 01130

ENVIRONMENTAL PROTECTION

TABLE OF CONTENTS

PART 1	GENERAL
1.1	DEFINITIONS
1.2	ENVIRONMENTAL PROTECTION REQUIREMENTS
1.2.1	Environmental Protection Plan
1.2.1.1	Protection of Features
1.2.1.2	Procedures
1.2.1.3	Permit or License
1.2.1.4	Drawings
1.2.1.5	Environmental Monitoring Plans
1.2.1.6	Traffic Control Plan
1.2.1.7	Surface and Ground Water
1.2.1.8	Work Area Plan
1.3	SUBCONTRACTORS
1.4	PERMITS OBTAINED BY CORPS OF ENGINEERS
1.5	REGULATORY REQUIREMENTS
PART 2	PRODUCTS (Not Applicable)
PART 3	EXECUTION
3.1	PROTECTION OF ENVIRONMENTAL RESOURCES
3.1.1	Protection of Land Resources
3.1.1.1	Work Area Limits
3.1.1.2	Protection of Landscape
3.1.1.3	Reduction of Exposure of Unprotected Eroducible Soils
3.1.1.4	Temporary Protection of Disturbed Areas
3.1.1.5	Erosion and Sedimentation Control Devices
3.1.1.6	Location of Contractor Facilities
3.1.1.7	Disposal Areas on Government Property
3.1.1.8	Temporary Excavation and Embankments
3.1.1.9	Disposal of Solid Wastes
3.1.1.10	Disposal of Chemical Wastes
3.1.1.11	Disposal of Discarded Materials
3.2	HISTORICAL, ARCHAEOLOGICAL AND CULTURAL RESOURCES
3.3	PROTECTION OF WATER RESOURCES
3.3.1	Cofferdam and Diversion Operations
3.3.2	Stream Crossings
3.3.3	Monitoring of Water Areas Affected by Construction Activities
3.4	PROTECTION OF FISH AND WILDLIFE RESOURCES
3.5	PROTECTION OF AIR RESOURCES
3.5.1	Particulates
3.5.2	Hydrocarbons and Carbon Monoxide
3.5.3	Odors
3.5.4	Monitoring Air Quality
3.6	INSPECTION
3.7	POST CONSTRUCTION CLEANUP
3.8	RESTORATION OF LANDSCAPE DAMAGE
3.9	MAINTENANCE OF POLLUTION FACILITIES
3.10	TRAINING OF CONTRACTOR PERSONNEL IN POLLUTION CONTROL

SECTION 01130

ENVIRONMENTAL PROTECTION

PART 1 GENERAL

1.1 DEFINITIONS

For the purpose of this specification, environmental pollution and damage is defined as the presence of chemical, physical, or biological elements or agents which adversely affect human health or welfare; unfavorably alter ecological balances of importance to human life; affect other species of importance to man; or degrade the utility of the environment for aesthetic, cultural and/or historical purposes. The control of environmental pollution and damage requires consideration of air, water, and land, and includes management of visual aesthetics, noise, solid waste, radiant energy and radioactive materials, as well as other pollutants.

1.2 ENVIRONMENTAL PROTECTION REQUIREMENTS

Provide and maintain, during the life of the contract, environmental protection. Plan for and provide environmental protective measures to control pollution that develops during normal construction practice. Plan for and provide environmental protective measures required to correct conditions that develop during the construction of permanent or temporary environmental features associated with the project. Comply with Federal, State, and local regulations pertaining to the environment, including but not limited to water, air, and noise pollution.

1.2.1 Environmental Protection Plan

Within 21 days after receipt of Notice of Award of the contract, the Contractor shall submit in writing an Environmental Protection Plan and, prior to starting work, meet with representatives of the Contracting Officer to develop mutual understanding relative to compliance with this provision and administration of the environmental protection program. Approval of the Contractor's plan will not relieve the Contractor of his responsibility for adequate and continuing control of pollutants and other environmental protection measures. The Government reserves the right to make changes in his environmental protection plan and operations as necessary to maintain satisfactory environmental protection performance. The Environmental Protection Plan shall include but not be limited to the following:

1.2.1.1 Protection of Features

The Contractor shall determine methods for the protection of features to be preserved within authorized work areas. The Contractor shall prepare a listing of methods to protect resources needing protection, i.e., trees, shrubs, vines, grasses and ground cover, landscape features, air and water quality, fish and wildlife, soil, historical, archaeological and cultural resources.



#### 1.2.1.2 Procedures

The Contractor shall implement procedures to provide the required environmental protection and to comply with the applicable laws and regulations. The Contractor shall set out the procedures to be followed to correct pollution of the environment due to accident, natural causes or failure to follow the procedures set out in accordance with the Environmental Protection Plan.

#### 1.2.1.3 Permit or License

The Contractor shall obtain all needed permits or licenses.

#### 1.2.1.4 Drawings

The Contractor shall include drawings showing locations of any proposed temporary excavations or embankments for haul roads, stream crossings, material storage areas, structures, sanitary facilities, stockpiles of earth materials, and disposal areas for excess earth material and unsatisfactory earth materials.

#### 1.2.1.5 Environmental Monitoring Plans

The Contractor shall include environmental monitoring plans for the job site which incorporate land, water, air and noise monitoring.

#### 1.2.1.6 Traffic Control Plan

The Contractor shall include a traffic control plan for the job site.

#### 1.2.1.7 Surface and Ground Water

The Contractor shall establish methods of protecting surface and ground water during construction activities.

#### 1.2.1.8 Work Area Plan

The Contractor shall include a work area plan showing the proposed activity in each portion of the area and identifying the areas of limited use or nonuse. The plan shall include measures for marking the limits of use areas.

### 1.3 SUBCONTRACTORS

Assurance of compliance with this section by subcontractors will be the responsibility of the Contractor.

### 1.4 PERMITS OBTAINED BY CORPS OF ENGINEERS

The Corps of Engineers will not obtain any permits for this project. See Contract Clause entitled "PERMITS AND RESPONSIBILITIES".

### 1.5 REGULATORY REQUIREMENTS

The Contractor shall comply with all state regulatory and statutory requirements.

## PART 2 PRODUCTS (Not Applicable)

## PART 3 EXECUTION

### 3.1 PROTECTION OF ENVIRONMENTAL RESOURCES

The environmental resources within the project boundaries and those affected outside the limits of permanent work under this contract shall be protected during the entire period of this contract. The Contractor shall confine his activities to areas defined by the contract drawings or specifications. Environmental protection shall be as stated in the following subparagraphs.

#### 3.1.1 Protection of Land Resources

Prior to the beginning of any construction, the Contracting Officer will identify all land resources to be preserved within the Contractor's work area. The Contractor shall not remove, cut, deface, injure, or destroy land resources including trees, shrubs, vines, grasses, top soil, and land forms without special permission from the Contracting Officer. No ropes, cables, or guys shall be fastened to or attached to any trees for anchorage unless specifically authorized. Where such special emergency use is permitted, the Contractor shall provide effective protection for land and vegetation resources at all times as defined in the following subparagraphs.

##### 3.1.1.1 Work Area Limits

Prior to any construction, the Contractor shall mark the areas where no work is to be performed under this contract. Isolated areas within the general work area which are to be saved and protected shall also be marked or fenced. Monuments and markers shall be protected before construction operations commence and during all construction operations. Where construction operations are to be conducted during darkness, the markers shall be visible during darkness. The Contractor shall convey to his personnel the purpose of marking and/or protection of all necessary objects.

##### 3.1.1.2 Protection of Landscape

Trees, shrubs, vines, grasses, land forms and other landscape features to be preserved, indicated and defined on the drawings submitted by the Contractor as a part of the Environmental Protection Plan, shall be clearly identified by marking, fencing, or wrapping with boards, or any other approved techniques.

##### 3.1.1.3 Reduction of Exposure of Unprotected Erodible Soils

Earthwork brought to final grade shall be finished as indicated and specified. Side slopes and back slopes shall be protected as soon as practicable upon completion of rough grading. All earthwork shall be planned and conducted to minimize the duration of exposure of unprotected soils. Except in instances where the constructed feature obscures borrow areas, quarries and waste material areas, these areas shall not initially be cleared in total. Clearing of such areas shall progress in reasonably sized increments as needed to use the areas as approved by the Contracting Officer.

##### 3.1.1.4 Temporary Protection of Disturbed Areas

Such methods as necessary shall be utilized to effectively prevent erosion and control sedimentation, including but not limited to the following:

###### a. Retardation and Control of Runoff

Runoff from the construction site shall be controlled by construction of diversion ditches, benches, and berms to retard and

divert runoff to protected drainage courses, and the Contractor shall also utilize any measures required by area-wide plans approved under Paragraph 208 of the Clean Water Act.

#### 3.1.1.5 Erosion and Sedimentation Control Devices

The Contractor shall construct or install all temporary and permanent erosion sedimentation control features. Temporary erosion and sediment control measures such as berms, dikes, drains, sedimentation basin, grassing and mulching shall be maintained until permanent drainage and erosion control facilities are completed and operable.

#### 3.1.1.6 Location of Contractor Facilities

The Contractor's field offices, staging areas, stockpiles, storage, and temporary buildings shall be placed in areas approved by the Contracting Officer. Temporary movement or relocation of Contractor facilities shall be made only on approval by the Contracting Officer.

#### 3.1.1.7 Disposal Areas on Government Property

Disposal areas on Government property shall be managed and controlled to limit material to areas designated on the contract drawings and prevent erosion of soil or sediment from entering nearby water courses or lakes. Disposal areas shall be developed in accordance with the grading plan indicated on the contract drawings.

#### 3.1.1.8 Temporary Excavation and Embankments

Temporary excavation and embankments shall be controlled to protect adjacent areas from contamination.

#### 3.1.1.9 Disposal of Solid Wastes

Solid wastes (excluding clearing debris) shall be placed in containers which are emptied on a regular schedule. All handling and disposal shall be conducted to prevent contamination. The Contractor shall transport all solid waste off Government property and dispose of it in compliance with Federal, State, and local requirements for solid waste disposal.

#### 3.1.1.10 Disposal of Chemical Wastes

Chemical wastes shall be stored in corrosion resistant containers, removed from the work area and disposed of in accordance with Federal, State, and local regulations.

#### 3.1.1.11 Disposal of Discarded Materials

Discarded materials other than those which can be included in the solid waste category shall be handled as directed by the Contracting Officer.

### 3.2 HISTORICAL, ARCHAEOLOGICAL AND CULTURAL RESOURCES

Existing historical, archaeological and cultural resources within the Contractor's work area will be so designated by the Contracting Officer and precautions shall be taken by the Contractor to preserve all such resources as they existed at the time they were pointed out to the Contractor. The Contractor shall install all protection for these resources so designated on the contract drawings and shall be responsible for their preservation during this contract. If during

construction items of apparent archaeological or historical interest are discovered, they shall be left undisturbed and the Contractor shall report the find immediately to the Contracting Officer.

### 3.3 PROTECTION OF WATER RESOURCES

The Contractor shall keep construction activities under surveillance, management and control to avoid pollution of surface and ground waters. Special management techniques as set out below shall be implemented to control water pollution by the listed construction activities which are included in this contract.

#### 3.3.1 Cofferdam and Diversion Operations

The Contractor shall plan his operations and perform all work necessary to minimize adverse impact or violation of the water quality standard. Construction operations for dewatering, removal of cofferdams, tailrace excavation, and tunnel closure shall be controlled at all times to limit impact of water turbidity on the habitat for wildlife and impacts on water quality for downstream use.

#### 3.3.2 Stream Crossings

Stream crossings shall be controlled during construction. Crossings shall not violate water pollution control standards of the Federal, State or local government.

#### 3.3.3 Monitoring of Water Areas Affected by Construction Activities

Monitoring of water areas affected by construction activities shall be the responsibility of the Contractor. All water areas affected by construction activities shall be monitored by the Contractor.

### 3.4 PROTECTION OF FISH AND WILDLIFE RESOURCES

The Contractor shall keep construction activities under surveillance, management and control to minimize interference with, disturbance to and damage of fish and wildlife. Species that require specific attention shall be listed by the Contractor prior to beginning of construction operations.

### 3.5 PROTECTION OF AIR RESOURCES

The Contractor shall keep construction activities under surveillance, management and control to minimize pollution of air resources. All activities, equipment, processes, and work operated or performed by the Contractor in accomplishing the specified construction shall be in strict accordance with the laws of the State or States in which the work is being performed and all Federal emission and performance laws and standards. Special management techniques as set out below shall be implemented to control air pollution by the construction activities which are included in the contract.

#### 3.5.1 Particulates

Dust particles, aerosols, gaseous by-products from all construction activities, processing and preparation of materials, such as from asphaltic batch plants, shall be controlled at all times, including weekends, holidays and hours when work is not in progress. The Contractor shall maintain all excavations, stockpiles, haul roads, permanent and temporary access roads, plant sites, spoil areas, borrow areas, and all other work areas within or outside the project boundaries free from particulates which would cause the air pollution standards mentioned in the paragraph "PROTECTION OF AIR RESOURCES" to be exceeded or which

would cause a hazard or a nuisance. Sprinkling, chemical treatment of an approved type, light bituminous treatment, baghouse, scrubbers, electrostatic precipitators or other methods will be permitted to control particulates in the work area. Sprinkling, to be efficient, must be repeated at such intervals as to keep the disturbed area damp at all times. The Contractor must have sufficient competent equipment available to accomplish this task. Particulate control shall be performed as the work proceeds and whenever a particulate nuisance or hazard occurs.

### 3.5.2 Hydrocarbons and Carbon Monoxide

Hydrocarbons and carbon monoxide emissions from equipment shall be controlled to Federal and State allowable limits at all times.

### 3.5.3 Odors

Odors shall be controlled at all times for all construction activities, processing and preparation of materials.

### 3.5.4 Monitoring Air Quality

Monitoring of air quality shall be the responsibility of the Contractor. All air areas affected by the construction activities shall be monitored by the Contractor.

## 3.6 INSPECTION

The Contracting Officer will notify the Contractor in writing of any observed noncompliance with the Contractor's environmental protection plan. The Contractor shall, after receipt of such notice, inform the Contracting Officer of proposed corrective action and take such action as may be approved. If the Contractor fails to comply promptly, the Contracting Officer may issue an order stopping all or part of the work until satisfactory corrective action has been taken. No time extensions will be granted or costs or damages allowed to the Contractor for any such suspension.

## 3.7 POST CONSTRUCTION CLEANUP

The Contractor shall clean up all area(s) used for construction.

## 3.8 RESTORATION OF LANDSCAPE DAMAGE

The Contractor shall restore all landscape features damaged or destroyed during construction operations outside the limits of the approved work areas. Such restoration shall be in accordance with the plans submitted for approval by the Contracting Officer.

## 3.9 MAINTENANCE OF POLLUTION FACILITIES

The Contractor shall maintain all constructed facilities and temporary pollution control devices for the duration of the contract or for that length of time construction activities create the particular pollutant.

## 3.10 TRAINING OF CONTRACTOR PERSONNEL IN POLLUTION CONTROL

The Contractor shall train his personnel in all phases of environmental protection. The training shall include methods of detecting and avoiding pollution, familiarization with pollution standards, both statutory and contractual, and installation and care of facilities (vegetative covers and

instruments required for monitoring purposes) to insure adequate and continuous environmental pollution control.

-- End of Section --

DIVISION 1 - GENERAL REQUIREMENTS

SECTION 01300

SUBMITTAL PROCEDURES

TABLE OF CONTENTS

PART 1	GENERAL
1.1	SUBMITTAL CLASSIFICATION
1.1.1	Government Approved
1.1.2	Information Only
1.2	APPROVED SUBMITTALS
1.3	DISAPPROVED SUBMITTALS
1.4	WITHHOLDING OF PAYMENT
PART 2	PRODUCTS (Not Applicable)
PART 3	EXECUTION
3.1	GENERAL
3.2	SUBMITTAL REGISTER (ENG Form 4288-R)
3.3	SCHEDULING
3.4	TRANSMITTAL FORM (ENG Form 4025-R)
3.5	SUBMITTAL PROCEDURE
3.5.1	Procedures
3.5.2	Deviations
3.6	CONTROL OF SUBMITTALS
3.7	GOVERNMENT APPROVED SUBMITTALS
3.8	INFORMATION ONLY SUBMITTALS

SECTION 01300

SUBMITTAL PROCEDURES

PART 1 GENERAL

1.1 SUBMITTAL CLASSIFICATION

Submittals are classified as follows:

1.1.1 Government Approved

Governmental approval is required for extensions of design, critical materials, deviations, equipment whose compatibility with the entire system must be checked, and other items as designated by the Contracting Officer. Within the terms of the Contract Clause "SPECIFICATIONS AND DRAWINGS FOR CONSTRUCTION," they are considered to be "shop drawings."

1.1.2 Information Only

All submittals not requiring Government approval will be for information only. They are not considered to be "shop drawings" within the terms of the Contract Clause referred to above.

1.2 APPROVED SUBMITTALS

The approval of submittals by the Contracting Officer shall not be construed as a complete check, but will indicate only that the general method of construction, materials, detailing and other information are satisfactory. Approval will not relieve the Contractor of the responsibility for any error which may exist, as the Contractor under the CQC requirements of this contract, is responsible for the dimensions and design of adequate connections, details and satisfactory construction of all work. After submittals have been approved by the Contracting Officer, no resubmittal for the purpose of substituting materials or equipment will be given consideration unless accompanied by an explanation as to why a substitution is necessary.

1.3 DISAPPROVED SUBMITTALS

The Contractor shall make all corrections required by the Contracting Officer and promptly furnish a corrected submittal in the form and number of copies as specified for the initial submittal. If the Contractor considers any correction indicated on the submittals to constitute a change to the contract, notice as required under the Contract Clause "CHANGES" shall be given promptly to the Contracting Officer.

1.4 WITHHOLDING OF PAYMENT

Payment for materials incorporated in the work will not be made if required approvals have not been obtained.

PART 2 PRODUCTS (Not Applicable)



## PART 3 EXECUTION

### 3.1 GENERAL

The Contractor shall submit all items listed on the Submittal Register (ENG Form 4288-R) or specified in the other sections of these specifications. The Contracting Officer may request submittals in addition to those listed when deemed necessary to adequately describe the work covered in the respective sections. Units of weights and measures used on all submittals shall be the same used in the contract drawings. Submittals shall be made in the respective number of copies and to the respective addresses set forth below. Each submittal shall be complete and in sufficient detail to allow ready determination of compliance with contract requirements. Prior to submittal, all items shall be checked and approved by the Contractor's Quality Control (CQC) representative and each item shall be stamped, signed, and dated by the CQC representative indicating action taken. Proposed deviations from the contract requirements shall be clearly identified. Submittals shall include items such as: Contractor's, manufacturer's, or fabricator's drawings; descriptive literature including (but not limited to) catalog cuts, diagrams, operating charts or curves; test reports; test cylinders; samples; O&M manuals including parts list; certifications; warranties or other such required submittals. Submittals requiring Government approval shall be scheduled and made prior to the acquisition of the material or equipment covered thereby. A copy of ENG Form 4288-R is also included at the end of this section.

### 3.2 SUBMITTAL REGISTER (ENG Form 4288-R)

At the end of this section is one set of ENG Forms 4288-R listing each item of equipment and material for which submittals are required by the specifications. Columns "a" thru "d" have been completed by the Government. The Contractor shall complete columns "f" and "h" thru "m" and return 2 completed copies to the Contracting Officer for approval within 15 calendar days after Notice to Proceed. Columns "n" and "o" are for Government action. The approved submittal register will become the scheduling document and will be used to control submittals throughout the life of the contract. This register and the progress schedules shall be coordinated. This submittal register is a guide only. A copy of ENG Form 4288-R is included at the end of this section. All submittals are the responsibility of the Contractor.

### 3.3 SCHEDULING

Submittals covering component items forming a system or items that are interrelated shall be scheduled to be coordinated and submitted concurrently. Certifications to be submitted with the pertinent drawings shall be so scheduled. Adequate time (a minimum of 30 calendar days exclusive of mailing time) shall be allowed on the register for review and approval. No delays, damages, or time extensions will be allowed for time lost in late submittals.

### 3.4 TRANSMITTAL FORM (ENG Form 4025-R)

A copy of the transmittal form (ENG Form 4025-R) attached to this section shall be used for submitting both Government-approved and information-only submittals in accordance with the instructions on the reverse side of the form. These forms will be furnished to the Contractor. This form shall be properly completed by filling out all the heading blank spaces and identifying each item submitted. Special care will be exercised to ensure proper listing of the specification

paragraph and/or sheet number of the contract drawings pertinent to the data submitted for each item.

### 3.5 SUBMITTAL PROCEDURE

Submittals shall be made as follows:

#### 3.5.1 Procedures

Submittals shall be prepared as specified, with the required number of copies and delivered to:

U.S. Army Corps of Engineers  
Caruthersville Area Office  
709 Harry S. Truman Blvd.  
Caruthersville, Missouri 63830-1268

#### 3.5.2 Deviations

For submittals which include proposed deviations requested by the Contractor, the column "variation" of ENGAA Form 4025-R shall be checked. The Contractor shall set forth in writing the reason for any deviations and annotate such deviations on the submittal. The Government reserves the right to rescind inadvertent approval of submittals containing unnoted deviations.

### 3.6 CONTROL OF SUBMITTALS

The Contractor shall carefully control his procurement operations to ensure that each individual submittal is made on or before the Contractor scheduled submittal date shown on the approved "Submittal Register."

### 3.7 GOVERNMENT APPROVED SUBMITTALS

Upon completion of review of submittals requiring Government approval, the submittals will be identified as having received approval by being so stamped and dated. Four copies of the submittal will be retained by the Contracting Officer and 2 copies of the submittal will be returned to the Contractor.

### 3.8 INFORMATION ONLY SUBMITTALS

Normally submittals for information only will not be returned. Approval of the Contracting Officer is not required on information only submittals. These submittals will be used for information purposes. The Government reserves the right to require the Contractor to resubmit any item found not to comply with the contract. This does not relieve the Contractor from the obligation to furnish material conforming to the plans and specifications and will not prevent the Contracting Officer from requiring removal and replacement if nonconforming material is incorporated in the work. This does not relieve the Contractor of the requirement to furnish samples for testing by the Government laboratory or check testing by the Government in those instances where the technical specifications so prescribe.

-- End of Section --

<b>TRANSMITTAL OF SHOP DRAWINGS, EQUIPMENT DATA, MATERIAL SAMPLES, OR MANUFACTURER'S CERTIFICATES OF COMPLIANCE</b> <i>(Read instructions on the reverse side prior to initiating this form)</i>	DATE	TRANSMITTAL NO.
---	------	-----------------

**SECTION I - REQUEST FOR APPROVAL OF THE FOLLOWING ITEMS** *(This section will be initiated by the contractor)*

TO:	FROM:	CONTRACT NO.	CHECK ONE: <input type="checkbox"/> THIS IS A NEW TRANSMITTAL <input type="checkbox"/> THIS IS A RESUBMITTAL OF TRANSMITTAL _____
SPECIFICATION SEC. NO. <i>(Cover only one section with each transmittal)</i>	PROJECT TITLE AND LOCATION		CHECK ONE: THIS TRANSMITTAL IS FOR <input type="checkbox"/> FIO <input type="checkbox"/> GOV'T. APPROVAL

ITEM NO.	DESCRIPTION OF ITEM SUBMITTED <i>(Type size, model number/etc.)</i>	MFG OR CONTR. CAT., CURVE DRAWING OR BROCHURE NO. <i>(See instruction no. 8)</i>	NO. OF COPIES	CONTRACT REFERENCE DOCUMENT		FOR CONTRACTOR USE CODE	VARIATION <i>(See instruction No. 6)</i>	FOR CE USE CODE
				SPEC. PARA. NO.	DRAWING SHEET NO.			
a.	b.	c.	d.	e.	f.	g.	h.	i.

REMARKS	I certify that the above submitted items have been reviewed in detail and are correct and in strict conformance with the contract drawings and specifications except as other wise stated.  _____ NAME AND SIGNATURE OF CONTRACTOR
---------	---

**SECTION II - APPROVAL ACTION**

ENCLOSURES RETURNED <i>(List by Item No.)</i>	NAME, TITLE AND SIGNATURE OF APPROVING AUTHORITY	DATE
---	--	------

## INSTRUCTIONS

1. Section I will be initiated by the Contractor in the required number of copies.
2. Each transmittal shall be numbered consecutively in the space provided for "Transmittal No.". This number, in addition to the contract number, will form a serial number for identifying each submittal. For new submittals or resubmittals mark the appropriate box; on resubmittals, insert transmittal number of last submission as well as the new submittal number.
3. The "Item No." will be the same "Item No." as indicated on ENG FORM 4288-R for each entry on this form.
4. Submittals requiring expeditious handling will be submitted on a separate form.
5. Separate transmittal form will be used for submittals under separate sections of the specifications.
6. A check shall be placed in the "Variation" column when a submittal is not in accordance with the plans and specifications--also, a written statement to that effect shall be included in the space provided for "Remarks".
7. Form is self-transmittal, letter of transmittal is not required.
8. When a sample of material or Manufacturer's Certificate of Compliance is transmitted, indicate "Sample" or "Certificate" in column c, Section I.
9. U.S. Army Corps of Engineers approving authority will assign action codes as indicated below in space provided in Section I, column i to each item submitted. In addition they will ensure enclosures are indicated and attached to the form prior to return to the contractor. The Contractor will assign action codes as indicated below in Section I, column g, to each item submitted.

### THE FOLLOWING ACTION CODES ARE GIVEN TO ITEMS SUBMITTED

A	--	Approved as submitted.	E	--	Disapproved (See attached).
B	--	Approved, except as noted on drawings.	F	--	Receipt acknowledged.
C	--	Approved, except as noted on drawings. Refer to attached sheet resubmission required.	FX	--	Receipt acknowledged, does not comply as noted with contract requirements.
D	--	Will be returned by separate correspondence.	G	--	Other ( <i>Specify</i> )

10. Approval of items does not relieve the contractor from complying with all the requirements of the contract plans and specifications.

*(Reverse of ENG Form 4025-R)*

[illegible]

DIVISION 1 - GENERAL REQUIREMENTS

SECTION 01451

CONTRACTOR QUALITY CONTROL

TABLE OF CONTENTS

PART 1	GENERAL
1.1	REFERENCES
1.2	PAYMENT
PART 2	PRODUCTS (Not Used)
PART 3	EXECUTION
3.1	GENERAL
3.2	QUALITY CONTROL PLAN
3.2.1	General
3.2.2	Content of the CQC Plan
3.2.3	Acceptance of Plan
3.2.4	Notification of Changes
3.3	COORDINATION MEETING
3.4	QUALITY CONTROL ORGANIZATION
3.4.1	CQC System Manager
3.4.2	CQC Staff
3.4.3	Additional Requirement
3.5	SUBMITTALS
3.6	CONTROL
3.6.1	Preparatory Phase
3.6.2	Initial Phase
3.6.3	Follow-up Phase
3.6.4	Additional Preparatory and Initial Phases
3.7	TESTS
3.7.1	Testing Procedure
3.7.2	Testing Laboratories
3.7.2.1	Capability Check
3.7.2.2	Capability Recheck
3.7.3	On-Site Laboratory
3.7.4	Furnishing or Transportation of Samples for Testing
3.8	COMPLETION INSPECTION
3.9	DOCUMENTATION
3.10	NOTIFICATION OF NONCOMPLIANCE

SECTION 01451

CONTRACTOR QUALITY CONTROL

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM D 3740 (1994a) Minimum Requirements for Agencies Engaged in the Testing and/or Inspection of Soil and Rock as Used in Engineering Design and Construction

ASTM E 329 (1993b) Agencies Engaged in the Testing and/or Inspection of Materials Used in Construction

1.2 PAYMENT

Separate payment will not be made for providing and maintaining an effective Quality Control program, and all costs associated therewith shall be included in the applicable unit prices or lump-sum prices contained in the Bidding Schedule.

PART 2 PRODUCTS (Not Used)

PART 3 EXECUTION

3.1 GENERAL

The Contractor is responsible for quality control and shall establish and maintain an effective quality control system in compliance with the Contract Clause entitled "Inspection of Construction." The quality control system shall consist of plans, procedures, and organization necessary to produce an end product which complies with the contract requirements. The system shall cover all construction operations, both on-site and off-site, and shall be keyed to the proposed construction sequence.

3.2 QUALITY CONTROL PLAN

3.2.1 General

The Contractor shall furnish for review by the Government, not later than 21 calendar days after receipt of Notice of Award of the contract, the Contractor Quality Control (CQC) Plan proposed to implement the requirements of the Contract Clause entitled "Inspection of Construction." The plan shall identify personnel, procedures, control, instructions, test, records, and forms to be used. The Government will consider an interim plan for the first 15 days of operation. Construction will be permitted to begin only after acceptance of the CQC Plan or

acceptance of an interim plan applicable to the particular feature of work to be started. Work outside of the features of work included in an accepted interim plan will not be permitted to begin until acceptance of a CQC Plan or another interim plan containing the additional features of work to be started.

### 3.2.2 Content of the CQC Plan

The CQC plan shall include, as a minimum, the following to cover all construction operations, both on-site and off-site, including work by subcontractors, fabricators, suppliers, and purchasing agents:

a. A description of the quality control organization, including a chart showing lines of authority and acknowledgment that the CQC staff shall implement the three phase control system for all aspects of the work specified. The staff shall include a CQC system manager who shall report to the project manager or someone higher in the Contractor's organization. Project manager in this context shall mean the individual with responsibility for the overall management of the project including quality and production.

b. The name, qualifications (in resume format), duties, responsibilities, and authorities of each person assigned a CQC function.

c. A copy of the letter to the CQC System Manager signed by an authorized official of the firm which describes the responsibilities and delegates sufficient authorities to adequately perform the functions of the CQC System Manager, including authority to stop work which is not in compliance with the contract. The CQC System Manager shall issue letters of direction to all other various quality control representatives outlining duties, authorities, and responsibilities. Copies of these letters will also be furnished to the Government.

d. Procedures for scheduling, reviewing, certifying, and managing submittals, including those of subcontractors, off-site fabricators, suppliers, and purchasing agents. These procedures shall be in accordance with SPECIAL CONTRACT REQUIREMENT entitled "Submittals".

e. Control, verification, and acceptance testing procedures for each specific test to include the test name, specification paragraph requiring test, feature of work to be tested, test frequency, and person responsible for each test. (Laboratory facilities will be approved by the Contracting Officer.)

f. Procedures for tracking preparatory, initial, and follow-up control phases and control, verification, and acceptance tests including documentation.

g. Procedures for tracking construction deficiencies from identification through acceptable corrective action. These procedures will establish verification that identified deficiencies have been corrected.

h. Reporting procedures, including proposed reporting formats.

i. A list of the definable features of work. A definable feature of work is a task which is separate and distinct from other tasks and has separate control requirements. It could be identified by different trades or disciplines, or it could be work by the same trade in a different environment. Although each section of the specifications may generally be considered as a definable feature of work, there are frequently more than one definable feature under a particular section. This list will be agreed upon during the coordination meeting.

### 3.2.3 Acceptance of Plan





Acceptance of the Contractor's plan is required prior to the start of construction. Acceptance is conditional and will be predicated on satisfactory performance during the construction. The Government reserves the right to require the Contractor to make changes in his CQC plan and operations including removal of personnel, as necessary, to obtain the quality specified.

#### 3.2.4 Notification of Changes

After acceptance of the QC plan, the Contractor shall notify the Contracting Officer in writing a minimum of seven calendar days prior to any proposed change. Proposed changes are subject to acceptance by the Contracting Officer.

### 3.3 COORDINATION MEETING

After the Preconstruction Conference, before start of construction, and prior to acceptance by the Government of the Quality Control Plan, the Contractor shall meet with the Contracting Officer or Authorized Representative and discuss the Contractor's quality control system. During the meeting, a mutual understanding of the system details shall be developed, including the forms for recording the CQC operations, control activities, testing, administration of the system for both on-site and off-site work, and the interrelationship of Contractor's Management and control with the Government's Quality Assurance. Minutes of the meeting shall be prepared by the Government and signed by both the Contractor and the Contracting Officer. The minutes shall become a part of the contract file. There may be occasions when subsequent conferences will be called by either party to reconfirm mutual understandings and/or address deficiencies in the CQC system or procedures which may require corrective action by the Contractor.

### 3.4 QUALITY CONTROL ORGANIZATION

#### 3.4.1 CQC System Manager

The Contractor shall identify an individual within his organization at the worksite who shall be responsible for overall management of CQC and have the authority to act in all CQC matters for the Contractor. This CQC System Manager shall be subject to acceptance by the Contracting Officer. The CQC System Manager shall be assigned as System Manager but may have other duties in addition to quality control.

#### 3.4.2 CQC Staff

A staff shall be maintained under the direction of the CQC System Manager to perform all CQC activities. An alternate will be identified to serve in the absence of the CQC System Manager. The staff must be of sufficient size to ensure adequate CQC coverage of all work phases, work shifts, and work crews involved in the construction. These personnel may perform other duties, but must be fully qualified by experience and technical training to perform their assigned CQC responsibilities and must be allowed sufficient time to carry out these responsibilities. The CQC plan will clearly state the duties and responsibilities of each staff member. All CQC Staff members or replacements shall be subject to acceptance by the Contracting Officer.

#### 3.4.3 Additional Requirement

In addition to the above requirements, the CQC System Manager shall complete the course entitled "Construction Quality Management for Contractors". This course is periodically offered by the Memphis District as well as other Corps Districts.

### 3.5 SUBMITTALS

Submittals shall be in accordance with SPECIAL CONTRACT REQUIREMENT entitled "Submittals". The CQC organization shall be responsible for certifying that all submittals are in compliance with the contract requirements.

### 3.6 CONTROL

The controls shall include at least three phases of control to be conducted by the CQC System Manager for all definable features of work, as follows:

#### 3.6.1 Preparatory Phase

This phase shall be performed prior to beginning work on each definable feature of work and shall include:

- a. A review of each paragraph of applicable specifications.
- b. A review of the contract drawings.
- c. A check to assure that all materials and/or equipment have been tested, submitted, and approved.
- d. A check to assure that provisions have been made to provide required control inspection and testing.
- e. Examination of the work area to assure that all required preliminary work has been completed and is in compliance with the contract.
- f. A physical examination of required materials, equipment, and sample work to assure that they are on hand, conform to approved shop drawings or submitted data, and are properly stored.
- g. A review of the appropriate activity hazard analysis to assure safety requirements are met.
- h. Discussion of procedures for constructing the work including repetitive deficiencies. Document construction tolerances and workmanship standards for that phase of work.
- i. A check to ensure that the portion of the plan for the work to be performed has been accepted by the Contracting Officer.
- j. The Government shall be notified at least 24 hours in advance of beginning any of the required action of the preparatory phase. This phase shall include a meeting conducted by the CQC System Manager and attended by the superintendent, other CQC personnel (as applicable), and the foreman responsible for the definable feature. The results of the preparatory phase actions shall be documented by separate minutes prepared by the CQC System Manager and attached to the daily CQC report. The Contractor shall instruct applicable workers as to the acceptable level of workmanship required in order to meet contract specifications.

#### 3.6.2 Initial Phase

This phase shall be accomplished at the beginning of a definable feature of work. The following shall be accomplished:

a. A check of preliminary work to ensure that it is in compliance with contract requirements. Review minutes of the preparatory meeting.

b. Verification of full contract compliance. Verify required control inspection and testing.

c. Establish level of workmanship and verify that it meets minimum acceptable workmanship standards. Compare with sample panels as appropriate.

d. Resolve all differences.

e. Check safety to include compliance with and upgrading of the safety plan and activity hazard analysis. Review the activity analysis with each worker.

f. The Government shall be notified at least 24 hours in advance of beginning the initial phase. Separate minutes of this phase shall be prepared by the CQC System Manager and attached to the daily CQC report. Exact location of initial phase shall be indicated for future reference and comparison with follow-up phases.

g. The initial phase should be repeated for each new crew to work on-site, or any time acceptable specified quality standards are not being met.

### 3.6.3 Follow-up Phase

Daily checks shall be performed to assure continuing compliance with contract requirements, including control testing, until completion of the particular feature of work. The checks shall be made a matter of record in the CQC documentation. Final follow-up checks shall be conducted and all deficiencies corrected prior to the start of additional features of work which may be affected by the deficient work. The Contractor shall not build upon or conceal non-conforming work.

### 3.6.4 Additional Preparatory and Initial Phases

As determined by the Government, additional preparatory and initial phases may be conducted on the same definable features of work if the quality of on-going work is unacceptable, if there are changes in the applicable CQC staff, on-site production supervision or work crew, if work on a definable feature is resumed after a substantial period of inactivity, or if other problems develop.

## 3.7 TESTS

### 3.7.1 Testing Procedure

The Contractor shall perform specified or required tests to verify that control measures are adequate to provide a product which conforms to contract requirements. Testing includes operation and/or acceptance tests when specified. The Contractor shall procure the services of a Corps of Engineers approved testing laboratory or establish an approved testing laboratory at the project site. The Contractor shall perform the following activities and record and provide the following data:

a. Verify that testing procedures comply with contract requirements.

b. Verify that facilities and testing equipment are available and comply with testing standards.

c. Check test instrument calibration data against certified standards.

d. Verify that recording forms and test identification control number system, including all of the test documentation requirements, have been prepared.

e. Results of all tests taken, both passing and failing tests, will be recorded on the CQC report for the date taken. Specification paragraph reference, location where tests were taken, and the sequential control number identifying the test will be given. If approved by the Contracting Officer, actual test reports may be submitted later with a reference to the test number and date taken. An information copy of tests performed by an off-site or commercial test facility will be provided directly to the Contracting Officer. Failure to submit timely test reports as stated may result in nonpayment for related work performed and disapproval of the test facility for this contract.

### 3.7.2 Testing Laboratories

#### 3.7.2.1 Capability Check

The Contracting Officer reserves the right to check laboratory equipment in the proposed laboratory for compliance with the standards set forth in the contract specifications and to check the laboratory technician's testing procedures and techniques. Laboratories utilized for testing soils, concrete, asphalt, and steel shall meet criteria detailed in ASTM D 3740 and ASTM E 329.

#### 3.7.2.2 Capability Recheck

If the selected laboratory fails the capability check, the Contractor will be assessed a charge to reimburse the Government for each succeeding recheck of the laboratory or the checking of a subsequently selected laboratory. Such costs will be deducted from the contract amount due the Contractor. There will be no extension of time allowed due to necessity to perform capability rechecks.

#### 3.7.3 On-Site Laboratory

The Contracting Officer reserves the right to utilize the Contractor's control testing laboratory and equipment to make assurance tests and to check the Contractor's testing procedures, techniques, and test results at no additional cost to the Government.

#### 3.7.4 Furnishing or Transportation of Samples for Testing

Costs incidental to the transportation of samples or materials will be borne by the Contractor. Samples of materials for test verification and acceptance testing by the Government shall be delivered by the Contractor to a location specified by the Contracting Officer.

### 3.8 COMPLETION INSPECTION

At the completion of all work or any increment thereof established by a completion time stated in the Special Contract Requirements entitled "Commencement, Prosecution, and Completion of Work," or stated elsewhere in the specifications, the CQC System Manager shall conduct an inspection of the work and develop a "punch list" of items which do not conform to the approved drawings and specifications. Such a list of deficiencies shall be included in the CQC documentation, as required by paragraph DOCUMENTATION below, and shall include the estimated date by which the deficiencies will be corrected. The CQC System Manager or staff shall make a second inspection to ascertain that all deficiencies have been corrected and so notify the Government. These inspections and any deficiency corrections required by this paragraph will be accomplished within the time stated for completion of the entire work or any particular increment thereof if the project is divided into increments by separate completion dates.

### 3.9 DOCUMENTATION

The Contractor shall maintain current records providing factual evidence that required quality control activities and/or tests have been performed. These records shall include the work of subcontractors and suppliers and shall be on an acceptable form that includes, as a minimum, the following information:

- a. Contractor/subcontractor and their area of responsibility.
- b. Operating plant/equipment with hours worked, idle, or down for repair.
- c. Work performed each day, giving location, description, and by whom. When Network Analysis (NAS) is used, identify each phase of work performed each day by NAS activity number.
- d. Test and/or control activities performed with results and references to specifications/drawings requirements. The control phase should be identified (Preparatory, Initial, Follow-up). List deficiencies noted along with corrective action.
- e. Quantity of materials received at the site with statement as to acceptability, storage, and reference to specifications/drawings requirements.
- f. Submittals reviewed, with contract reference, by whom, and action taken.
- g. Off-site surveillance activities, including actions taken.
- h. Job safety evaluations stating what was checked, results, and instructions or corrective actions.
- i. Instructions given/received and conflicts in plans and/or specifications.
- j. Contractor's verification statement.

These records shall indicate a description of trades working on the project; the number of personnel working; weather conditions encountered; and any delays encountered. These records shall cover both conforming and deficient features and shall include a statement that equipment and materials incorporated in the work and workmanship comply with the contract. The original and one copy of these records in report form shall be furnished to the Government daily within 24 hours after the date(s) covered by the report, except that reports need not be submitted for days on which no work is performed. As a minimum, one report shall be prepared and submitted for every seven days of no work and on the last day of a no work period. All calendar days shall be accounted for throughout the life of the contract. The first report following a day of no work shall be for that day only. Reports shall be signed and dated by the CQC System Manager. The report from the CQC System Manager shall include copies of test reports and copies of reports prepared by all subordinate quality control personnel.

### 3.10 NOTIFICATION OF NONCOMPLIANCE

The Contracting Officer will notify the Contractor of any detected noncompliance with the foregoing requirements. The Contractor shall take immediate corrective action after receipt of such notice. Such notice, when delivered to the Contractor at the worksite, shall be deemed sufficient for the purpose of notification. If the Contractor fails or refuses to comply promptly, the

Contracting Officer may issue an order stopping all or part of the work until satisfactory corrective action has been taken. No part of the time lost due to such stop orders shall be made the subject of claim for extension of time or for excess costs or damages by the Contractor.

-- End of Section --

DIVISION 1 - GENERAL REQUIREMENTS

SECTION 01452

PROJECT SIGN, BARRICADES, AND TRAFFIC CONTROL SIGNS

TABLE OF CONTENTS

PART 1	GENERAL
1.1	SCOPE
1.2	PROJECT SIGN
1.3	BARRICADES AND TRAFFIC CONTROL SIGNS
1.3.1	General
1.3.2	Traffic Control Signs
1.3.3	Barricades
1.4	PAYMENT
PART 2	PRODUCTS (Not Applicable)
PART 3	EXECUTION (Not Applicable)



SECTION 01452

PROJECT SIGN, BARRICADES, AND TRAFFIC CONTROL SIGNS

PART 1 GENERAL

1.1 SCOPE

The work covered by this section consists of furnishing, erecting, maintaining, and removing project sign, barricades, and traffic control signs.

1.2 PROJECT SIGN

The Contractor shall furnish, erect, and maintain one double faced project sign at each bridge site, at the specific location designated by the Contracting Officer. The signs shall be constructed of 3/4-inch A-C exterior plywood or 22 gage metal, mounted on a substantial framework of 2-inch material. Size, lettering, color and paint shall conform to the details shown on the drawing "Temporary Project Sign" included at the end of this section. Upon request, the Government will furnish without cost to the Contractor two decals of the Engineer Castle. The signs shall be erected as soon as practicable, but not later than 15 calendar days after the date established for commencement of work. The signs shall be removed upon completion of all other construction work under the contract and will become the property of the Contractor.

1.3 BARRICADES AND TRAFFIC CONTROL SIGNS

1.3.1 General

Barricades and traffic control signs shall conform to the "Manual on Uniform Traffic Control Devices for Streets and Highways," Current Edition.

1.3.2 Traffic Control Signs

The Contractor shall erect, maintain and remove traffic control signs at the locations indicated on the drawings. The signs shall be set up prior to the start of construction. Signs shall be properly maintained and shall be removed when no longer needed. Signs shall be kept in proper position, clean, and legible at all times. Damaged, defaced, or dirty signs shall be cleaned, repaired, or replaced. Sign supports shall be neatly constructed and shall be cleaned or repaired as necessary. Signs may be mounted on a single post except that signs wider than 48 inches or larger than 10 square feet in area shall be mounted on two posts. Signs that are to convey their messages during hours of darkness shall be reflectorized or illuminated.

1.3.3 Barricades

The barricades shall be erected at the locations and in the sequence indicated on the drawings. When the construction is complete and the bridge and new roadway at each site are ready to receive traffic, the barricades shall be removed.

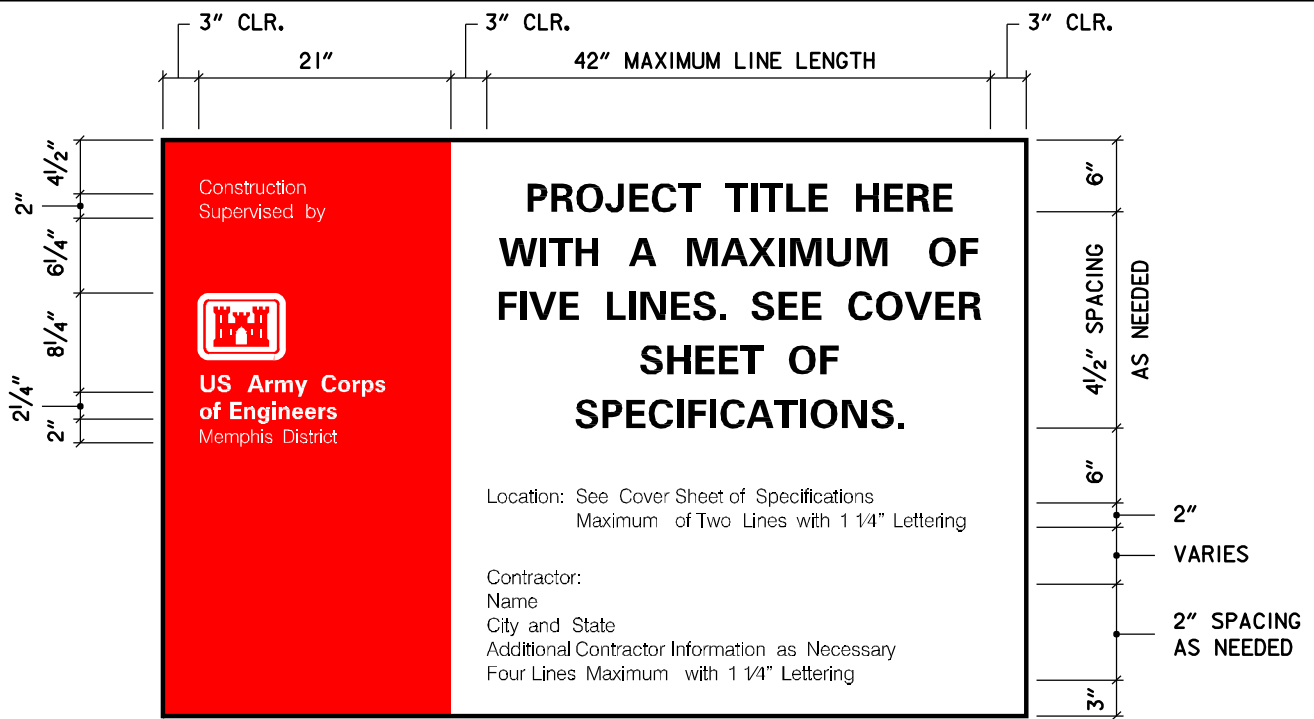
1.4 PAYMENT

No separate payment will be made for erecting, maintaining and removing barricades and traffic control signs, and all costs in connection therewith will be considered an incidental obligation of the Contractor.

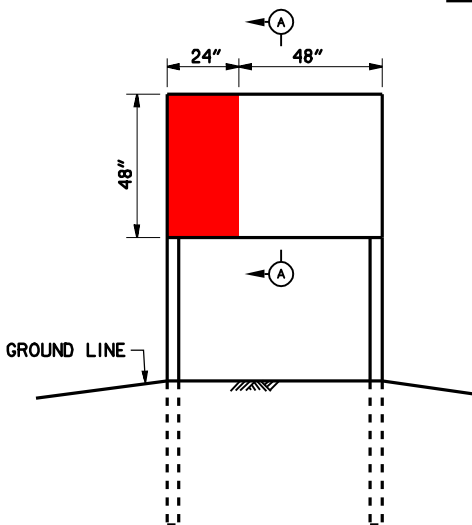
PART 2        PRODUCTS    (Not Applicable)

PART 3        EXECUTION   (Not Applicable)

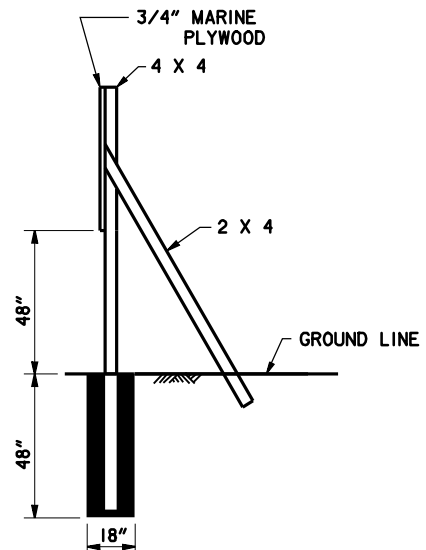
--End of Section--



## ELEVATION



## ELEVATION



## SECTION A-A

## SPECIFICATIONS

1. SIGN PANEL SHALL BE 4' x 6' x 3/4" MARINE PLYWOOD OR 22 GAGE SHEET METAL.
2. POSTS AND BRACING SHALL BE TREATED, NO.1 GRADE YELLOW PINE.
3. ALL EXPOSED SURFACES SHALL BE GIVEN ONE COAT OF LINSEED OIL AND WIPED PRIOR TO PRIMING.
4. ALL EXPOSED SURFACES SHALL BE GIVEN ONE COAT OF WHITE AS PRIMER. SECOND COAT SHALL BE COMMUNICATIONS RED ON LEFT AND WHITE ELSEWHERE.
5. THE LEFT SECTION SHALL BE RED WITH WHITE LEGEND. THE RIGHT SECTION SHALL BE WHITE WITH BLACK LEGEND.
6. PAINT SHALL BE BENJAMIN MOORE NO. 120-60 POLY-SILICONE ENAMEL OR APPROVED
7. ALL LETTERING SHALL BE 1/4" EXCEPT FOR THE WORDS "US Army Corps of Engineers" AND THE PROJECT TITLE. THE WORDS "US Army Corps of Engineers" SHALL BE 1/2" TALL. THE PROJECT TITLE LETTERING SHALL BE A MINIMUM OF 1/2" TALL AND A MAXIMUM OF 3/2" TALL. THE LETTERING SIZE SHALL BE CHOSEN SUCH THAT LARGEST POSSIBLE LETTERS ARE USED WITHOUT EXCEEDING A MAXIMUM LINE LENGTH OF 42". THE NUMBER OF LINES IN THE PROJECT TITLE SHALL MATCH THAT SHOWN ON THE COVER SHEET OF THE SPECIFICATIONS.

SCALE: NONE

JUNE 1998

U.S. ARMY ENGINEER DISTRICT, MEMPHIS  
CORPS OF ENGINEERS  
MEMPHIS, TENNESSEE

**TEMPORARY  
PROJECT SIGN**

DIVISION 2 - SITE WORK

SECTION 02110

CLEARING AND GRUBBING

TABLE OF CONTENTS

PART 1	GENERAL
1.1	SCOPE
1.2	QUALITY CONTROL
PART 2	PRODUCTS (Not Applicable)
PART 3	EXECUTION
3.1	CLEARING
3.2	GRUBBING
3.3	DISPOSAL OF DEBRIS
3.3.1	General
3.3.2	Burying
3.3.3	Burning
3.3.4	Removal from Site

SECTION 02110

CLEARING AND GRUBBING

PART 1 GENERAL

1.1 SCOPE

The work covered by this section consists of furnishing all plant, labor, equipment, and materials, and performing all operations necessary for the clearing and grubbing of the areas specified herein; for removal of existing bridge and piling; for the disposal of debris from clearing and grubbing; and for the filling of grubbing holes, all as specified herein and/or indicated on the drawings.

1.2 QUALITY CONTROL

The Contractor shall establish and maintain quality control for the work specified in this section to assure compliance with contract requirements and maintain records of his quality control for all construction operations including but not limited to the following:

(1) Clearing

Location and limits.

(2) Grubbing

Location, limits, depths, refill of holes and compaction.

(3) Disposition of Debris

Method and location of burying; damage to timber or improvements which are not to be cleared.

PART 2 PRODUCTS (Not Applicable)

PART 3 EXECUTION

3.1 CLEARING

Clearing shall consist of the complete removal above the ground surface and/or excavated surface, as applicable, of all trees, stumps, down timber, fencing, snags, brush, vegetation, and other debris. Areas to be cleared shall include all specific areas where any work is required, and all other areas within the right-of-way limits which are necessary for construction operations and operation of the Contractor's equipment. Unnecessary removal of trees or damage to trees to be left standing will not be allowed. If regrowth of vegetation or trees occurs after clearing and before applicable construction, the Contractor will be required to clear the area again prior to construction operations and no payment will be made therefor.

### 3.2 GRUBBING

Grubbing shall consist of the removal of all stumps, tap roots, buried logs, and other projections, which have a cross section more than 1-1/2 inches in diameter. Foundations of structures and foundations of all embankments as defined in SECTION 02225 paragraph 3.3.1 and SECTION 02225 paragraph 3.4, shall be grubbed to a depth of at least 2 feet below the applicable existing or excavated surfaces. The areas to be grubbed are those specific areas within the limits specified hereinabove from which trees, stumps, down timber, fencing, snags, and other projections have been removed as specified in 3.1 above. All objectionable matter shall be removed from excavated materials which will subsequently be used in the embankments or backfills. All holes caused by grubbing, except in excavations, shall be filled with suitable material as approved by the Contracting Officer in 12-inch layers to the elevation of the adjacent ground surface or excavated surface, as applicable, and each layer compacted to a density at least equal to that of the adjoining undisturbed material.

### 3.3 DISPOSAL OF DEBRIS

#### 3.3.1 General

All debris resulting from clearing and grubbing operations on this contract shall, at the Contractor's option, be disposed of by burying, burning, or removal from the site. The Contractor shall make a reasonable effort to utilize this last method to channel materials of value resulting from clearing and grubbing operations into beneficial use.

#### 3.3.2 Burying

If the Contractor elects to bury debris, it shall be placed in the landward one-half of excavated material placed in the disposal area indicated on the drawings. All material disposed of by burying shall be covered with a minimum of 24 inches of earth. Debris placed for burying shall be placed in such manner that it will not float into ditches or off the right-of-way prior to being covered with earth materials.

#### 3.3.3 Burning

The Contractor shall comply with the applicable pollution restrictions of the Missouri Air Conservation Commission, P.O. Box 1062 Jefferson City, Missouri. Subject to such restrictions and obtaining any permit which may be required by said department, the Contractor may burn material within the contract area, and at any time within the contract period, provided such burning does not cause the above standards to be exceeded. Burning operations shall be conducted so as to prevent damage to standing timber or other flammable growth. The Contractor will be responsible for any damage to life and/or property resulting from fires that are started by his employees or as a result of his operations. The Contractor shall furnish at the site of burning operations adequate fire fighting equipment to properly equip his personnel for fighting fires. Fires shall be guarded at all times and shall be under constant surveillance until they have been extinguished.

#### 3.3.4 Removal from Site

The Contractor may elect to remove from the site all debris resulting from clearing and grubbing operations for disposal off-site. All inorganic debris encountered, such as stoves, hot water heaters, old tires, etc. shall be removed from the site of the work for disposal. Such disposal shall comply with all applicable Federal, State and local laws. The Contractor may, at his option retain

for his own use or disposal by sale or otherwise any such materials of value. The Government assumes no responsibility for the protection or safekeeping of any materials retained by the Contractor. Such materials shall be removed from the site of the work before the date of completion of the work. The locations and manner of placement of clearing and grubbing debris on the right-of-way by the Contractor for his convenience prior to removal of the debris from the site of the work shall be subject to the approval of the Contracting Officer. If debris from clearing operations is placed on adjacent property, the Contractor shall obtain without cost to the Government, additional right-of-way for such purposes. Such material shall be so placed as not to interfere with roads, drainage or other improvements and in such a manner as to eliminate the possibility of its entering into channels, ditches, or streams. The Contractor shall furnish written evidence to the Contracting Officer that he has obtained from the property owner, permission for disposal of material on the owner's property. The written evidence shall consist of an authenticated copy of the conveyance under which the Contractor acquired the property rights and access thereto, prepared and executed in accordance with the laws of the State of Missouri. If temporary rights are obtained by the Contractor, then the period of time shall coincide with SECTION 00800 paragraph 1.1 hereof, plus a reasonable time for any extension granted for completion of the work.

--End of Section--

DIVISION 2 - SITE WORK

SECTION 02225

EARTHWORK

TABLE OF CONTENTS

PART 1	GENERAL
1.1	SCOPE
1.2	QUALITY CONTROL
1.3	APPLICABLE PUBLICATION
PART 2	PRODUCTS (Not Applicable)
PART 3	EXECUTION
3.1	EXCAVATION
3.1.1	General
3.1.2	Embedment of Riprap
3.1.3	End Bents
3.2	DISPOSAL OF EXCAVATED MATERIAL
3.3	ROADWAY EMBANKMENTS
3.3.1	General
3.3.2	Materials
3.3.3	Foundation Preparation
3.3.4	Placement
3.3.5	Compaction
3.4	END BENT BACKFILL
3.5	SLIDES AND SHOALS
3.5.1	Channel
3.5.2	Embankment



SECTION 02225

EARTHWORK

PART 1 GENERAL

1.1 SCOPE

The work covered by this section consists of furnishing all plant, labor, materials, and equipment, and performing all operations necessary for excavation and disposal of material therefrom; construction of embankments; and all other operations incidental thereto.

1.2 QUALITY CONTROL

The Contractor shall establish and maintain quality control for the work specified in this section to assure compliance with the contract requirements and maintain records of his quality control for all construction operations including but not limited to the following:

(1) Excavation

Layout, bottom width, grades, slopes, alignment, transitions, disposition of materials, slides.

(2) Roadway Embankment

Layout, limits, grade and section, compaction, tolerances, suitability of materials.

A copy of these records and tests, as well as the records of corrective action taken, shall be furnished the Government.

1.3 APPLICABLE PUBLICATION

The following publication of the issues listed below, but referred to thereafter by basic designation only, form a part of this specification to the extent indicated by the references thereto:

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM) PUBLICATIONS.

D 698-91	Laboratory Compaction Characteristics of Soil Using Standard Effort (12,400 ft-lbf/ft <sup>3</sup> (600 kN-m/m <sup>3</sup> ))
D 1556-90 R 1996	Density and Unit Weight of Soil in Place by the Sand-Cone Method
D 2216-92 and Ed Com 1	Water (Moisture) Content of Soil and Rock
D 2922-91	Density of Soil and Soil-Aggregate in Place by Nuclear Methods (Shallow Depth)

PART 2 PRODUCTS (Not Applicable)

PART 3 EXECUTION

3.1 EXCAVATION

3.1.1 General

The Contractor shall excavate and remove all material of whatever nature encountered as may be necessary to produce the theoretical cross sections, bottom grade, and alignment for the channel and roadside ditches, as indicated on the drawings and/or specified herein. Smooth transitions in sections shall be made as indicated on the drawings and/or as directed. A tolerance of 0.5 foot, vertically, above or below the theoretical bottom grade of the channel excavation will be allowed provided that the theoretical cross-sectional area is obtained, that the side slopes are not steeper than specified, and that the integrity of the bridge is not impaired. Refill of over-excavation shall be required as necessary to meet the above requirements. A tolerance of two-tenths foot above or below the theoretical cross section of the roadside drainage ditches will be allowed provided that abrupt changes do not occur and that drainage is maintained.

3.1.2 Embedment of Riprap

The Contractor shall excavate, in areas where filter material and riprap are required, in such a manner that the filter material and riprap are placed beneath the theoretical cross section as indicated on the drawings. Tolerances for such excavation shall be subject to the tolerances for filter material and riprap as specified in SECTION 02542, paragraphs 3.2 and 3.3. The finished grade of the adjacent channel excavation shall conform to the finished riprap grade at and in the vicinity of the junctions of these surfaces.

3.1.3 End Bents

The Contractor shall perform such excavation as necessary for construction of the end bents. Excavated material shall be disposed of as specified in 3.2 below.

3.2 DISPOSAL OF EXCAVATED MATERIAL

Excavated material shall be utilized to the extent necessary to construct the roadway embankments. Excavated material which is not utilized in the roadway construction shall be placed in the "Excess Excavated Materials Disposal Areas" indicated on the drawings. Material placed in the disposal area shall be placed with slopes and heights as indicated on the drawings and shall be dressed to provide smooth even surfaces, and in such manner that drainage will result. End slopes shall be not steeper than 1V on 4H. Placement of excavated material shall be such that water will not be impounded. Compaction will not be required except that obtained by dressing operations. The Contractor shall perform all drifting of materials as may be necessary to dispose of the material and no separate payment will be made therefor.

3.3 ROADWAY EMBANKMENTS

### 3.3.1 General

Roadway and field access ramp, embankments shall be placed to the lines, grades, and sections as indicated therefor on the drawings and/or as directed by the Contracting Officer. The suitability of each section of the foundation for placing materials thereon will be determined by the Contracting Officer. No embankment material shall be placed on or against concrete less than 14 days old, without prior approval of the Contracting Officer.

### 3.3.2 Materials

Materials for roadway embankments shall be those materials resulting from the required excavation which, in the opinion of the Contracting Officer, are suitable for such embankment work. No unsuitable organic or inorganic matter, sticks, trash, building debris, brush, trees, tree roots, stumps, rubbish, sod, muck, frozen material or any other objectionable matter shall be placed therein. The Contractor shall, when directed, remove any materials which the Contracting Officer considers to be objectionable in the embankment.

### 3.3.3 Foundation Preparation

The Contractor shall remove existing topsoil material from the roadway embankment foundations up to a depth of 6 inches below the existing ground surface. Topsoil material so removed shall be disposed of in the designated disposal areas. The surface upon which fill material is to be placed shall be compacted as specified in 3.3.5 below and thoroughly roughened prior to such placement.

### 3.3.4 Placement

Roadway and access ramp embankments shall be placed in layers not exceeding 8 inches in thickness prior to compaction. The materials may be spread by any approved equipment and each layer shall be compacted as specified in 3.3.5 below. Crawler-type tractors, tamping rollers, and self-propelled vibratory equipment shall not be used within 2 feet of any completed or partially completed concrete structure. Fill within two feet of concrete shall be placed in layers not exceeding 6 inches in thickness before compaction and compacted by means of hand-operated mechanical tampers. All fill shall be kept thoroughly drained and no fill shall be placed on frozen ground. When, in the opinion of the Contracting Officer, the surface of any layer is too smooth to bond properly with the succeeding layer, it shall be adequately scarified before the succeeding layer is placed. A tolerance of two-tenths of one foot above the prescribed grade and cross section will be permitted in the final dressing.

### 3.3.5 Compaction

Each layer of embankment shall be compacted to a density of at least 95 percent of the laboratory density obtained by the standard density test (ASTM D 698). The field density determination shall be by the Sand-Cone Method (ASTM D 1556) or the Nuclear Method (ASTM D 2922, Method B). The moisture content after compaction shall be within the limits of 2 percentage points above optimum and 3 percentage points below optimum moisture content as determined by the Contractor in accordance with ASTM D 698. The field moisture content after compaction shall be performed in accordance with ASTM D 2216 or ASTM D 3017. The materials may require moistening or aerating as necessary to provide the above specified moisture content. The Contractor will perform standard laboratory density tests as specified in ASTM D 698 for each type of material used in the fill to determine optimum water content and maximum densities and will perform field density and water content tests. The Contractor shall perform field density and water content tests on each layer of material placed to assure that proper

compaction is being achieved. The locations where the Contractor is to take the field density and water content tests shall be as specified by the Contracting Officer.

#### 3.4 END BENT BACKFILL

Any backfill necessary adjacent to end bents shall be placed and compacted as specified for roadway embankment in 3.3 above.

#### 3.5 SLIDES AND SHOALS

##### 3.5.1 Channel

In case sliding or shoaling occurs in any part of the channel excavation prior to the final acceptance of the work, the Contractor shall repair such portions of the slides and shoals as the Contracting Officer may direct. In case the slide or shoal is caused through the fault of the Contractor, it shall be repaired without cost to the Government. In case the slide or shoal is due to no fault of the Contractor, the yardage ordered removed will be included in the yardage to be paid for at the contract unit price per cubic yard for "Excavation". Material removed from the slides and shoals shall be disposed of in the disposal areas in accordance with the provisions of 3.2 above.

##### 3.5.2 Embankment

In the event of sliding of any part of the roadway embankment specified in 3.3.1 above, or embankment placed in the disposal areas, after completion but prior to acceptance, the Contractor shall, upon written order of the Contracting Officer, repair the slide as directed. In case the slide is caused through the fault of the Contractor, repairs shall be performed without cost to the Government. In case the slide is due to no fault of the Contractor, an equitable adjustment in the contract price will be made for its repair in accordance with CONTRACT CLAUSE entitled "Changes."

--End of Section--

DIVISION 2 - SITE WORK

SECTION 02542

STONE PROTECTION

TABLE OF CONTENTS

PART 1	GENERAL
1.1	SCOPE
1.2	QUALITY CONTROL
1.3	APPLICABLE PUBLICATION
PART 2	PRODUCTS
2.1	STONE
2.1.1	General
2.1.2	Sources and Evaluation Testing
2.1.3	Gradation
2.1.4	Test Method
2.1.5	Gradation Test
2.2	FILTER MATERIALS
2.2.1	General
2.2.2	Gradation
PART 3	EXECUTION
3.1	BASE PREPARATION
3.2	PLACEMENT OF FILTER MATERIAL
3.3	RIPRAP
3.3.1	General
3.3.2	Placement
3.4	GROUTING
3.4.1	Placement
3.4.2	Maintenance

## SECTION 02542

## STONE PROTECTION

## PART 1      GENERAL

## 1.1 SCOPE

The work provided for herein consists of furnishing all plant, labor, equipment and materials, and performing all operations in connection with the construction of the stone protection, including foundation preparation, placement of filter material, and placement of riprap and grouting, all in accordance with these specifications and the contract drawings.

## 1.2 QUALITY CONTROL

The Contractor shall establish and maintain quality control for the work specified in the section to assure compliance with contract requirements and maintain records of his quality control for all construction operations including but not limited to the following:

- (1) Foundation

Preparation (line and grade).

- (2) Inspection

At the worksite to ensure use of specified materials.

- (3) Filter

Gradation and placement.

- (4) Riprap

Gradation and placement.

A copy of these records and tests, as well as the records of corrective action taken, shall be furnished the Government.

### 1.3 APPLICABLE PUBLICATION

The following publication of the issue listed below, but referred to thereafter by basic designation only, forms a part of this specification to the extent indicated by the reference thereto:

U.S. ARMY CORPS OF ENGINEERS, HANDBOOK FOR CONCRETE AND CEMENT (CRD)

CRD-C 106-93 Unit Weight and Voids in Aggregate

CRD-C 107-94                      Specific Gravity and Absorption of  
Coarse Aggregate

## PART 2 PRODUCTS

### 2.1 STONE

#### 2.1.1 General

All stone shall be durable material as approved by the Contracting Officer. The sources from which the Contractor proposes to obtain the material shall be selected well in advance of the time when the material will be required. In case an undeveloped source is to be used, the Contractor will be required to show that an ample quantity of material is available before quality tests will be made. Stone for riprap shall be of a suitable quality to ensure permanence in the structure and in the climate in which it is to be used. It shall be free from cracks, seams and other defects that would tend unduly to increase its deterioration from natural causes. The inclusion of objectionable quantities of dirt, sand, clay and rock fines will not be permitted.

#### 2.1.2 Sources and Evaluation Testing

Riprap shall be obtained in accordance with the provisions in SECTION 00800 STONE SOURCES, paragraph 1.30. The Contractor shall submit suitable test reports and service records to show the acceptability of the riprap. If the Contractor proposes to furnish riprap from a source not currently listed, the Contractor will make such investigations as necessary to determine whether acceptable riprap can be produced from the proposed source. Satisfactory service records on work outside the Corps of Engineers will be acceptable. In order for riprap to be acceptable on the basis of service records, riprap of a similar size must have been placed in a similar thickness and exposed to weathering under similar conditions as is anticipated for this contract, and have satisfactorily withstood such weathering for a minimum of twenty years. If no such records are available, the Contractor will make tests to assure the acceptability of the riprap. The tests to which the riprap may be subjected will include petrographic analysis, specific gravity, abrasion, absorption, wetting and drying, freezing and thawing and such other tests as may be considered necessary by the Contracting Officer. The following guidance is provided for use by the Contractor in analyzing a new source of riprap. Riprap that weighs less than 155 lbs/c.f. or has more than 2% absorption will not be accepted unless other tests and service records show that the riprap is satisfactory. The method of testing for unit weight will be CRD-C 106. The method of testing for absorption will be CRD-C 107. Samples shall be taken by the Contractor under the supervision of the Contracting Officer at least 60 days in advance of the time the placing of the riprap is expected to begin. The Contractor has the responsibility to assure the tests are performed in accordance with applicable Corps of Engineers' methods of testing given in the Handbook for Concrete and Cement, and will be performed at a Waterways Experiment Station approved testing laboratory. The cost of testing will be borne by the Contractor.

#### 2.1.3 Gradation

Gradation shall conform to the table below and to Plate I at the end of this section and format thereof shall be as shown. Neither the width nor the thickness of any piece shall be less than one-third of its length. An allowance of 5 percent by weight for inclusion of quarry spalls will be permitted. Stone shall be reasonably well graded between the largest and smallest pieces. The table below describes the upper and lower limit curves for the riprap gradation. The graph of the riprap with the limit curves plotted thereon is inserted at the end of this section as Plate I.

TABLE I  
(For Riprap "R 90")

<u>Percent Lighter by Weight (SSD)</u>	<u>Limits of Stone Weight, lb.</u>
100	90-40
50	40-20
15	20-5

#### 2.1.4 Test Method

Gradation test method shall conform to the requirements of "LMVD Standard Test method for Gradation of Riprap" which is inserted at the end of this section as PLATE II; an Example Gradation and Worksheet, an Example Gradation and an example Gradation Test Data Sheet; all inserted at the end of this section as Plates III, IV and V.

#### 2.1.5 Gradation Test

The Contractor shall perform a gradation test or tests on the riprap at the quarry. At least one gradation test shall be performed. The sample shall be taken by the Contractor under the supervision of the Contracting Officer, shall consist of not less than 15 tons of riprap and shall be collected in a random manner which will provide a sample which accurately reflects the actual gradation arriving at the jobsite. If collected by the truckload, each truckload shall be representative of the gradation requirements. The Contractor shall provide all necessary screens, scales and other equipment, and the operating personnel therefor, and shall grade the samples, all at no additional cost to the Government.

### 2.2 FILTER MATERIALS

#### 2.2.1 General

Filter material shall consist of gravel or crushed stone. The material shall be composed of tough, durable particles, shall be reasonably free from thin, flat and elongated pieces, and shall contain no organic matter nor soft, friable particles in quantities considered objectionable by the Contracting Officer.

#### 2.2.2 Gradation

<u>U.S. Standard Sieve No.</u>	<u>Permissible Limits Percent by Weight, Passing</u>
3-inch	100
1 1/2-inch	85-100
3/4-inch	35-70
3/8-inch	5-40
No. 4	0-10

The material shall be well-graded between the limits shown. The Contractor shall furnish a certified test report which certifies that the supplied filter material meets the above gradation and also furnish a representative sample of this same material to the Government.



## PART 3 EXECUTION

### 3.1 BASE PREPARATION

Areas on which the filter material and riprap are to be placed shall be dressed to conform to cross sections shown on the contract drawings and as specified in SECTION 02225 - EARTHWORK. Humps and depressions within the slope lines shall be dressed to provide relatively smooth and uniform surfaces. Immediately prior to placing the filter material, the prepared base will be inspected by the Contracting Officer and no material shall be placed thereon until that area has been approved.

### 3.2 PLACEMENT OF FILTER MATERIAL

Filter material for riprap bedding shall be spread uniformly on the prepared base to the lines and grades as indicated on the contract drawings and in such manner as to avoid damage to the prepared base. Any damage to the surface of the prepared base during placing of the material shall be repaired before proceeding with the work. Compaction of material placed on the prepared base will not be required, but each layer shall be finished to present a reasonably even surface, free from mounds or windrows. The allowable deviation from the prescribed thickness shall be plus 2 inches.

### 3.3 RIPRAP

#### 3.3.1 General

Riprap shall be placed on the prepared base and/or filter material within the limits shown on the contract drawings. Riprap shall be as specified in 2.1 above.

#### 3.3.2 Placement

Riprap shall be placed in a manner which will produce a reasonably well-graded mass of rock with the minimum practicable percentage of voids, and shall be constructed, within the specified tolerance, to the lines and grades indicated on the contract drawings. A tolerance of plus 6 inches and minus 3 inches from the required finished surface of the riprap will be allowed provided these extremes do not occur adjacent to each other, and that neither extreme exists over more than 10 percent of the total area. Riprap shall be placed to its full course thickness in one operation and in such manner as to avoid displacing the filter material. The larger stones shall be well distributed and the entire mass of stones in their final position shall be graded to conform to the gradation specified in 3.3.1 above. The finished riprap shall be free from objectionable pockets of small stones and clusters of larger stones. Placing riprap in layers will not be permitted. Placing riprap by dumping it at the top of the slope and pushing it down the slope will not be permitted. The desired distribution of the various sizes of stones throughout the mass shall be obtained by selective loading of the material at the quarry or other source; by controlled dumping of successive loads during final placing; or by other methods of placement which will produce the specified results. Rearranging of individual stones by mechanical equipment or by hand will be required to the extent necessary to obtain a reasonably well-graded distribution of stone sizes as specified above. The Contractor shall maintain the riprap until accepted and any material displaced prior to acceptance and due to the Contractor's negligence shall be replaced at his expense and to the lines and grades indicated on the contract drawings.

### 3.4 GROUTING

#### 3.4.1 Placement

Portions of completed riprap paving as indicated on the drawings shall be grouted. No grout shall be placed under water. Grout shall be concrete consisting of 1 part Portland cement, 2 parts sand, 3 parts of ¾-inch maximum size coarse aggregate, a suitable air-entraining admixture, and sufficient water to produce a workable mixture as determined by the Contracting Officer. The grout shall be mixed in a manner so as to produce a mixture having a consistency which will permit gravity flow into the interstices of the dumped riprap with the help of limited spading and brooming. The grout shall be used in the work within 90 minutes after mixing. Retempering of grout will not be permitted. Riprap shall not be grouted when the ambient temperature is below 40 degrees F or above 85 degrees F unless approved by the Contracting Office in writing, nor when the grout, without special protection, is likely to be subjected to freezing temperatures before final set has occurred. Prior to grouting, all surfaces of riprap shall be wetted. The riprap shall be grouted in successive strips, approximately 10 feet in width, commencing at the lowest strip and working up the slope. Each batch of grout shall be dumped on the upper portion of the ungrouted part of the strip and worked into the voids between the stones and down the slopes. Grout shall be brought to the place for final deposit by approved means, and in no case shall grout be permitted to flow on the riprapped surface a distance in excess of 10 feet. Immediately after dumping a batch of grout, it shall be distributed over the surface of the strip by the use of brooms and the grout worked into place between stones with suitable spades and trowels. Adequate precautions shall be taken to prevent grout from penetrating the prepared base. As a final operation, the excess grout shall be removed from the top surfaces of the upper stones and from packets and depressions in the surface of the stone protection by use of a stiff broom having bristles resistant to water and capable of withstanding hard sweeping; and scrubbing. After completion of any strip as specified, no workman, nor any load, shall be permitted on the grouted surface for a period of at least 24 hours. The surface of all grouted riprap shall be protected from rain, flowing water and mechanical injury for a period of at least 24 hours. The surface of all grouted riprap shall be cured by keeping the surface continuously wet for a period of not less than 72 hours or by application of an approved curing compound.

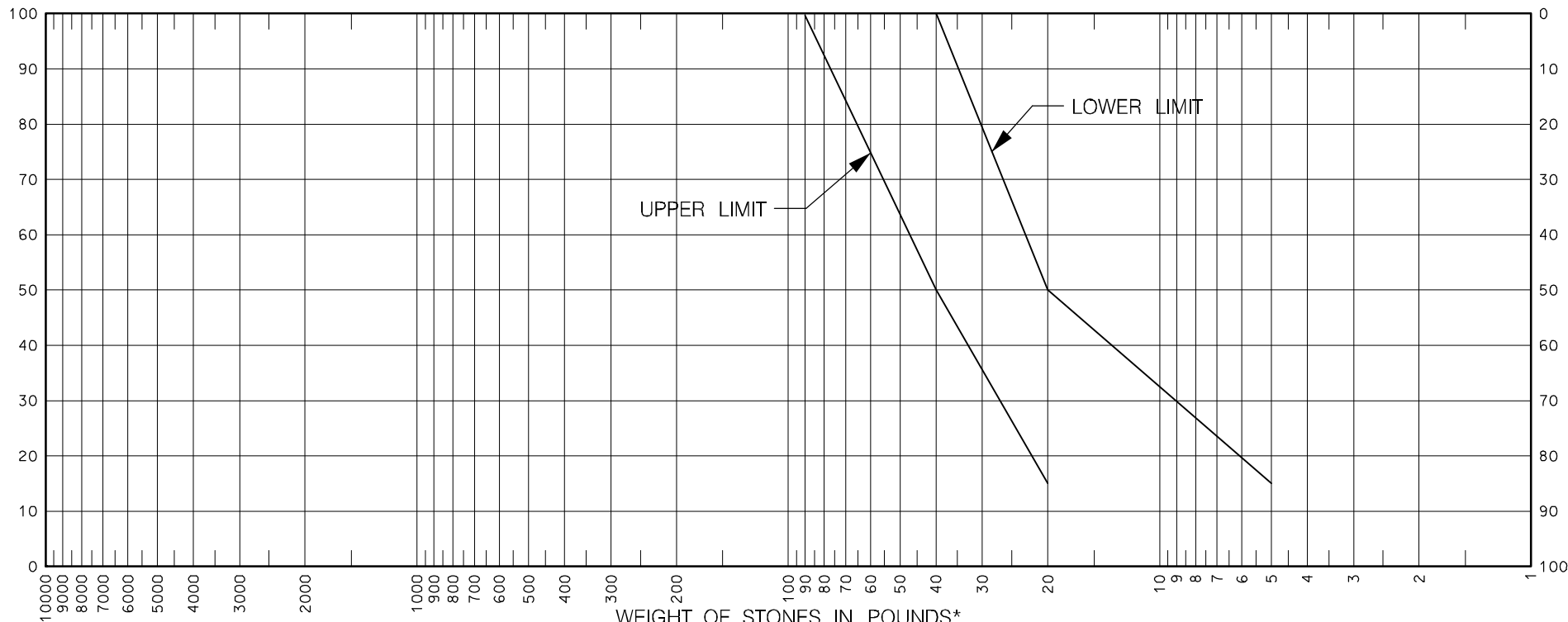
#### 3.4.2 Maintenance

The Contractor shall maintain the grouted riprap until accepted, and any material displaced prior to acceptance and due to the Contractor's negligence shall be replaced at his expense and to the lines and grades shown on the contract drawings.

-- End of Section --

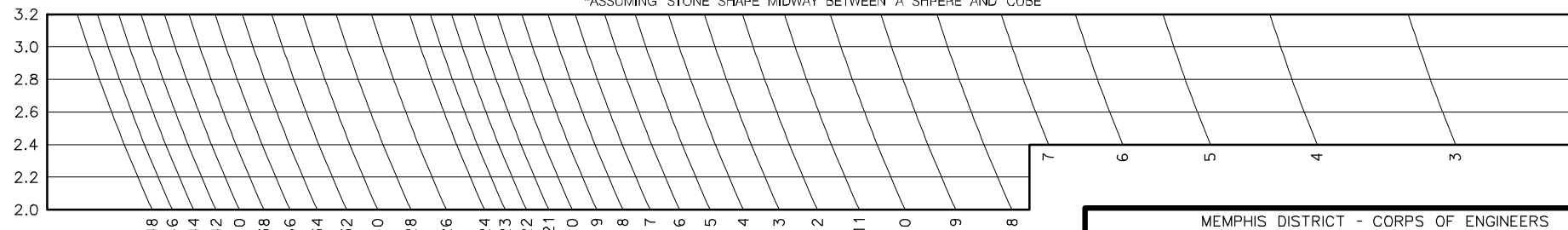
PERCENT FINER BY WEIGHT

PERCENT COARSER BY WEIGHT



WEIGHT OF STONES IN POUNDS\*  
SPECIFIC GRAVITY OF ROCK \_\_\_\_\_  
\*ASSUMING STONE SHAPE MIDWAY BETWEEN A SPHERE AND CUBE

SPECIFIC GRAVITY



SIZE OF STONE IN INCHES

MEMPHIS DISTRICT - CORPS OF ENGINEERS  
MEMPHIS, TENNESSEE

"R-90"

PROJECT: \_\_\_\_\_ DATE: \_\_\_\_\_

RIPRAP GRADATION CURVES

## LMVD STANDARD TEST METHOD FOR GRADATION

A. Select a representative sample (Note #1), weigh and dump on hard stand.

B. Select specific sizes (see example) on which to run "individual weight larger than" test. (See Note #2). Procedure is similar to the standard aggregate gradation test for "individual weight retained".

C. Determine the largest size stone in the sample. (100% size)

D. Separate by "size larger than" the selected weights, starting with the larger sizes. Use reference stones, identified weights, for visual comparison in separating the obviously "larger than" stone. Stones that appear close to the specific weight must be individually weighed to determine size grouping. Weigh each size group, either individually or cumulatively.

E. Paragraph D above will result in "individual weight retained" figures. Calculate individual percent retained (heavier than) and cumulative percent retained and cumulative percent passing (lighter than). Plot percent passing, along with the specification curve on ENG Form 4055.

### NOTES

1. Sample Selection. The most important part of the test and the least precise is the selection of a representative sample. No "standard" can be devised; larger quarry run stone is best sampled at the shot or muck pile by given direction to the loader; small graded riprap is best sampled by random selection from the transporting vehicles. If possible, all parties should take part in the sample selection and agree before the sample is run that the sample is representative.

2. Selection of Size for Separation. It is quite possible and accurate to run a gradation using any convenient sizes for the separation, without reference to the specifications. After the test is plotted on a curve, then the gradation limits may be plotted. Overlapping gradations with this method are no problem. It is usually more convenient, however, to select points from the gradation limits, such as the minimum 50% size, the minimum 15% size, and one or two others as separation points.

### E X A M P L E   G R A D A T I O N - S P E C I F I C A T I O N S

Stone Weight in Lbs.	Percent Finer by Weight
90 - 40	100
40 - 20	50
20 - 5	15

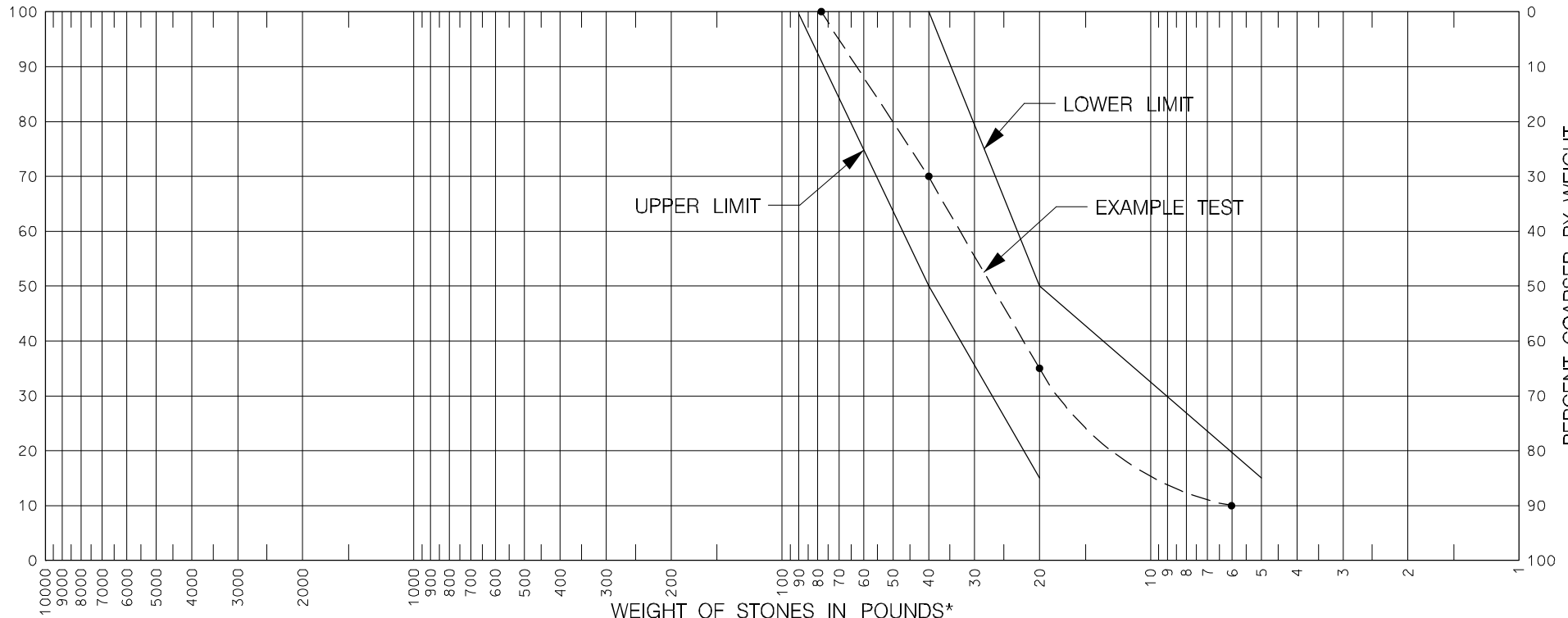
### E X A M P L E   G R A D A T I O N - W O R K S H E E T

Stone Size (lbs)	Weight Retained	Individual % Retained	Cumulative		Specification
			% Ret.	% Pass	% Finer by wt
90	0	0	-	100	
40	9600	30	30	70	100
20	11200	35	65	35	50
5	8000	25	90	10	15
< 5	3200	10	100	-	
Total Weight	32000lbs				

Remarks: LARGEST STONE SIZE = 78 LBS

PERCENT FINER BY WEIGHT

PERCENT COARSER BY WEIGHT



WEIGHT OF STONES IN POUNDS\*  
SPECIFIC GRAVITY OF ROCK \_\_\_\_\_  
\*ASSUMING STONE SHAPE MIDWAY BETWEEN A SPHERE AND CUBE

SPECIFIC GRAVITY

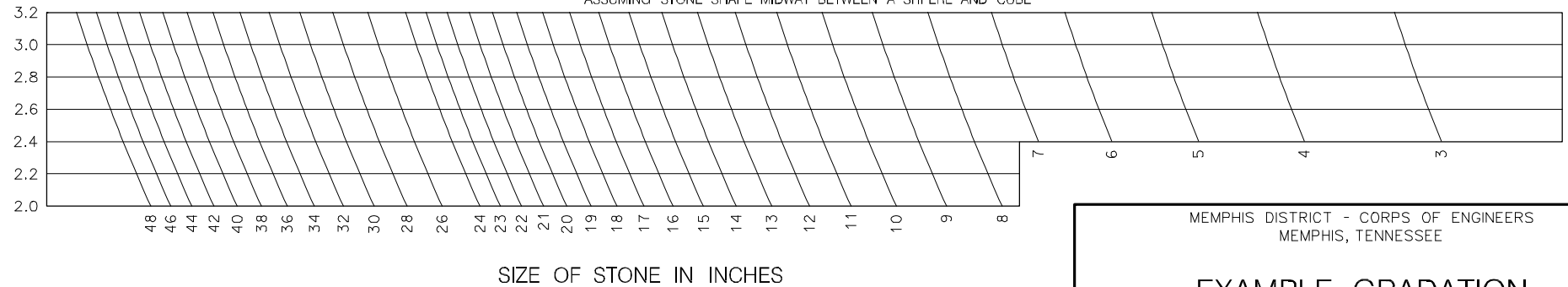


PLATE IV

MEMPHIS DISTRICT - CORPS OF ENGINEERS  
MEMPHIS, TENNESSEE

## EXAMPLE GRADATION

PROJECT: \_\_\_\_\_ DATE: \_\_\_\_\_

RIPRAP GRADATION CURVES

# G R A D A T I O N   T E S T   D A T A   S H E E T

Quarry \_\_\_\_\_ Stone Tested \_\_\_\_\_

Date of Test \_\_\_\_\_ Testing Rate \_\_\_\_\_

## T E S T   R E P R E S E N T S

Contract No.	District	Tons
TOTAL		

## G R A D A T I O N

Stone Size (lbs)	Weight Retained	Individual % Retained	Cumulative % Ret.	% Pass	Specification % Finer by wt
Total Weight					

Remarks: \_\_\_\_\_

I Certify that the above stone sample is representative of the total tonnage covered by this test report.

Contractor Representative \_\_\_\_\_

Government Representative \_\_\_\_\_

-- End of Section --

DIVISION 2 - SITE WORK

SECTION 02546

AGGREGATE SURFACING

TABLE OF CONTENTS

PART 1	GENERAL
1.1	SCOPE
1.2	QUALITY CONTROL
1.3	APPLICABLE PUBLICATION
PART 2	PRODUCTS
2.1	AGGREGATE
2.1.1	Coarse Aggregate
2.1.2	Fine Aggregate
2.1.3	Gradation
2.2	SAMPLING AND TESTING
2.2.1	General
2.2.2	Contractor Testing
PART 3	EXECUTION
3.1	SUBGRADE
3.2	PLACEMENT



SECTION 02546

AGGREGATE SURFACING

PART 1 GENERAL

1.1 SCOPE

The work covered by this section consists of furnishing all plant, labor, and materials, and performing all operations necessary for constructing aggregate surfacing upon the crown of the bridge approach roadways and field access ramps, all as indicated on the drawings and/or specified herein.

1.2 QUALITY CONTROL

The Contractor shall establish and maintain quality control for the work specified in this section to assure compliance with contract requirements, and maintain records of his quality control for all construction operations including but not limited to the following:

(1) Subgrade

Location, preparation.

(2) Materials

Material delivered to the site conforms to specifications and is comparable to samples of material previously tested and approved for use. Tests include gradation, liquid limit and plasticity index of material passing the No. 40 sieve.

(3) Placement

Width, thickness, distribution, compaction, final grading, and maintenance.

A copy of these records and tests, as well as the records of corrective action taken, shall be furnished the Government.

1.3 APPLICABLE PUBLICATION

The following publication of the issues listed below, but referred to thereafter by basic designation only, form a part of this specification to the extent indicated by the references thereto:

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM) PUBLICATIONS.

D 698-91	Laboratory compaction Characteristics Using Standard Effort (12,400 ft-lbf/ft <sup>3</sup> (600 kN-m/m <sup>3</sup> ))
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D 1556-90 (R 1996)	Density and Unit Weight of Soil in Place by the Sand-Cone Method
-----------------------	--

D 2216-92 and Ed Comt 1	Water (Moisture) Content of Soil, Rock
----------------------------	--

D 2922-91	Density of Soil and Soil-Aggregate in Place by Nuclear Methods (Shallow Depth)
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D 3017-88  
(R 1993)

Water Content of Soil and Rock in Place by  
Nuclear Methods (Shallow Depth)

D 4318-95a

Test Method for Liquid Limit, Plastic Limit,  
and Plasticity Index of Soils

## PART 2 PRODUCTS

### 2.1 AGGREGATE

Aggregate for surfacing shall be composed of sand-clay-gravel mixtures; gravel or stone screenings; crusher run coarse aggregate consisting of gravel or crushed stone with sand and binding material; or any combination of such materials which conforms to specified requirements. All material shall be free from organic matter and lumps or balls of clay. The material shall conform to the requirements as specified in 2.1.1 and 2.1.2 below, and shall conform to the gradation specified in 2.1.3 below. All aggregate surfacing furnished under this contract shall comply favorably with representative samples as to quality, gradation, and moisture content.

#### 2.1.1 Coarse Aggregate

Coarse aggregate is defined as aggregate retained on the No. 10 (2.00 mm) sieve. Coarse aggregate shall consist of hard, durable particles or fragments of stone or gravel. Materials that are soft, pliable, or subject to rapid deterioration when exposed to weathering shall not be used.

#### 2.1.2 Fine Aggregate

Fine aggregate is defined as aggregate passing the No. 10 (2.00 mm) sieve. Fine aggregate shall consist of natural or crushed sand, and also shall include fine mineral particles passing the No. 200 (0.075 mm) sieve. The fraction of the material passing the No. 200 (0.075 mm) sieve shall be no more than two-thirds that of the fraction passing the No. 40 (0.425 mm) sieve. That portion of the aggregate passing the No. 40 (0.425 mm) sieve shall have a liquid limit of not more than 35 and a plasticity index of not less than 6, nor more than 15, as determined by ASTM D 4318. However, if crushed stone is utilized then the plasticity index shall be between 0 and 15.

#### 2.1.3 Gradation

Aggregate surfacing material shall conform to the following gradation:

<u>U.S. Standard Sieve</u>	<u>Permissible Limits Percent by Weight, Passing</u>
3"	100
1-1/2"	95-100
3/4"	65-100
3/8"	40- 80
No. 4	30- 60
No. 10	20- 50
No. 40	15- 35
No. 200	5- 15

## 2.2 SAMPLING AND TESTING

### 2.2.1 General

Representative samples for testing of the material shall be taken by the Contractor under the supervision of the Contracting Officer. All costs of sampling and testing, shall be borne by the Contractor and no separate payment will be made therefor.

### 2.2.2 Contractor Testing

Prior to delivery of any material to the job site, the material shall be tested for compliance with the specifications by an approved independent testing laboratory. In the event a noticeable change in the materials is observed during placement, such testing shall be performed at the direction of the Contracting Officer regardless of the quantity of material delivered. Certified results of the tests shall be submitted to the Contracting Officer for approval.

## PART 3 EXECUTION

### 3.1 SUBGRADE

The subgrade shall be symmetrical about the centerline of the roadway and ramp embankments upon which aggregate surfacing is to be placed. Subgrade for all surfacing shall be graded and smoothed prior to placing the surfacing. Subgrade for the surfacing shall be in a satisfactory condition for receiving aggregate surfacing for a distance of at least 200 feet in advance of the placing of aggregate surfacing material, as applicable. Aggregate shall not be placed on frozen ground.

### 3.2 PLACEMENT

Aggregate surfacing material shall be placed on the prepared subgrade of the bridge approach roadways and field access ramps as applicable to the limits of work as indicated on the drawings. Material placed shall be spread uniformly to such depths and lines that when compacted will have the applicable thickness, width, and cross section indicated therefor on the drawings. The aggregate surfacing materials shall be compacted to a density of at least 95 percent of the laboratory density obtained by the standard density test (ASTM D 698). The field density determination shall be by the Sand-Cone Method (ASTM D 1556) or the Nuclear Method (ASTM D 2922), Method B. The moisture content after compaction shall be within the limit of 2 percentage points above the optimum and 3 percentage points below optimum moisture contents determined by the Contractor in accordance with ASTM D 698. The materials may require moistening or aeration as necessary to provide the above specified moisture content. The field moisture content after compaction shall be performed in accordance with ASTM D 2216 or ASTM D 3017. To assure that proper compaction is being achieved, the Contractor shall perform a minimum of one field density and moisture content test at each bridge approach at each site (4 tests as a minimum are required). The Contracting Officer can request field density and moisture content tests at any time that compaction appears inadequate. Tests shall be performed by a commercial testing laboratory or by facilities and personnel furnished by the Contractor, all as approved by the Contracting Officer.

--End of Section--

DIVISION 2 - SITE WORK

SECTION 02700

CULVERT REMOVAL AND INSTALLATION

TABLE OF CONTENTS

PART 1	GENERAL
1.1	SCOPE
1.2	QUALITY CONTROL
1.3	APPLICABLE PUBLICATION
PART 2	PRODUCTS
2.1	GENERAL
2.2	FLARED END SECTIONS
PART 3	EXECUTION
3.1	REMOVAL OF EXISTING CULVERTS
3.2	INSTALLATION OF CULVERT PIPE AND FLARED END SECTIONS
3.3	BACKFILL
3.3.1	General
3.3.2	Compacted Backfill
3.3.3	Semicompacted Backfill
3.4	FILTER MATERIAL AND RIPRAP AT THE CULVERTS

SECTION 02700

CULVERT REMOVAL AND INSTALLATION

PART 1 GENERAL

1.1 SCOPE

The work covered by this section consists of furnishing all labor, equipment, and materials, and performing all operations necessary for the removal and disposition of existing culverts, and for the installation of new corrugated metal pipe culverts and flared end sections, as indicated on the drawings, specified herein, and/or as directed.

1.2 QUALITY CONTROL

The Contractor shall establish and maintain quality control for the work specified in this section to assure compliance with contract requirements and maintain records of his quality control for all construction operations including; but, not limited to, the following:

(1) Materials

Review, prior to submittal, of certificates for compliance with specification requirements.

(2) Installation

Length, type, location, alignment, grade, slope, foundation bedding, coupling bands, repair of damaged areas.

(3) Backfill

Thickness of layers, maintenance of culvert alignment, compaction, elevation.

A copy of these records and tests, as well as the records of corrective action taken, shall be furnished the Government.

1.3 APPLICABLE PUBLICATION

The following publications of the issue listed below, but referred to thereafter by basic designation only, forms a part of this specification to the extent indicated by the reference thereto:

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM) PUBLICATIONS.

A 760/A760M-93a	Corrugated Steel Pipe, Metallic-Coated for Sewers and Drains
A 849-94	Post Applied Coatings, Pavings, and Linings for Corrugated Steel Sewer and Drainage Pipe
D 698-91	Laboratory Compaction Characteristics of Soil using Standard Effort (12,400 ft-lbf/ft <sup>3</sup> (600 kN-m/m <sup>3</sup> ))

D 1556-90  
with editorial  
change 2

Density and Unit Weight of Soil in Place  
by the Sand-Cone Method

D 2922-91

Density of Soil and Soil-Aggregate in Place by  
Nuclear Methods (Shallow Depth)

## PART 2 PRODUCTS

### 2.1 GENERAL

The zinc-coated (Galvanized) corrugated metal pipe culvert shall conform to the requirements of ASTM A 760, for Type I and shall conform to the requirements of ASTM A 849 for fully coated with paved invert using Class B material. As an alternate, aluminum coated (Aluminized) corrugated metal pipe culverts may be used conforming to ASTM A 760, Type I with no bituminous coating or paved invert required. The manufacturer's certified statement as to quality will be accepted in lieu of performing the prescribed tests. The pipes shall be fabricated from 0.064 inches thick sheets. Coupling bands for joints may be 0.052 inches thick or heavier and shall be installed as recommended by the materials manufacturer except as specified herein. Coupling bands shall be coated as specified hereinabove for the pipe and shall have corrugations, not projections, that mesh with the pipe corrugations, and if helical corrugations are used, each length of helical pipe used shall have a minimum 12-inch length of annular corrugations at each end. All installation hardware shall be as recommended by the materials manufacturer.

### 2.2 FLARED END SECTIONS

The flared end sections shall be the pipe manufacturer's standard flared end section for use with the specified pipe. Flared end sections shall be manufactured from galvanized sheet steel.

## PART 3 EXECUTION

### 3.1 REMOVAL OF EXISTING CULVERTS

The existing culverts indicated on the drawings to be removed shall be removed from their present positions and disposed of by removal from the site. The Contractor shall perform such excavation as is necessary for removal of the culverts. Earth materials resulting from the excavation shall be stockpiled and subsequently used in the culvert backfill or shall be disposed of as specified in SECTION 02225, paragraph 3.2.

### 3.2 INSTALLATION OF CULVERT PIPE AND FLARED END SECTIONS

The culvert pipe length as shown on the drawings is approximate only. The exact length of the culvert will be determined in the field by the Contracting Officer and the Contractor will be notified of such length prior to installation. The pipe shall be placed at the location indicated on the drawings. Installation of the pipe shall be accomplished in the dry. The pipe shall be bedded on a smooth surface with invert elevation as determined in the field. Joints shall be carefully made by the material manufacturer's standard method, subject to the provisions of 2 above. Pipe shall be handled with care so that damage to the coating will be minimized. Coupling band rods if applicable and damaged areas of pipe shall be coated with an approved asphaltic cement prior to placement of backfill, and in case damaged areas are at joints, such areas shall be coated

prior to making the joint. The Contractor shall perform such excavation as is necessary for installation of the culverts and the flared end sections. Excavated materials shall be utilized in the backfill or embankment or shall be disposed of as specified in Section 02225, paragraph 3.2.

### 3.3 BACKFILL

#### 3.3.1 General

Backfill shall be placed around and over the culvert pipe to the line and grade indicated on the drawings and/or as directed by the Contracting Officer. Backfill material shall be obtained from the required excavations, and shall be free from roots, muck, brush and other objectionable matter. Material used within 2 feet of the pipe shall consist of cohesive material. The Contractor will be required, when directed, to remove any materials which the Contracting Officer considers to be objectionable in the backfill. Frozen material shall not be placed in the backfill nor shall material be placed upon frozen foundations.

The suitability of each section of the foundation for placing materials thereon will be determined by the Contracting Officer.

#### 3.3.2 Compacted Backfill

Backfill material within 2 feet of the pipe shall be placed concurrently on each side of the pipe in layers not more than 6 inches in thickness prior to compaction. In placing and compacting the material, care shall be taken to insure that the backfill is rammed tight against the pipe at all points. Compaction within 2 feet of culvert pipe shall be accomplished by the use of approved mechanical hand tampers. Each layer of backfill placed within 2 feet of the culverts shall be compacted to a density of at least 95 percent of the laboratory density obtained by the standard density test (ASTM D 698), Method D. The field density determination shall be by the Sand-Cone Method (ASTM D 1556) or by the Nuclear Method (ASTM D 2922). The moisture content after compaction shall be within the limits of 2 percentage points above optimum and 3 percentage points below optimum moisture content as determined by the Contractor in accordance with ASTM D 698. The materials may require moistening or aerifying as necessary to provide the above specified moisture content. The Contractor will perform standard laboratory density tests as specified in ASTM D 698 for each type of material used in the fill to determine optimum water content and maximum densities. Tests shall be performed by an approved commercial testing laboratory or may be tested by facilities furnished by the Contractor. The Contractor shall perform field density and water content tests on each layer of material placed to assure that proper compaction is being achieved. The location where the Contractor is to take the field density and water content tests shall be as specified by the Contracting Officer. The Contracting Officer reserves the right to make quality assurance tests at the Governments Laboratory to verify Contractor test results. The cost of the assurance testing at the Government Laboratory will be at the Governments expense.

#### 3.3.3 Semicompacted Backfill

The remaining culvert backfill shall be placed in layers not exceeding 12 inches in thickness prior to compaction and shall be semicompacted. Each layer shall be compacted by at least 3 passes of a crawler type tractor weighing not less than 20,000 pounds and exerting a unit tread pressure of not less than 6 pounds per square inch and operated at speeds not to exceed 3.5 miles per hour or by other approved compacting equipment which will attain comparable compaction. When in the opinion of the Contracting Officer, the surface of any layer is too smooth to bond properly with the succeeding layer, it shall be adequately scarified before the succeeding layer is placed. The layers shall be uniformly

spread, distributed, and otherwise manipulated during placement to such an extent that individual loads of material deposited on the fill will not remain intact, and large, open voids in the fill will be eliminated.

#### 3.4 FILTER MATERIAL AND RIPRAP AT THE CULVERTS

Filter material and riprap at the culverts shall be as shown on the drawings and shall be as specified in SECTION 02542 - STONE PROTECTION.

--End of Section--



DIVISION 2 - SITE WORK

SECTION 02935

ESTABLISHMENT OF TURF

TABLE OF CONTENTS

PART 1	GENERAL
1.1	SCOPE
1.2	QUALITY CONTROL
1.3	AREAS TO BE TREATED
PART 2	PRODUCTS
2.1	MATERIALS
2.1.1	Fertilizer
2.1.2	Seed
2.1.3	Soil for Repairs
2.1.4	Mulch
2.1.4.1	General
2.1.4.2	Wood Cellulose Fiber Mulch
2.2	CERTIFICATES AND SAMPLES
2.2.1	Fertilizer
2.2.2	Seed
2.2.3	Mulch
PART 3	EXECUTION
3.1	COMMENCEMENT, PROSECUTION AND COMPLETION
3.1.1	General
3.1.2	Sequence of Work
3.2	PREPARATION OF GROUND SURFACE
3.2.1	General
3.2.2	Clearing
3.2.3	Dressing
3.2.4	Tillable Areas
3.3	SPECIAL EQUIPMENT
3.4	APPLICATION OF FERTILIZER
3.5	SEEDING
3.5.1	General
3.5.2	Broadcast Seeding
3.5.3	Damage to Seeding
3.6	APPLYING AND ANCHORING MULCH
3.7	COMPACTING
3.8	HYDRAULIC SLURRY METHOD

SECTION 02935

ESTABLISHMENT OF TURF

PART 1 GENERAL

1.1 SCOPE

The work provided for herein consists of furnishing all plant, labor, equipment, and materials, and performing all operations necessary for dressing, fertilizing, and turfing areas and the installation of erosion control as specified herein.

1.2 QUALITY CONTROL

The Contractor shall establish and maintain quality control for the work specified in this section to assure compliance with contract requirements and maintain records of his quality control for all construction operations including but not limited to the following:

(1) Preparation of Ground Surface

Location and quality of dressing, including necessary clearing, filling, or dressing out of washes, smoothness and uniformity of surfaces, and time of year.

(2) Fertilizing

Quality of materials, areas fertilized, quantity applied, and method of application.

(3) Seeding

Quality and type of seed, area covered, rate of application, quantity of seed used, and method of distribution.

(4) Mulching

Quality of materials, area mulched, quantity applied, method of application.

A copy of these records and tests, as well as the records of corrective action taken, shall be furnished the Government.

1.3 AREAS TO BE TREATED

Fertilizing, seeding, and mulching shall be performed on all surfaces of the permanent embankments and other disturbed surfaces, outside of the channel excavation, including the surface of the material placed in the disposal areas, which are not covered with water or other materials.

PART 2 PRODUCTS

2.1 MATERIALS

2.1.1 Fertilizer

Fertilizer shall consist of a mixture containing nitrogen, phosphorous, and

potash, and shall be uniform in composition and free-flowing. The fertilizer may be delivered to the site in bags or other convenient containers or delivered in bulk. If delivered in bags or containers, the fertilizer shall be fully labeled in accordance with the applicable fertilizer laws of the State of Missouri, and shall bear the name, tradename or trademark, and warranty of the producer. The fertilizer shall meet the requirements of the State of Missouri for commercial fertilizer. Should the commercial fertilizer be furnished in bulk, the Contractor shall furnish certified weight tickets and a certified quantitative analysis report, in triplicate, from a recognized testing laboratory certifying the nutrient ratio of the materials. In the event the commercial mixture is delivered to the job site in the original containers unopened, the analysis report will not be required.

#### 2.1.2 Seed

Seed labeled in accordance with U.S. Department of Agriculture Rules and Regulations under the Federal Seed Act shall be furnished by the Contractor. Seed shall be furnished in sealed, standard containers unless written exception is granted. Seed that is wet or moldy or that has been otherwise damaged in transit or storage will not be acceptable. The specifications for seeds shall conform to the following, unless otherwise approved by the Contracting Officer:

<u>Kind of Seed</u>	<u>Minimum Purity Percent</u>	<u>Minimum Germination Percent</u>
Rye	95	80
Bermuda	95	80
Sericea Lespedeza	95	80

#### 2.1.3 Soil for Repairs

For fill of areas to be repaired, soil shall be of a quality at least equal to that which exists in areas adjacent to the area to be repaired. Soil used shall be free from roots, stones, and other materials that hinder grading, planting, and maintenance operations and shall be free from objectionable weed seeds and toxic substances.

#### 2.1.4 Mulch

##### 2.1.4.1 General

Threshed straw from a cereal grain such as oats, wheat, barley, rye, or rice; or wood fiber shall be furnished and applied by the Contractor. Materials that contain noxious grass or weed seeds that might be detrimental to the turfing being established or to adjacent farmland will not be acceptable.

##### 2.1.4.2 Wood Cellulose Fiber Mulch

Wood cellulose fiber mulch for use with hydraulic application equipment shall consist of wood cellulose fiber, processed to contain no growth or germination inhibiting factors, and dyed an appropriate color to facilitate visual metering of application of the materials. The wood cellulose fiber shall contain not in excess of 10 percent moisture, air dry weight basis. The wood cellulose fiber shall be manufactured so that after addition and agitation in slurry tanks, with water, and any other additives, the fibers in the material will become uniformly suspended to form a homogeneous slurry; and that when hydraulically sprayed on the ground, the material will form a blotter-like ground cover which, after

application, will allow the absorption of moisture and allow rainfall or mechanical watering to percolate to the underlying soil. The Contractor shall be prepared to submit, on request, certification from the supplier that laboratory and field testing of the product has been accomplished, and that the product meets the foregoing requirements.

## 2.2 CERTIFICATES AND SAMPLES

### 2.2.1 Fertilizer

Duplicate signed copies of invoices from suppliers shall be furnished. Invoices shall show quantities and percentage of nitrogen, phosphorous, and potash. Upon completion of the project, a final check of the total quantity of fertilizer used will be made against total area treated, and if minimum rates of application have not been met, an additional quantity of material sufficient to make up the minimum application rate shall be distributed as directed.

### 2.2.2 Seed

The Contracting Officer shall be furnished duplicate signed copies of statements certifying that each container of seed delivered is labeled in accordance with the Federal Seed Act and is at least equal to the requirements specified in 2.1.2 above. This certification shall be obtained from the supplier and shall be furnished on or with all copies of seed invoices.

### 2.2.3 Mulch

Representative samples of the mulch materials proposed for use shall be submitted for approval.

## PART 3 EXECUTION

### 3.1 COMMENCEMENT, PROSECUTION AND COMPLETION

#### 3.1.1 General

Preparation of the ground surface, fertilizing, and turfing operations shall be accomplished during the season between 1 March and 30 June, or between 1 September and 15 November, inclusive, unless otherwise authorized by the Contracting Officer.

#### 3.1.2 Sequence of Work

The sequence of operations for work prescribed in this section shall be as follows:

- (1) Preparation of ground surface.
- (2) Fertilizing.
- (3) Seeding.
- (4) Compacting.
- (5) Mulching.

### 3.2 PREPARATION OF GROUND SURFACE

### 3.2.1 General

Equipment, in good condition, shall be provided for the proper preparation of the ground and for handling and placing all materials. Equipment shall be approved by the Contracting Officer before work is started.

### 3.2.2 Clearing

Prior to grading and finish dressing, vegetation that may interfere with turfing operations shall be removed and shall be disposed of as specified in Section 02110, paragraph 3.3. The surface shall be cleared of roots, cable, wire, and other materials that might hinder the work or subsequent maintenance.

### 3.2.3 Dressing

Previously established grades and/or slopes shall be maintained in a true and even condition on the areas to be turfed. Surfaces shall be prepared for fertilizing and seeding by finish dressing so as to produce smooth profiles, crown widths, side slopes, and end slopes.

### 3.2.4 Tillable Areas

Disposal areas occurring within tillable farmland may be seeded with a variation from the seeding mix specified in this section. The Contractor may substitute rye grass during the summer period or winter wheat during the fall season with a seeding rate per acre satisfactory to the Contracting Officer's Representative.

## 3.3 SPECIAL EQUIPMENT

Hydraulic equipment used for the application of slurry of prepared wood cellulose fiber mulch shall have a built-in agitation system with an operating capacity sufficient to agitate, suspend, and homogeneously mix a slurry. The slurry distribution lines shall be large enough to prevent stoppage. The discharge line shall be equipped with hydraulic spray nozzles that will provide even distribution of the slurry on the various slopes to be mulched. The slurry tank shall have a minimum capacity of 1,000 gallons and shall be mounted on a traveling unit, which may be either self-propelled or drawn by a separate unit, that will place the slurry tank and spray nozzles near the areas to be mulched so as to provide uniform distribution without waste. The Contracting Officer may authorize equipment with a smaller tank capacity provided that the equipment has the necessary agitation system and sufficient pump capacity to spray the slurry in a uniform coat over the surface of the area to be mulched.

## 3.4 APPLICATION OF FERTILIZER

Fertilizer shall be distributed uniformly over the areas to be seeded at a rate which will supply not less than 40 pounds of available nitrogen, 40 pounds of available phosphorous, and 40 pounds of potash per acre and shall be incorporated into the soil by light disking, harrowing, or other acceptable methods immediately following the application.

## 3.5 SEEDING

### 3.5.1 General

Seed sown during the season between 1 March and 30 June, inclusive, shall consist of 15 pounds of Rye Grass and 15 pounds of Bermuda per acre. Seed sown during

the season between 1 September and 15 November, inclusive, shall consist of 15 pounds of Rye Grass and 15 pounds of Sericea Lespedeza per acre. A satisfactory method of sowing shall be employed, using approved mechanical power-drawn seeders, mechanical hand-seeders, broadcast-seeders, or other approved methods. When conditions are such by reason of drought, high winds, excessive moisture, or other factors that satisfactory results are not likely to be obtained, work shall be halted as directed and resumed only when conditions are favorable or when approved alternative or corrective measures and procedures have been effected. If inspection either during seeding operations or after there is a show of green indicates that areas have been left unplanted, additional seed shall be sown as directed.

### 3.5.2 Broadcast Seeding

Seed shall be broadcast with approved sowing equipment and distributed uniformly over the areas. Seed shall be covered lightly by brush harrow, spike-tooth harrow, chain harrow, cultipacker, or other approved device. Seed shall not be broadcast during windy weather.

### 3.5.3 Damage to Seeding

The Contractor shall be fully responsible for any damage to the seeded areas caused by his operations. Areas that become damaged as a result of poor workmanship or failure to meet the requirements of the specifications may be ordered to be repaired and reseeded to specification requirements, without additional cost to the Government.

## 3.6 APPLYING AND ANCHORING MULCH

Mulch shall be spread uniformly in a continuous blanket, using 2 tons per acre of straw mulch or 1,200 pounds per acre of wood cellulose fiber mulch. Straw mulch shall be spread either by hand or by a manure spreader or by a modified grain combine with straw-spreader attachment or by a blower-type mulch spreader. Mulching shall be started at the windward side of relatively flat areas, or at the upper part of a steep slope, and continued uniformly until the area is covered. The mulch shall not be bunched. Immediately following spreading, straw mulch shall be anchored to the soil by a V-type-wheel land packer, a scalloped-disk or other suitable equipment operated parallel to the embankment centerline. The number of passes needed, not to exceed three, will be determined by the Contracting Officer. Wood cellulose fiber mulch shall be applied with equipment conforming to the requirements of 3.3 above.

## 3.7 COMPACTING

Immediately after seeding operations have been completed, the surfaces shall be compacted by one pass of a cultipacker, corrugated roller, or other approved equipment weighing 100 to 160 pounds per linear foot of roller.

## 3.8 HYDRAULIC SLURRY METHOD

In lieu of spreading fertilizer, sowing seed, applying mulch, and compacting as specified hereinabove, the hydraulic slurry method of fertilizing, seeding, and mulching, or any combination thereof, may be used by the Contractor, except that in no event shall the mulch be applied prior to fertilizing and seeding. Equipment to be used for application of materials by the hydraulic slurry method shall conform to the requirements specified in 3.3 above.

--End of Section--

DIVISION 3 - CONCRETE

SECTION 03100

FORMWORK FOR CONCRETE

TABLE OF CONTENTS

PART 1	GENERAL
1.1	SCOPE
1.2	QUALITY CONTROL
1.3	APPLICABLE PUBLICATIONS
1.4	SUBMITTALS
1.4.1	Shop Drawings
1.4.2	Manufacturer's Literature
PART 2	PRODUCTS
2.1	MATERIALS
2.1.1	Forms
2.1.1.1	General
2.1.1.2	Class "B" Finish
2.1.1.3	Class "D" Finish
2.1.2	Form Accessories
2.1.3	Form Coating
PART 3	EXECUTION
3.1	DESIGN
3.2	INSTALLATION
3.3	CHAMFERING
3.4	COATING
3.5	REMOVAL
3.5.1	General
3.5.2	Unsupported Concrete
3.5.3	Supported Concrete
3.6	FIELD QUALITY CONTROL

SECTION 03100

FORMWORK FOR CONCRETE

PART 1 GENERAL

1.1 SCOPE

The work covered by this section consists of furnishing all material and equipment and performing all labor for formwork for concrete.

1.2 QUALITY CONTROL

The Contractor shall establish and maintain quality control for the work specified in this section to assure compliance with contract requirements and maintain records of his quality control for all construction operations including but not limited to the following:

(1) Materials

Conform to specification requirements.

(2) Installation

Conforms to specification requirements.

(3) Finish

Class of finish.

(4) Submittals

Timeliness and accuracy.

A copy of these records and tests, as well as the records of corrective action taken, shall be furnished the Government.

1.3 APPLICABLE PUBLICATIONS

The following publications of the issues listed below, but referred to thereafter by basic designation only, form a part of this specification to the extent indicated by the references thereto:

AMERICAN CONCRETE INSTITUTE (ACI) STANDARD.

ACI 347-89 Formwork for Concrete Fifth Edition

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM) WITH CORRESPONDING CRD STANDARD.

C 31/C31M-96 Making and Curing Concrete Test



(CRD-C 11)

Specimens in the Field

C 39-96  
(CRD-C 14)

Compressive Strength of Cylindrical  
Concrete Specimens

U.S. DEPARTMENT OF COMMERCE, NATIONAL BUREAU OF STANDARDS (NBS)  
PRODUCT STANDARD.

PS 1-83

Construction and Industrial Plywood

#### 1.4 SUBMITTALS

##### 1.4.1 Shop Drawings

Drawings and computations for all formwork required shall be submitted at least 30 days before either fabrication on site or before delivery of prefabricated forms. The drawings and data submitted shall include the type, size, quantity and strength of all materials of which the forms are made, details affecting the appearance, and the assumed design values and loading conditions.

##### 1.4.2 Manufacturer's Literature

Manufacturer's literature shall be submitted for plywood, form accessories, prefabricated forms, form coating, and form lining materials.

#### PART 2 PRODUCTS

##### 2.1 MATERIALS

###### 2.1.1 Forms

###### 2.1.1.1 General

Forms shall be fabricated with facing materials that produce the specified construction tolerance requirements of Section 03300, paragraph 1.4.1 and the surface requirements of Section 03300, paragraph 1.4.2.

###### 2.1.1.2 Class "B" Finish

This class of finish shall apply to all surfaces except those specified to receive Class D. The sheathing shall be composed of tongue-and-groove or shiplap lumber, plywood conforming to NBS Product Standard PS 1, grade B-B concrete form, tempered concrete form hardboard, or steel. Steel lining on wood sheathing will not be permitted.

###### 2.1.1.3 Class "D" Finish

This class of finish shall apply to all surfaces against which backfill will be placed. The sheathing may be of wood or steel.

###### 2.1.2 Form Accessories

Ties and other similar form accessories to be partially or wholly embedded in the concrete shall be of a commercially manufactured type. After the ends or end fasteners have been removed, the embedded portion of metal ties shall terminate not less than 2-inches from any concrete surface either exposed to view or exposed to water. Plastic snap ties may be used in locations where the surface

will not be exposed to view. Form ties shall be constructed so that the ends or end fasteners can be removed without spalling the concrete.

### 2.1.3 Form Coating

Form coating shall be a commercial formulation of satisfactory and proven performance that will not bond with, stain or adversely affect concrete surfaces and will not impair subsequent treatment of concrete surfaces depending upon bond or adhesion nor impede the wetting of surfaces to be cured with water or curing compounds.

## PART 3 EXECUTION

### 3.1 DESIGN

The design and engineering of the formwork, as well as its construction shall be the responsibility of the Contractor. The formwork shall be designed for loads, lateral pressure and allowable stresses in accordance with Chapter 1 of ACI Standard 347. Forms shall have sufficient strength to withstand the pressure resulting from placement and vibration of the concrete and shall have sufficient rigidity to maintain specified tolerances.

### 3.2 INSTALLATION

Forms shall be mortar tight, properly aligned and adequately supported. Where concrete surfaces are to be permanently exposed to view, joints in form panels shall be arranged to provide a pleasing appearance. Where forms for continuous surfaces are placed in successive units, care shall be taken to fit the forms over the completed surface so as to obtain accurate alignment of the surface and to prevent leakage of mortar. Forms shall not be re-used if there is any evidence of surface wear and tear or defects which would impair the quality of the surface. All surfaces of forms and embedded materials shall be cleaned of any mortar from previous concreting and of all other foreign material before concrete is placed in them.

### 3.3 CHAMFERING

All exposed joints, edges and external corners shall be chamfered by molding placed in the forms unless the drawings specifically state that chamfering is to be omitted or as otherwise specified. Chamfered joints will not be permitted where earth or rockfill is placed in contact with concrete surfaces. Chamfered joints shall be terminated a sufficient distance outside the limit of the earth or rockfill so that the ends of the joints will be clearly visible.

### 3.4 COATING

Forms for exposed or painted surfaces shall be coated with form oil or a form-release agent before the form or reinforcement is placed in final position. The coating shall be used as recommended in the manufacturer's printed or written instructions. Forms for unexposed surfaces may be wet with water in lieu of coating immediately before placing concrete, except that in cold weather with probable freezing temperatures coating shall be mandatory. Surplus coating on form surfaces and coating on reinforcing steel and construction joints shall be removed before placing concrete.

### 3.5 REMOVAL

### 3.5.1 General

Forms shall not be removed without approval of the Contracting Officer and all removal shall be accomplished in a manner which will prevent injury to the concrete. Forms shall not be removed before the expiration of the minimum time indicated below, except as otherwise directed or specifically authorized. When conditions on the work are such as to justify the requirement, forms will be required to remain in place for a longer period. Form removal shall be scheduled so that all necessary repairs of concrete surfaces can be completed within 24 hours.

### 3.5.2 Unsupported Concrete

Formwork for vertical type forms not supporting the weight of concrete shall not be removed in less than 24 hours. The time depends on temperature, lift heights and type and amount of cementitious material in the concrete. Where forms for sides of beams also support formwork for slabs or beam soffits, the removal time of the latter shall govern.

### 3.5.3 Supported Concrete

Supporting forms and shoring shall not be removed until structural members have acquired sufficient strength to support safely their own weight and any construction load to which concrete may be subjected. In no case shall forms and shoring be removed until both minimum time and strength have been attained.

Concrete with Type I, II or III Portland Cement, or Portland Pozzolan Cement -----	Days
Joist, beams or girder soffits where clear structural span between sup- ports is under	
10 feet	4
10 to 20 feet	7
over 20 feet	14
Slab where clear structural span between supports is	
under 10 feet	3
10 to 20 feet	4
over 20 feet	7

In addition to minimum times above, results of suitable control tests in accordance with ASTM C 31 and C 39 will be used as evidence that concrete has attained sufficient strength to permit removal of forms. Concrete cylinders shall be stored in the structure or as near the structure as possible, shall receive insofar as possible the same curing and protection as given those portions of the structure they represent, and shall be tested within 24 hours after removal from the structure. Cylinders will be tested by and at the expense

of the Contractor. Supporting forms shall not be removed until after minimum time and control test specimens have attained at least 75 percent of strength required for the structure in accordance with requirements of SECTION 03300, paragraph 2.2.1.1.

### 3.6 FIELD QUALITY CONTROL

Forms and embedded items shall be inspected in sufficient time prior to each concrete placement by the Contractor in order to certify to the Contracting Officer that they are ready to receive concrete. The results of each inspection shall be reported in writing.

--End of Section--

DIVISION 3 - CONCRETE

SECTION 03200

STEEL BARS AND ACCESSORIES FOR CONCRETE REINFORCEMENT

TABLE OF CONTENTS

PART 1	GENERAL
1.1	SCOPE
1.2	APPLICABLE PUBLICATIONS
1.3	QUALITY CONTROL
1.4	QUALITY ASSURANCE
1.4.1	General
1.4.2	Reinforcement Steel Tests
1.5	SUBMITTALS
1.5.1	General
1.5.2	Shop Drawings
1.5.2.1	Steel Schedules
1.5.2.2	Bar Supports
1.5.3	Test Reports
PART 2	PRODUCTS
2.1	MATERIALS
2.1.1	Steel Bars
2.1.2	Accessories
2.1.2.1	Bar Supports
2.1.2.2	Wire Ties
PART 3	EXECUTION
3.1	PLACEMENT
3.1.1	General
3.1.2	Hooks and Bends
3.1.3	Welding
3.1.4	Placing Tolerances
3.1.4.1	Spacing
3.1.4.2	Concrete Cover
3.1.5	Splicing
3.1.5.1	General
3.1.5.2	Lap Splices

SECTION 03200

STEEL BARS AND ACCESSORIES FOR CONCRETE REINFORCEMENT

PART 1 GENERAL

1.1 SCOPE

The work covered by this section consists of furnishing all equipment, materials, and labor for providing and placing steel bars and accessories for concrete reinforcement.

1.2 APPLICABLE PUBLICATIONS

The following publications of the issues listed below, but referred to thereafter by basic designation only, form a part of this specification to the extent indicated by the references thereto:

AMERICAN CONCRETE INSTITUTE (ACI) STANDARDS.

ACI 315-80 (R 1986)	Details and Detailing of Concrete Reinforcement
ACI 318/318R-89	Building Code Requirements for Reinforced Concrete

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM) STANDARDS.

A 185-94	Steel Welded Wire Fabric, Plain for Concrete Reinforcement
A 370-97	Mechanical Testing of Steel Products
A 615/A615M-96a	Deformed and Plain Billet-Steel Bars for Concrete Reinforcement

AMERICAN WELDING SOCIETY (AWS) CODE.

D1.4-92	Structural Welding Code Reinforcing Steel
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1.3 QUALITY CONTROL

The Contractor shall establish and maintain quality control for the work specified in this section to assure compliance with contract requirements and maintain records of his quality control for all construction operations including but not limited to the following:

(1) Materials

Conform to specification requirements.

(2) Installation

Conforms to specification requirements.

### (3) Shop Drawings and Test Reports

Accuracy, detail, timeliness of submission.

A copy of these records and tests, as well as the records of corrective action taken, shall be furnished the Government.

## 1.4 QUALITY ASSURANCE

### 1.4.1 General

The Contractor shall have required material tests performed by an approved laboratory and certified to demonstrate that the materials are in conformance with the specifications. Tests shall be performed and certified at the Contractor's expense.

### 1.4.2 Reinforcement Steel Tests

Mechanical testing of steel shall be in accordance with ASTM A 370 except as otherwise specified herein or required by the material specifications. Tension tests shall be performed on full cross-section specimens, using a gage length that spans the extremities of specimens, with welds or sleeves included. The ladle analysis shall show the percentages of carbon, phosphorous, manganese, and sulfur present in the steel.

## 1.5 SUBMITTALS

### 1.5.1 General

The Contractor shall submit the following items to the Contracting Officer for approval.

### 1.5.2 Shop Drawings

Shop drawings shall be in accordance with specified requirements and include the following:

#### 1.5.2.1 Steel Schedules

Reinforcement steel schedules showing quantity, size, shape, dimensions, and bending details.

#### 1.5.2.2 Bar Supports

Details for bar supports showing types, sizes, spacing, and sequence.

### 1.5.3 Test Reports

Certified test reports of reinforcement steel showing that the steel complies with the applicable specifications shall be furnished for each steel shipment and identified with specific lots prior to placement. Four copies of the ladle analysis shall be provided for each lot of steel and the Contractor shall certify that the steel furnished conforms to the ladle analysis.

## PART 2 PRODUCTS

## 2.1 MATERIALS

### 2.1.1 Steel Bars

Billet-steel bars shall be deformed bars conforming to ASTM A 615, Grade 60, size and length as indicated on the drawings.

### 2.1.2 Accessories

#### 2.1.2.1 Bar Supports

Bar supports shall conform to ACI 315. Bar supports for formed surfaces exposed to view or to be painted shall be plastic protected wire, or stainless steel.

#### 2.1.2.2 Wire Ties

Wire ties shall be 16-gage or heavier black annealed wire. Ties for epoxy coated bars shall be vinyl coated or epoxy coated.

## PART 3 EXECUTION

### 3.1 PLACEMENT

#### 3.1.1 General

Reinforcement steel and accessories shall be placed as specified and as shown on contract drawings and approved shop drawings. Placement details of steel and accessories not specified or shown on the drawings shall be in accordance with ACI 315 or ACI 318 or as directed by the Contracting Officer. Steel shall be fabricated to shapes and dimensions shown, placed where indicated within specified tolerances, and adequately supported during concrete placement. At the time of concrete placement all steel shall be free from loose, flaky rust, scale (except tight mill scale), mud, oil, grease, or any other coating that might reduce the bond with the concrete.

#### 3.1.2 Hooks and Bends

Steel shall be mill bent unless otherwise noted on drawings. All steel shall be bent cold unless otherwise authorized. No steel bars shall be bent after being partially embedded in concrete unless indicated on the drawings or otherwise authorized.

#### 3.1.3 Welding

Welding of steel will be permitted only where indicated on the drawings or as otherwise directed by the Contracting Officer. Welding shall be performed in accordance with AWS D1.4, except where otherwise specified or indicated on the drawings.

#### 3.1.4 Placing Tolerances

##### 3.1.4.1 Spacing

The spacing between adjacent bars and the distance between layers may not vary from the indicated position by more than one bar diameter nor more than one inch.



#### 3.1.4.2 Concrete Cover

The minimum concrete cover of main reinforcement steel shall be as shown on the drawings. The allowable variation for minimum cover shall be as follows:

<u>MINIMUM COVER</u>	<u>VARIATION</u>
6"	+ 1/2"
4"	+ 1/4"
3"	+ 1/4"
2"	+ 1/4"
1-1/2"	+ 1/4"
1"	+ 1/8"
3/4"	+ 1/8"

#### 3.1.5 Splicing

##### 3.1.5.1 General

Splices in steel shall be made only as required. Bars may be spliced at alternate or additional locations at no additional cost to the Government, subject to the approval of the Contracting Officer.

##### 3.1.5.2 Lap Splices

Lap splices may be used except as noted on the drawings. Lapped bars may be placed in contact and securely tied or spaced transversely apart to permit the embedment of the entire surface of each bar in concrete. Lapped bars shall not be spaced farther apart than one-fifth the required length of lap or 6-inches.

--End of Section--

DIVISION 3 - CONCRETE

SECTION 03230

STRESSING STRANDS AND ACCESSORIES FOR PRESTRESSED CONCRETE

TABLE OF CONTENTS

PART 1	GENERAL
1.1	SCOPE
1.2	RELATED WORK SPECIFIED ELSEWHERE
1.3	APPLICABLE PUBLICATIONS
1.4	QUALITY CONTROL
1.5	QUALITY ASSURANCE
1.6	SUBMITTALS
1.6.1	Shop Drawings
1.6.2	Test Reports
1.6.3	Disposition Records
1.6.4	Equipment Descriptions and Certifications
1.6.5	Tensioning Records
1.7	DELIVERY, STORAGE, AND HANDLING OF MATERIALS
PART 2	PRODUCTS
2.1	MATERIALS
2.1.1	General
2.1.2	Seven-Wire Stress-Relieved Strand and Strand Assemblies
2.1.3	Anchorage and Couplers
PART 3	EXECUTION
3.1	INSTALLATION
3.1.1	General
3.1.2	Anchorage
3.1.3	Tensioning of Strands
3.1.3.1	General
3.1.3.2	Pretensioning
3.1.3.3	Detensioning
3.1.3.3.1	General
3.1.3.3.2	Multiple-Strand Release
3.1.3.3.3	Single-Strand Release
3.1.4	Accuracy of Stress and Elongation Measurement
3.1.4.1	Stress Measurement
3.1.4.2	Elongation Measurement
3.2	INSPECTION

SECTION 03230

STRESSING STRANDS AND ACCESSORIES FOR PRESTRESSED CONCRETE

PART 1 GENERAL

1.1 SCOPE

The work covered by this section consists of furnishing all equipment, materials, techniques and labor for providing, placing and stressing strands and accessories for the construction of prestressed concrete for the concrete piles.

1.2 RELATED WORK SPECIFIED ELSEWHERE

Requirements for concrete work as specified in other sections of DIVISION 3 - CONCRETE and SECTION 03430 - PILING; CONCRETE, PRECAST, PRESTRESSED.

1.3 APPLICABLE PUBLICATIONS

The following publications of the issues listed below, but referred to elsewhere in this section by basic designation only, form a part of this specification to the extent indicated by the references thereto:

AMERICAN CONCRETE INSTITUTE (ACI) STANDARDS.

ACI 315-80	Details and Detailing of Concrete
(R 1986)	Reinforcement

ACI 318/318RM-92	Building Code Requirements for
	Reinforced Concrete and Commentary

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM) STANDARDS.

A 416/A416M-96	Steel Strand, Uncoated Seven-Wire for
	Prestressed Concrete

PRESTRESSED CONCRETE INSTITUTE (PCI).

AMERICAN ASSOCIATION OF STATE HIGHWAY AND TRANSPORTATION OFFICIALS (AASHTO) (1990).

Standard Specifications for Highway Bridges, Fifteenth Edition 1992.

ARKANSAS STANDARD SPECIFICATIONS FOR HIGHWAY CONSTRUCTION (1993 EDITION).

1.4 QUALITY CONTROL

The Contractor shall establish and maintain quality control for the work specified in this section to assure compliance with contract requirements and maintain records of his quality control for all construction operations including but not limited to the following:

- (1) Materials

Conform to the specifications and drawings.

(2) Installation

Conforms to the specifications and drawings.

(3) Submittals

Timely submission, review for accuracy.

A copy of these records and tests, as well as the records of corrective action taken, shall be furnished the Government.

1.5 QUALITY ASSURANCE

The Contractor shall have required material tests performed on stressing strands and accessories by an approved laboratory to demonstrate that the materials are in conformance with the specifications. These tests shall be at the Contractor's expense.

1.6 SUBMITTALS

1.6.1 Shop Drawings

The Contractor shall prepare and submit to the Contracting Officer for approval complete shop drawings in accordance with specified requirements. Shop drawings shall show complete details including type and size of prestressing elements and anchorages, erection methods and details, sequence of stressing and complete stressing calculations.

1.6.2 Test Reports

Certified test reports of required material tests shall be submitted to the Contracting Officer by the Contractor for each shipment and shall be identified with specified lots.

1.6.3 Disposition Records

A system of identification which shows the disposition of specific lots of approved tested materials in the work shall be established and submitted to the Contracting Officer before completion of the contract.

1.6.4 Equipment Descriptions and Certifications

Descriptions of tensioning jacks, gages, dynamometers, load cells or other devices for measuring stressing load, certified calibration records for each set of jacking equipment, and testing curves for stress measurement gages which show that gages have been calibrated for the jacks for which they are used shall be submitted for approval prior to the start of the tensioning operations.

1.6.5 Tensioning Records

Complete tensioning records as described in 3.2 below shall be submitted to the Contracting Officer after the tensioning is completed.

## 1.7 DELIVERY, STORAGE, AND HANDLING OF MATERIALS

Materials shall be suitably wrapped, packaged or covered at the factory or shop to prevent being affected by dirt, water and rust. Materials shall be protected against abrasion or damage during shipment and handling. Materials stored at the site shall be placed above ground on a well supported platform and covered.

## PART 2 PRODUCTS

### 2.1 MATERIALS

#### 2.1.1 General

Prestressing steels shall be clean and free of loose rust, scale, and pitting. A light oxide is permissible.

#### 2.1.2 Seven-Wire Stress-Relieved Strand and Strand Assemblies

Prestressing steel shall be seven-wire uncoated stress-relieved strand and strand assemblies conforming to the requirements of ASTM A416/416M, strength and size as indicated on the drawings. Strand assemblies may be either shop or field assembled with anchor fittings positively attached to strands. Splicing of strands will not be allowed.

#### 2.1.3 Anchorages and Couplers

Anchorage and couplers shall be subject to the approval of the Contracting Officer, shall be of metal of proven corrosion resistance and compatibility with the stressing strands, and shall be capable of fully developing the minimum guaranteed ultimate strength of strands without excessive slip. Anchorages shall be the button-head, wedge, nut and thread, grip nut, thread-bar, threaded plate or other approved type provided with bearing plates, bars, rings, bells or other positive-attaching alloy steel anchor fittings.

## PART 3 EXECUTION

### 3.1 INSTALLATION

#### 3.1.1 General

Stressing strands and accessories shall be installed or placed as specified and as shown on contract and approved shop drawings. Placement details of stressing strands and accessories not specified or shown on the drawings shall be in accordance with ACI 315 or ACI 318. Stressing strands shall be fabricated to shapes and dimensions shown and shall be placed where indicated within specified tolerances and adequately supported during concrete placement. Immediately prior to installation, the protective coverings and wrappings shall be removed and each stressing strand shall be closely inspected to see that nicks, scoring or pits do not exist. Welding will not be permitted near or adjacent to stressing strands. Stressing strands shall not be placed until all welding has been completed on supports or any part which might be in contact with the strands.

#### 3.1.2 Anchorages

Anchorage must be set in a plane normal to the axis of the strands such that uniform bearing is assured. Wedge-type anchors shall not be used in inaccessible locations. Anchorages and anchor fittings shall be permanently protected against corrosion.

#### 3.1.3 Tensioning of Strands

##### 3.1.3.1 General

The stress induced in the strands by any method of tensioning shall be determined independently by both (1) measurement of strand elongation and (2) direct measurement of force using a pressure gage or load cell. The results of these two measurements shall check each other and the theoretical values within 5 percent. If they do not, the operation shall be carefully checked and the source of error determined and corrected before proceeding further. The concrete strength at transfer of stress shall be adequate for the requirements of the anchorages or for transfer through bond as well as meet camber or deflection requirements. In any case, concrete cylinder tests shall indicate a breaking strength of at least 4,000 pounds per square inch before transfer. Safety measures shall be taken by the Contractor to prevent accidental injury caused by failure of a stressing strand or component. The exposed ends of stressing strands and anchorages shall be protected from damage during stressing operations to prevent failure.

##### 3.1.3.2 Pretensioning

Strands may be tensioned by jacking of groups or may be tensioned individually by means of a single-strand jack. Before final tensioning, all strands shall be brought to a uniform initial tension between 5 and 20 percent of the final force per strand per 200 feet of bed. The force corresponding to the initial tension

shall be measured by a dynamometer or other approved method to aid in determining the final elongation. After this initial tensioning, the strands shall be stressed to the total tension required by the plans using hydraulic or mechanical equipment with gages or dynamometers graduated and calibrated to accurately determine the load applied. Cable stress shall be maintained between anchorages until the concrete has reached a compressive strength of 4,000 pounds per square inch.

### 3.1.3.3 Detensioning

#### 3.1.3.3.1 General

Forces from pretensioned strands shall be transferred to the concrete by either the multiple-strand release or the single-strand release method. The stress transfer shall not be performed until concrete strength, as indicated by test cylinders, has reached the specified transfer strength. If concrete has been heat-cured, the detensioning shall be done immediately following the curing period while the concrete is still warm and moist. During detensioning, the prestressing forces shall be kept nearly symmetrical about the vertical axis of the member and shall be applied in a manner that will minimize sudden loading. Eccentricity about the vertical axis shall be limited to one strand.

#### 3.1.3.3.2 Multiple-Strand Release

In this method, all strands shall be detensioned simultaneously and the load transferred gradually to the concrete by hydraulic jacking.

#### 3.1.3.3.3 Single-Strand Release

In this method, all strands shall be detensioned by slow heat-cutting the strands in accordance with a pattern and schedule as approved by the Contracting Officer. The strands shall be heated using a low-oxygen flame until the metal gradually loses its strength, causing release of the strands to occur gradually. The low-oxygen flame shall be played along the strand for a minimum of five inches. Strands shall be so heated that the failure of the first wire in each strand will occur after the torch has been applied for a minimum of five seconds.

### 3.1.4 Accuracy of Stress and Elongation Measurement

#### 3.1.4.1 Stress Measurement

Hydraulic gages, dynamometers, load cells or other devices for measuring stressing load shall have an accuracy of reading within two percent. Gages shall be calibrated for the jacks for which they are used. Recalibration shall be performed at any time that a gaging system shows indication of erratic results in the opinion of the Contracting Officer and, in any case, at intervals not greater than 12 months. Gages shall indicate loads directly in pounds or be accompanied by a chart which converts dial readings into pounds.

#### 3.1.4.2 Elongation Measurement

After the initial force has been applied to a strand, reference points for measuring elongation due to additional tensioning forces shall be established. They will be located according to the method of tensioning and type of equipment. The system used shall be capable of measuring the true elongation  $\pm 1/16$  inch.

### 3.2 INSPECTION

The Contractor's facilities shall be open for inspection by the Contracting

Officer at any time. The Contractor shall maintain full and accurate records of all materials incorporated into the work. Complete tensioning records shall be maintained by the Contractor showing date of tensioning, description, location and identification of the strands, manufacturer and size of strand, identification of jacking equipment, final and initial design load for each strand; theoretical elongation per strand, actual tensioning load per strand, and actual elongation per strand.

--End of Section--



DIVISION 3 - CONCRETE

SECTION 03300

CAST-IN-PLACE STRUCTURAL CONCRETE

TABLE OF CONTENTS

PART 1	GENERAL
1.1	SCOPE
1.2	APPLICABLE PUBLICATIONS
1.3	QUALITY ASSURANCE
1.4	EVALUATION AND ACCEPTANCE
1.4.1	Construction Tolerances
1.4.2	Surface Requirements
1.4.3	Appearance
1.5	SUBMITTALS
1.5.1	Test Reports
1.5.1.1	Concrete Mixture Proportions
1.5.1.2	Cement
1.5.2	Manufacturers' Certificates
1.5.2.1	Accelerating Admixture
1.5.2.2	Impervious Sheet Curing Materials
1.5.2.3	Air-Entraining Admixture
1.5.2.4	Water-Reducing Admixture
1.5.2.5	Curing Compound
1.5.3	Hot-Weather and Cold-Weather Requirements
1.5.3.1	Cold-Weather Requirements
1.5.3.2	Hot-Weather Requirements
1.6	QUALITY CONTROL
PART 2	PRODUCTS
2.1	MATERIALS
2.1.1	Cementitious Materials
2.1.1.1	General
2.1.1.2	Portland Cement
2.1.2	Aggregates
2.1.3	Admixtures
2.1.3.1	General
2.1.3.2	Air-Entraining Admixture
2.1.3.3	Accelerating Admixture
2.1.3.4	Water Reducing or Retarding Admixtures
2.1.4	Curing Materials
2.1.4.1	Impervious Sheet Materials
2.1.4.2	Membrane-Forming Curing Compound
2.1.5	Water
2.2	MIXTURE PROPORTIONING
2.2.1	Quality
2.2.1.1	Strength
2.2.1.2	Maximum Water-Cement Ratio
2.2.2	Nominal Maximum Size Coarse Aggregate
2.2.3	Air Content

2.2.4 Slump

PART 3 EXECUTION

3.1 PRODUCTION OF CONCRETE

3.1.1 Capacity

3.1.2 Batching Plant

3.1.2.1 Equipment

3.1.2.2 Scales

3.1.2.3 Batching Tolerances

3.1.2.3.1 Weighing Tolerances

3.1.2.3.2 Volumetric Tolerances

3.1.2.4 Moisture Control

3.1.3 Mixers

3.1.3.1 General

3.1.3.2 Concrete Plant Mixers

3.1.3.3 Truck Mixers

3.2 CONVEYING EQUIPMENT

3.2.1 General

3.2.2 Buckets

3.2.3 Transfer Hoppers

3.2.4 Trucks

3.2.5 Chutes

3.2.6 Pump Placement

3.3 PREPARATION FOR PLACING

3.3.1 Embedded Items

3.3.2 Concrete on Earth Foundations

3.3.3 Construction Joint Treatment

3.3.3.1 General

3.3.3.2 Cleaning

3.3.3.2.1 Air-Water Cutting

3.3.3.2.2 High-Pressure Water Jet

3.3.3.2.3 Sandblasting

3.3.3.2.4 Waste Disposal

3.4 PLACING

3.4.1 General

3.4.2 Time Interval Between Mixing and Placing

3.4.3 Cold-Weather Placing

3.4.4 Hot-Weather Placing

3.4.5 Consolidation

3.5 FINISHING

3.5.1 Unformed Surfaces

3.5.1.1 General

3.5.1.2 Hot Weather

3.5.1.3 Trowel Finish

3.5.2 Formed Surfaces

3.5.2.1 General

3.5.2.2 Repair of Surface Defects

3.6 CURING AND PROTECTION

3.6.1 General

3.6.2 Moist Curing

3.6.3 Membrane Curing

3.6.3.1 With Curing Compound

3.6.3.2 Pigmented Curing Compound

3.6.3.3 To Formed Surfaces

3.6.4 Impervious-Sheet Curing

3.6.5 Cold Weather

SECTION 03300

CAST-IN-PLACE STRUCTURAL CONCRETE

PART 1 GENERAL

1.1 SCOPE

The work covered by this section consists of furnishing all material and equipment and performing all labor for cast-in-place concrete.

1.2 APPLICABLE PUBLICATIONS

The following publications of the issues listed below, but referred to thereafter by basic designation only, form a part of this specifications to the extent indicated by the references thereto:

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM) WITH CORRESPONDING CRD STANDARD INDICATED WHERE AVAILABLE.

C 31/C31M-96 (CRD-C 11)	Making and Curing Concrete Test Specimens in the Field
C 33-93 (CRD-C 133)	Concrete Aggregates
C 39-96 (CRD-C 14)	Compressive Strength of Cylindrical Concrete Specimens
C 94-96 and Ed Cmt 1 (CRD-C 31)	Ready-Mixed Concrete
C 143-90a	Slump for Hydraulic Cement Concrete
C 150-97 (CRD-C 201)	Portland Cement
C 171-97a (CRD-C 310)	Sheet Materials for Curing Concrete
C 172-90 (CRD-C 4)	Sampling Freshly Mixed Concrete
C 231-97 (CRD-C 41)	Air Content of Freshly Mixed Concrete by the Pressure Method
C 260-95 (CRD-C 13)	Air-Entraining Admixtures for Concrete
C 494-92 (CRD-C 87)	Chemical Admixtures for Concrete
C 566-97	Total Moisture Content of Aggregate

(CRD-C 113)	by Drying
D 75-87 and Ed Cmt 1 (R 1992) (CRD-C 155)	Sampling Aggregates
E 329-95c (CRD-C 500)	Agencies Engaged in the Testing and/or Inspection of Materials used in Construction

U.S. DEPARTMENT OF COMMERCE, NATIONAL BUREAU OF STANDARDS (NBS)  
HANDBOOK

H44	Specifications, Tolerances, and Other Technical Requirements for Weighing and Measuring Devices (1986)
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AMERICAN CONCRETE INSTITUTE (ACI)

ACI 305R-91	Hot Weather Concreting
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U.S. ARMY CORPS OF ENGINEERS HANDBOOK FOR CEMENT AND CON-CRETE (CRD)

CRD-C 94-95	Surface Retarders
CRD-C 143-62	Meters for Automatic Indication of Moisture in Fine Aggregate
CRD-C 300-90	Membrane-Forming Compounds for Curing Concrete
CRD-C 400-63	Water for Use in Mixing or Curing Concrete

### 1.3 QUALITY ASSURANCE

The Contractor will sample and test aggregates and concrete to determine compliance with the specifications. The Contractor shall provide facilities and labor as may be necessary for procurement of representative test samples. Samples of aggregates will be obtained at the point of batching in accordance with ASTM D 75. Concrete will be sampled in accordance with ASTM C 172. Slump and air content will be determined in accordance with ASTM C 231. Compression test specimens will be made and laboratory cured in accordance with ASTM C 31 and compression test specimens tested in accordance with ASTM C 39.

### 1.4 EVALUATION AND ACCEPTANCE

#### 1.4.1 Construction Tolerances

Variation in alignment, grade and dimensions of the structures from the established alignment, grade and dimensions shown on the drawings shall be minus 1/4-inch and plus 1/2-inch.

#### 1.4.2 Surface Requirements

The surface requirements for the classes of finish required by SECTION 03100, paragraph 2.1 shall be as hereinafter specified. Allowable irregularities are designated "abrupt" or "gradual" for purposes of providing for surface variations. Offsets resulting from displaced, misplaced or mismatched forms, or sheathing, or by loose knots in sheathing, or other similar form defects, shall be considered "abrupt" irregularities. Irregularities resulting from warping, unplaneness or similar uniform variations from planeness, or true curvature, shall be considered "gradual" irregularities. "Gradual" irregularities will be checked for compliance with the prescribed limits with a 5-foot template, consisting of a straightedge for plane surfaces and a shaped template for curved or warped surfaces. In measuring irregularities, the straightedge or template may be placed anywhere on the surface in any direction, with the testing edge held parallel to the intended surface.

<u>Class of Finish</u>	<u>Maximum Irregularities</u>	
	<u>Abrupt, inches</u>	<u>Gradual, inches</u>
B	1/4	1/2
D	1	1

#### 1.4.3 Appearance

Permanently exposed surfaces shall be cleaned, if stained or otherwise discolored, by a method which does not harm the concrete and which is approved by the Contracting Officer.

#### 1.5 SUBMITTALS

##### 1.5.1 Test Reports

##### 1.5.1.1 Concrete Mixture Proportions

Concrete mixture proportions shall be determined by the Contractor and submitted for information only. The proportions of all ingredients and nominal maximum coarse aggregate size that will be used in the manufacture of the concrete shall be stated. Proportions shall indicate weight of cement and water and weight of aggregates in a saturated surface-dry condition. The submission shall be accompanied by test reports from a laboratory complying with ASTM E 329 which show that proportions thus selected will produce concrete of the quality indicated. No substitution shall be made in the source or type of materials used in the work without additional tests to show that the new materials and quality of concrete are satisfactory.

##### 1.5.1.2 Cement

Cement will be accepted on the basis of manufacturer's certification of compliance, accompanied by mill test reports that materials meet the requirements of the specification under which it is furnished. Certification and mill test reports shall identify the particular lot furnished. No cement shall be used until notice of acceptance has been given by the Contracting Officer. Cement will be subject to check testing from samples obtained at the mill, at transfer points or at the project site, as scheduled by the Contracting Officer, and such sampling will be by or under the supervision of the Government at its expense.

Material not meeting specifications shall be promptly removed from the site of work.

#### 1.5.2 Manufacturers' Certificates

##### 1.5.2.1 Accelerating Admixture

Accelerating admixture shall be certified for compliance with all specification requirements.

##### 1.5.2.2 Impervious Sheet Curing Materials

Impervious sheet curing materials shall be certified for compliance with all specification requirements.

##### 1.5.2.3 Air-Entraining Admixture

Air-entraining admixture shall be certified for compliance with all specification requirements.

##### 1.5.2.4 Water-Reducing Admixture

Water-reducing admixture shall be certified for compliance with all specification requirements.

##### 1.5.2.5 Curing Compound

Curing compound shall be certified for compliance with all specification requirements.

#### 1.5.3 Hot-Weather and Cold-Weather Requirements

##### 1.5.3.1 Cold-Weather Requirements

If concrete is to be placed under cold weather conditions, the proposed materials, methods and protection shall be in accordance with the requirements of 3.4.3 and 3.6.5 of this section for approval by the Contracting Officer.

##### 1.5.3.2 Hot-Weather Requirements

If concrete is to be placed under hot weather conditions, the proposed materials and methods shall be in accordance with the requirements of 3.4.4 and 3.5.1.2 below for approval by the Contracting Officer.

#### 1.6 QUALITY CONTROL

The Contractor shall establish and maintain quality control for the work specified in this section to assure compliance with contract requirements and maintain records of his quality control for all construction operations including but not limited to the following:

##### (1) Materials

Conform to the specifications.

##### (2) Construction

Mixing, placing, finishing, curing, and protection.

A copy of these records and tests, as well as the records of corrective action taken, shall be furnished the Government.

## PART 2 PRODUCTS

### 2.1 MATERIALS

#### 2.1.1 Cementitious Materials

##### 2.1.1.1 General

Cementitious materials shall be portland cement or portland-pozzolan cement and shall conform to appropriate specifications listed in 2.1.1.2.

##### 2.1.1.2 Portland Cement

ASTM C 150, Type I, II or III except that the maximum amount of tricalcium aluminate in Type I cement shall be 15 percent.

##### 2.1.2 Aggregates

Fine and coarse aggregates shall conform to the applicable requirements of ASTM C 33. Coarse aggregate shall conform to grading requirements for sizes 467, 57 or 67, as appropriate. The nominal maximum coarse aggregate size shall be as listed in 2.2.2.

##### 2.1.3 Admixtures

##### 2.1.3.1 General

Admixtures to be used, when required or permitted, shall conform to the appropriate specification listed in 2.1.3.2, 2.1.3.3, and 2.1.3.4.

##### 2.1.3.2 Air-Entraining Admixture

ASTM C 260; however, air-entraining admixture which has been in storage at the project site for longer than 6 months or which has been subjected to freezing shall not be used.

##### 2.1.3.3 Accelerating Admixture

Accelerating admixture shall conform to the requirements as specified in ASTM C494, type C.

##### 2.1.3.4 Water Reducing or Retarding Admixtures

ASTM C 494, Type A, B or D.

##### 2.1.4 Curing Materials

##### 2.1.4.1 Impervious Sheet Materials

ASTM C 171, type optional except polyethylene film, if used, shall be white opaque.

##### 2.1.4.2 Membrane-Forming Curing Compound

CRD-C 300, pigmented or non-pigmented as required in 3.6.3 below. Non-pigmented

compound shall contain a fugitive dye.

#### 2.1.5 Water

Water for mixing shall be fresh, clean, drinkable, and free of injurious amounts of oil, acid, salt and alkali except that undrinkable water may be used if it meets the requirements of CRD-C 400.

### 2.2 MIXTURE PROPORTIONING

#### 2.2.1 Quality

##### 2.2.1.1 Strength

Specified minimum compressive strength for cast-in-place concrete shall be 3,000 psi at 28 days.

##### 2.2.1.2 Maximum Water-Cement Ratio

Maximum water-cement ratio shall be 0.55 by weight.

#### 2.2.2 Nominal Maximum Size Coarse Aggregate

Nominal maximum size coarse aggregate shall be 1-1/2-inch or 1-inch except 3/4-inch nominal maximum size coarse aggregate shall be used when any of the following conditions exist: the narrowest dimension between sides of forms is less than 7-1/2 inches, the depth of the slab is less than 4-1/2 inches or when the minimum clear spacing between forms and/or reinforcing is less than 2 inches. Furthermore, 3/4-inch nominal maximum size coarse aggregate may be used in precast concrete.

#### 2.2.3 Air Content

Air content as determined by ASTM C 231 shall be 5.0 percent  $\pm$  1.5 percent, except that when nominal maximum size coarse aggregate is 3/4-inch it shall be 6.0 percent  $\pm$  1.5 percent.

#### 2.2.4 Slump

The slump shall be determined in accordance with ASTM C 143 and shall be within the range of 1 inch - 4 inches. Where placement by pump is approved, the slump shall not exceed 6 inches and shall remain within a 3-inch band.

## PART 3 EXECUTION

### 3.1 PRODUCTION OF CONCRETE

#### 3.1.1 Capacity

The batching, mixing and placing equipment shall have a capacity of at least 30 cubic yards per hour.

#### 3.1.2 Batching Plant

##### 3.1.2.1 Equipment

The batching controls shall be partially automatic or manual. Separate bins or compartments shall be provided for each size group of aggregate and cement. Aggregate shall be weighed either in separate weigh batchers with individual



scales or cumulatively in one weigh batcher on one scale. Aggregate shall not be weighed in the same batcher with cement. If measured by weight, water shall not be weighed cumulatively with another ingredient. Water batcher filling and discharging valves shall be so interlocked that the discharge valve cannot be opened before the filling valve is fully closed. An accurate mechanical device for measuring and dispensing each admixture shall be provided. Each dispenser shall be interlocked with the batching and discharging operation of the water so that each admixture is separately batched and discharged automatically in a manner to obtain uniform distribution throughout the batch in the specified mixing period. Where use of truck mixers makes this requirement impracticable, the admixture dispensers shall be interlocked with the sand batcher. Admixtures will not be combined prior to introduction in water or sand. The plant shall be arranged so as to facilitate the inspection of all operations at all times. Suitable facilities shall be provided for obtaining representative samples of aggregates from each bin or compartment.

#### 3.1.2.2 Scales

The weighing equipment shall conform to the applicable requirements of NBS Handbook 44, except that the accuracy shall be plus or minus 0.2 percent of scale capacity. The Contractor shall provide standard test weights and any other auxiliary equipment required for checking the operating performance of each scale or other measuring device. Scales and other measuring devices shall be tested for accuracy in the presence of a representative of the Contracting Officer prior to batching; however, a certified test report performed by an approved testing company within the past year will be accepted in lieu of the above test.

#### 3.1.2.3 Batching Tolerances

##### 3.1.2.3.1 Weighing Tolerances

Whichever of the following tolerances is greater shall apply, based on required scale reading.

<u>Material</u>	<u>Percent of Required Weight</u>	<u>Percent of Scale Capacity</u>
Cementitious Materials	+1	+0.3
Aggregate	+2	+0.3
Water	+1	+0.3
Admixture	+3	+0.3

##### 3.1.2.3.2 Volumetric Tolerances

For volumetric batching equipment the following tolerances shall apply to the required volume of material being batched:

Water: Plus or minus 1 percent.  
Admixtures: Plus or minus 3 percent.

#### 3.1.2.4 Moisture Control

The plant shall be capable of ready adjustment to compensate for the varying moisture contents of the aggregates, and to change the weights of the materials being batched. The Contractor shall perform at least one moisture content test

on each type of aggregate each day that concrete is placed. Additional tests shall be performed by the Contractor if so directed by the Contracting Officer. Tests shall be performed in accordance with ASTM C 566; however, tests on fine aggregate may be made with an electric moisture meter complying with the provisions of CRD-C 143 provided the sensing element is arranged so that measurement is made near the batcher charging gate of the sand bin or in the sand batcher.

### 3.1.3 Mixers

#### 3.1.3.1 General

The mixers shall not be charged in excess of the capacity recommended by the manufacturer. The mixers shall be operated at the drum or mixing blade speed designated by the manufacturer. The mixers shall be maintained in satisfactory operating condition, and the mixer drums shall be kept free of hardened concrete. Should any mixer at any time produce unsatisfactory results, its use shall be promptly discontinued until it is repaired.

#### 3.1.3.2 Concrete Plant Mixers

Concrete plant mixers shall be tilting, non-tilting, horizontal-shaft or vertical-shaft type and shall be provided with an acceptable device to lock the discharge mechanism until the required mixing time has elapsed. The mixing time shall conform to 3.1.3.3 below.

#### 3.1.3.3 Truck Mixers

Truck mixers, the mixing of concrete therein, and concrete uniformity, shall conform to the requirements of ASTM C 94. A truck mixer may be used either for complete mixing (transit-mixed) or to finish the partial mixing done in a stationary mixer (shrink-mixed). Each truck shall be equipped with two counters from which it will be possible to determine the number of revolutions at mixing speed and the number of revolutions at agitating speed.

## 3.2 CONVEYING EQUIPMENT

### 3.2.1 General

Concrete shall be conveyed from mixer to forms as rapidly as practicable and within the time interval in 3.4.2 below by methods which will prevent segregation or loss of ingredients. Any concrete transferred from one conveying device to another shall be passed through a hopper which is conical in shape and shall not be dropped vertically more than five feet, except where suitable equipment is provided to prevent segregation and where specifically authorized. Telephonic or other satisfactory means of rapid communication between the mixing plant and the forms in which concrete is being placed shall be provided and available for use by Government inspectors.

### 3.2.2 Buckets

The interior hopper slope shall be not less than 58 degrees from the horizontal, the minimum dimension of the clear gate opening shall be at least 5 times the nominal maximum size aggregate and the area of the gate opening shall be not less than two square feet. The maximum dimension of the gate opening shall not be greater than twice the minimum dimension. The bucket gates shall be essentially grout tight when closed and may be manually, pneumatically or hydraulically operated, except that buckets larger than 2 cubic yards shall not be manually operated. The design of the bucket shall provide means for positive regulation

of the amount and rate of deposit of concrete in each dumping position.

### 3.2.3 Transfer Hoppers

Concrete may be charged into non-agitating hoppers for transfer to other conveying devices. Transfer hoppers shall be capable of receiving concrete directly from delivery vehicles, and have conical-shaped discharge features. The machine shall be equipped with a hydraulically-operated gate and with a means of external vibration to effect complete and facile discharge. Concrete shall not be held in non-agitating transfer hoppers more than 30 minutes.

### 3.2.4 Trucks

Truck mixers operating at agitating speed or truck agitators used for transporting plant-mixed concrete shall conform to the requirements of ASTM C 94. Non-agitating equipment may be used for transporting plant-mixed concrete over a smooth road when hauling time is less than 15 minutes. Bodies of non-agitating equipment shall be smooth, watertight, metal containers equipped with gates that will permit the discharge of the concrete.

### 3.2.5 Chutes

When concrete can be placed directly from a truck mixer, agitator or non-agitating equipment, the chutes attached to this equipment may be used. A discharge deflector shall be used when required by the Contracting Officer. Separate chutes and other similar equipment will not be permitted for conveying concrete except when specifically approved.

### 3.2.6 Pump Placement

Concrete may be conveyed by positive displacement pump when approved by the Contracting Officer. The pumping equipment shall be piston or squeeze pressure type. The pipeline shall be rigid steel pipe or heavy duty flexible hose. The inside diameter of the pipe shall be at least three times the nominal maximum size coarse aggregate in the concrete mixture to be pumped but not less than 4 inches. The maximum size coarse aggregate will not be reduced to accommodate the pumps. The distance to be pumped shall not exceed limits recommended by the pump manufacturer. The concrete shall be supplied to the concrete pump continuously. When pumping is completed, concrete remaining in the pipeline shall be ejected without contamination of concrete in place. After each operation, equipment shall be thoroughly cleaned, and flushing water shall be wasted outside of the forms.

## 3.3 PREPARATION FOR PLACING

### 3.3.1 Embedded Items

Before placing concrete, care shall be taken to determine that all embedded items are firmly and securely fastened in place as indicated on the drawings, or required. Embedded items shall be free of oil and other foreign matter such as loose coatings or rust, paint and scale. The embedding of wood in concrete will be permitted only when specifically authorized or directed. Voids in sleeves, inserts and anchor slots shall be filled temporarily with readily removable materials to prevent the entry of concrete into voids.

### 3.3.2 Concrete on Earth Foundations

Earth surfaces upon which concrete is to be placed shall be clean, damp, and free

from frost, ice, and standing or running water. Prior to placing concrete the earth foundation shall have been compacted to the satisfaction of and approved by the Contracting Officer.

### 3.3.3 Construction Joint Treatment

#### 3.3.3.1 General

Concrete surfaces to which other concrete is to be bonded shall be prepared for receiving the next lift or adjacent concrete by cleaning with either air-water cutting, sandblasting, high pressure water jet, or other approved method; however, only approved wet sandblasting equipment shall be provided for wet sandblasting operations.

#### 3.3.3.2 Cleaning

##### 3.3.3.2.1 Air-Water Cutting

Air-water cutting of a construction joint shall be performed at the proper time and only on horizontal construction joints. The surface shall be cut with an air-water jet to remove all laitance and to expose clean, sound fine aggregate, but not so as to undercut the edges of the larger particles of aggregate. The air pressure used in the jet shall be 100 psi plus or minus 10 psi, and the water pressure shall be just sufficient to bring the water into effective influence of the air pressure. When approved by the Contracting Officer, a retarder complying with the requirements of CRD C 94 may be applied to the surface of the lift in order to prolong the period of time during which air-water cutting is effective. Prior to receiving approval, the Contractor shall furnish samples of the material to be used and shall demonstrate the method to be used in applications. After cutting, the surface shall be washed and rinsed as long as there is any trace of cloudiness of the wash water. The surface shall again be washed just prior to placing the succeeding lift. Where necessary to remove accumulated laitance, coatings, stains, debris, and other foreign material, sandblasting will be required as the last operation before placing the next lift.

##### 3.3.3.2.2 High-Pressure Water Jet

A stream of water under a pressure of not less than 3000 psi may be used for cleaning. Its use shall be delayed until the concrete is sufficiently hard so that only the surface skin or mortar is removed and there is no undercutting of coarse aggregate particles. Where the cleaning occurs more than two days prior to placing the next lift or where the work in the area subsequent to the cleaning causes dirt or debris to be deposited on the surface, the surface shall be cleaned again as the last operation prior to placing the next lift. If the water jet is incapable of a satisfactory cleaning, the surface shall be cleaned by sandblasting.

##### 3.3.3.2.3 Sandblasting

When employed in the preparation of construction joints, sandblasting shall be performed as the final operation completed before placing the following lift. The operation shall be continued until all accumulated laitance, coatings, stains, debris, and other foreign materials are removed. The surface of the concrete shall then be washed thoroughly to remove all loose materials. The surface shall again be washed just prior to placing the succeeding lift.

##### 3.3.3.2.4 Waste Disposal

The method used in disposing of waste water employed in cutting, washing and rinsing of concrete surfaces shall be such that the waste water does not stain, discolor, or affect exposed surfaces of the structures, or damage the environment of the project area. Method of disposal shall be subject to approval by the Contracting Officer.

### 3.4 PLACING

#### 3.4.1 General

Concrete placement will not be permitted when, in the opinion of the Contracting Officer, weather conditions prevent proper placement and consolidation. Concrete shall be deposited as close as possible to its final position in the forms, and in so depositing there shall be no vertical drop greater than five feet. Depositing of the concrete shall be so regulated that it may be effectively consolidated in horizontal layers 1-1/2 feet or less in thickness with a minimum of lateral movement. The amount deposited in each location shall be that which can be readily and thoroughly consolidated. The surfaces of construction joints shall be kept continuously wet for the first twelve hours during the twenty-four hour period prior to placing concrete. Free water shall be removed prior to placement of concrete. Sufficient placing capacity shall be provided so that concrete placement can be kept plastic and free of cold joints while concrete is being placed. All panel joints must be mortar tight.

#### 3.4.2 Time Interval Between Mixing and Placing

Concrete shall be placed within thirty minutes after discharge into non-agitating equipment. When concrete is truck mixed or when a truck mixer or agitator is used for transporting concrete mixed by a concrete plant mixer, the concrete shall be delivered to the site of the work and placed in the forms within 1-1/2 hours after introduction of the cement to the aggregates. When the length of haul makes it impossible to deliver truck mixed concrete within these time limits, batching of cement and a portion of the mixing water shall be delayed until the truck mixer is at or near the construction site. Not more than 80 percent of the water and all other materials except cement shall be batched at the distant batch plant and transported to the cement batcher without mixing.

#### 3.4.3 Cold-Weather Placing

Concrete shall not be placed without a procedure approved in accordance with 1.5.3.1 above when the concrete is likely to be subjected to freezing temperatures before the expiration of the curing period. The ambient temperature of the space adjacent to the concrete placement and surfaces to receive concrete shall be above 32 degrees F. The placing temperature of the concrete having a minimum dimension less than 12 inches shall be between 60 degrees and 75 degrees F. The placing temperature of the concrete having a minimum dimension greater than 12 inches shall be between 50 degrees and 75 degrees F. Heating of the mixing water or aggregates will be required to regulate the concrete placing temperatures. Materials entering the mixer shall be free from ice, snow or frozen lumps. Salt, chemicals or other materials shall not be mixed with the concrete to prevent freezing, except that calcium chloride may be used as an accelerator.

#### 3.4.4 Hot-Weather Placing

Concrete shall be properly placed and finished with approved procedures in accordance with 1.5.3.2 above. The concrete placing temperature shall not exceed 85 degrees F. Cooling of the mixing water and/or aggregates will be required to obtain an adequate placing temperature. An approved retarder may be used to

facilitate placing and finishing. Steel forms and reinforcement shall be cooled prior to concrete placement when steel temperatures are greater than 120 degrees F. Conveying and placing equipment shall be cooled if necessary to maintain proper concrete placing temperature.

#### 3.4.5 Consolidation

Immediately after placing, each layer of concrete shall be consolidated by internal vibrating equipment. Vibrators will not be used to transport concrete within the forms. Hand spading may be required if necessary with internal vibration along formed surfaces permanently exposed to view. Mechanical screeds as approved by the Contracting Officer may be used. Form or surface vibrators shall not be used unless specifically approved. Vibrators of the proper size, frequency and amplitude shall be used for the type of work being performed in conformance with the following requirements:

<u>Application</u>	<u>Head Diameter (Inches)</u>	<u>Frequency VPM</u>	<u>Amplitude (Inches)</u>
General Construction	2 - 3-1/2	8000-12000	0.025-0.05

The frequency and amplitude shall be within the range indicated in the table above. The vibrator shall be inserted vertically at uniform spacing over the entire area of placement. The distance between insertions shall be approximately 1-1/2 times the radius of action of the vibrator. The vibrator shall penetrate rapidly to the bottom of the layer and at least 6 inches into the preceding layer if such exists. It shall be held stationary until the concrete is consolidated and then withdrawn slowly.

### 3.5 FINISHING

#### 3.5.1 Unformed Surfaces

##### 3.5.1.1 General

The ambient temperature of spaces adjacent to surfaces being finished shall be not less than 50 degrees F. All unformed surfaces that are not to be covered by additional concrete or backfill shall have a float finish, unless a steel trowel finish is specified, and shall be true to the elevations shown on the drawings. Surfaces to receive additional concrete or backfill shall be brought to elevation shown on the drawings and left true and regular. Exterior surfaces shall be sloped for drainage unless otherwise shown on the drawings or as directed. Joints shall be carefully made with a jointing or edging tool. The finished surfaces shall be protected from stains or abrasions.

##### 3.5.1.2 Hot Weather

The ambient temperature of spaces adjacent to surfaces being finished shall not be less than 50 degrees F. In hot weather when the rate of evaporation of surface moisture, as determined by use of Figure 2.1.5 of ACI 305, may reasonably be expected to exceed 0.2 pounds per square foot per hour, provision for windbreaks, shading, fog spraying, or wet covering with a light colored material shall be made in advance of placement, and such protective measures shall be taken as quickly as finishing operations will allow.

##### 3.5.1.3 Trowel Finish

A steel trowel finish shall be applied to the exposed surfaces of the tops of the caps. Concrete surfaces shall be finished with a float finish and after surface moisture has disappeared, the surface shall be steel-troweled to a smooth, even, dense finish free from blemishes including trowel marks. Tolerance shall be true planes within 1/8-inch in ten feet as determined by a 10-foot straightedge placed anywhere on the slab in any direction.

### 3.5.2 Formed Surfaces

#### 3.5.2.1 General

Surfaces, unless other type of finish is specified, shall be left with the texture imparted by the forms except defective surfaces shall be repaired as described in 3.5.2.2 below. Unless painting of surfaces is required, uniform color shall be maintained by use of only one mixture without changes in materials or proportions for any structure or portion of structure which is exposed to view or on which a special finish is required. Forms shall not be reused if there is any evidence of surface wear or defects which would impair the quality of the surface.

#### 3.5.2.2 Repair of Surface Defects

After form removal, all fins and loose materials shall be removed. All voids, and honeycombs exceeding 1/2 inch in diameter and all tie rod holes permanently exposed to view shall be reamed or chipped and filled with dry pack mortar. Defective areas larger than 36 square inches in any surface, permanently exposed or not, shall be delineated in a rectangular shape by a saw cut a minimum depth of 1-inch and repaired with concrete replacement. The cement used in the mortar or concrete for all surfaces permanently exposed to view shall be a blend of portland cement and white cement properly proportioned so that the final color when cured will be the same as adjacent concrete. Temperature of the concrete, ambient air, replacement concrete or mortar during remedial work including curing shall be above 50 degrees F. The prepared area shall be dampened, brush-coated with a neat cement grout or with an approved epoxy resin, and filled with mortar or concrete. The mortar shall consist of 1 part cement to 2-1/2 parts fine aggregate. The quantity of mixing water shall be the minimum necessary to obtain a uniform mixture and permit placing. Mortar shall be thoroughly compacted in place and struck off to adjacent concrete. Replacement concrete shall be drier than the usual mixture and thoroughly tamped into place and finished. Forms shall be used if required. Metal tools shall not be used to finish permanently exposed surfaces. The patched areas shall be cured for seven days.

### 3.6 CURING AND PROTECTION

#### 3.6.1 General

All concrete shall be cured by an approved method for a period of 7 days. Immediately after placement, concrete shall be protected from premature drying, extremes in temperature, rapid temperature change, and mechanical injury. All materials and equipment needed for adequate curing and protection shall be available and at the placement site prior to start of concrete placement. Concrete shall be protected from the damaging effects of rain for 12 hours and flowing water for 14 days. No fire or excessive heat shall be permitted near or in direct contact with concrete at any time.

#### 3.6.2 Moist Curing

Concrete moist-cured shall be maintained continuously (not periodically) wet for

the entire curing period. If water or curing materials used stain or discolor concrete surfaces which are to be permanently exposed, they shall be cleaned as required in 1.4.3 above. When wooden form sheathing is left in place during curing, the sheathing shall be kept wet at all times. Horizontal surfaces shall be cured by ponding, by covering with a minimum uniform thickness of 2 inches continuously saturated sand, or by covering with saturated non-staining burlap or cotton mats or sealed impervious sheet materials. Horizontal construction joints may be allowed to dry for 12 hours immediately prior to placing of the following lift.

### 3.6.3 Membrane Curing

#### 3.6.3.1 With Curing Compound

Concrete may be cured with an approved curing compound in lieu of moist curing except that membrane curing will not be permitted on any surface containing protruding steel reinforcement.

#### 3.6.3.2 Pigmented Curing Compound

A pigmented type curing compound conforming to CRD-C 300 may be used on surfaces which will not be exposed to view when the project is completed. A non-pigmented type curing compound, containing a fugitive dye, conforming to CRD-C 300 with the reflective requirements waived may be used on surfaces which will be exposed to view when the project is completed. In hot weather, concrete cured with the non-pigmented type shall be shaded from the direct rays of the sun for the first 3 days of the curing period.

#### 3.6.3.3 To Formed Surfaces

The curing compound shall be applied to formed surfaces immediately after the forms are removed and all necessary repairs have been performed. Immediately after the removal of forms, all surfaces shall be kept continuously wet until repairs have been performed and curing compound applied. The surfaces shall be thoroughly moistened with water and the curing compound applied as soon as free water disappears. The curing compound shall be applied to unformed surfaces as soon as free water has disappeared. The curing compound shall be applied in a 2-coat continuous operation and at a uniform coverage of not more than 400 square feet per gallon for each coat. Concrete surfaces which have been subjected to rainfall within 3 hours after curing compound has been applied shall be resprayed by the method and at the coverage herein specified. All concrete surfaces on which the curing compound has been applied shall be adequately protected for the duration of the entire curing period from pedestrian and vehicular traffic and from any other cause which will disrupt the continuity of the curing membrane.

### 3.6.4 Impervious-Sheet Curing

Horizontal or near horizontal surfaces may be cured using impervious sheets. All surfaces shall be thoroughly wetted and be completely covered with waterproof paper, polyethylene film or with polyethylene-coated burlap having the burlap thoroughly water-saturated before placing. Covering shall be laid with light colored side up. Covering shall be lapped not less than 12 inches and securely weighted down or shall be lapped not less than 4 inches and taped to form a continuous cover with completely closed joints. The sheet shall be weighted to prevent displacement so that it remains in contact with the concrete during the specified length of curing. Coverings shall be folded down over exposed edges of slab and secured by approved means. Sheets shall be immediately repaired or replaced if tears or holes appear during the curing period.



### 3.6.5 Cold Weather

When the daily outdoor low temperature is less than 32 degrees F, the temperature of the concrete shall be maintained above 40 degrees F for at least the first three days and above 32 degrees F for the remainder of the required curing period. In addition, during the period of protection removal, the air temperature adjacent to the concrete surfaces shall be controlled so that concrete near the surface will not be subjected to a temperature differential of more than 25 degrees F as determined by observation of ambient and concrete temperatures indicated by suitable thermometers furnished by the Government as required and installed adjacent to the concrete surface and 2 inches inside the surface of the concrete. The installation of the thermometers shall be made by the Contractor at such locations as may be directed. Curing compounds shall not be used on concrete surfaces which are maintained at curing temperature by use of free steam.

--End of Section--

DIVISION 3 - CONCRETE

SECTION 03425

PRECAST CONCRETE

TABLE OF CONTENTS

PART 1	GENERAL
1.1	SCOPE
1.2	APPLICABLE PUBLICATIONS
1.3	DESIGN AND STRENGTH
1.4	FORMWORK
1.5	DIMENSIONAL TOLERANCES
1.6	QUALITY CONTROL
PART 2	PRODUCTS
2.1	MATERIALS
PART 3	EXECUTION
3.1	CONSTRUCTION
3.1.1	Marking
3.1.2	Erection
3.2	CONCRETE SAMPLING AND TESTING
3.3	SHOP DRAWINGS

SECTION 03425

PRECAST CONCRETE

PART 1 GENERAL

1.1 SCOPE

The work covered by this section consists of furnishing all equipment, materials, techniques and labor for and performing all work for precast concrete for the precast concrete deck units.

1.2 APPLICABLE PUBLICATIONS

The following publications of the issues listed below, but referred to elsewhere in this section by basic designation only, form a part of this specification to the extent indicated by the references thereto:

PRESTRESSED CONCRETE INSTITUTE (PCI).

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM).

C 39-96	Compressive Strength of Cylindrical Concrete Specimens
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C 172-90	Sampling Freshly Mixed Concrete
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C 192/C192M-95	Making and Curing Concrete Test Specimens in the Laboratory
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1.3 DESIGN AND STRENGTH

The Contractor shall submit a concrete mix design for the prestressed concrete deck units to the Contracting Officer for informational purposes only. The minimum 28-day compressive strength for the concrete shall be 4,000 pounds per square inch. Neither calcium chloride nor any admixture containing calcium chloride shall be used in the precast concrete.

1.4 FORMWORK

Forms and formed surfaces shall conform to the drawings and to the applicable portions of SECTION 03100 - FORMWORK FOR CONCRETE.

1.5 DIMENSIONAL TOLERANCES

The applicable dimensional tolerances shall conform to the following table. Shop drawings must be approved by the Contracting Officer before any fabrication is begun. Unless otherwise shown on the contract drawings and/or specified elsewhere in these specifications, the following dimensional tolerances of members will govern:

(1)	Length of member	+0, -1/8 inch per foot
(2)	Width (Overall)	<u>+1/4</u> inch

(3)	Depth (Overall)	<u>+1/4</u> inch
(4)	Horizontal alignment (Deviation from a straight line parallel to centerline member)	1/4 inch max
(5)	Camber (Deviation from design camber at time of release)	1/8 inch per 10 feet of but not greater than 1/2 inch.
(6)	Camber (Differential between adjacent beams)	1/8 inch per 10 feet of span
(7)	Stirrup bars (Longitudinal spacing)	<u>+1</u> inch
(8)	Tendon position	<u>+1/4</u> inch center of gravity of strand group and individual tendons.
(9)	Position of lifting devices	<u>+6</u> inches, longitudinal
(10)	Exposed beam ends (Deviation from square or designa- ted skew)	Horizontal <u>+1/4</u> inch, Vertical <u>+1/8</u> inch per foot of beam height.
(11)	Bearing area (Deviation from plane)	<u>+1/8</u> inch.

#### 1.6 QUALITY CONTROL

The Contractor shall establish and maintain quality control for the work specified in this section to assure compliance with contract requirements and maintain records of his quality control for all construction operations including, but not limited to the following:

##### (1) Materials

Conform to the specifications and drawings.

##### (2) Construction

Conforms to the specifications and drawings.

##### (3) Submittals

Timely submission, review for accuracy.

A copy of these records and tests, as well as the records of corrective action taken, shall be furnished the Government.

## PART 2 PRODUCTS

### 2.1 MATERIALS

Cement, concrete, reinforcing steels, and admixtures shall conform to the applicable requirements of related concrete sections, except as specified otherwise in this section or indicated on the drawings.

## PART 3 EXECUTION

### 3.1 CONSTRUCTION

#### 3.1.1 Marking

All precast units shall be plainly marked and identified for ready correlation with corresponding test specimens. Pickup points shall be plainly marked on all units. Concrete deck units shall be marked to show the number, size and location of reinforcement bars and to identify the top face and the position on the placement drawings. Concrete deck units designed specifically to be erected adjacent to other concrete deck units with which they are to function, shall be adequately match-marked before removal from the casting bed.

#### 3.1.2 Erection

Points of support used in handling, transportation, storage and erection of concrete deck units shall be as close as practical to the final points of bearing. All erection details including shoring and bracing shall be clearly shown on the shop drawings. Extreme care shall be taken during storage, hoisting and handling to prevent cracking and damage. Concrete deck units damaged during handling, storage or erection shall be replaced by the Contractor at his expense.

### 3.2 CONCRETE SAMPLING AND TESTING

Precast concrete shall be sampled and tested in accordance with ASTM's C 39, C 172, and C 192 and other provisions specified herein. It is the Contractor's responsibility to make 3 test cylinders for each day's placement. One cylinder shall be broken at 7 days and the other two broken at 28 days. It shall be the Contractor's responsibility to test the cylinders when they have reached the specified age. All test cylinders shall be cured under the same conditions as the concrete contained in the structure being built. The Contractor shall submit, to the Contracting Officer, certified copies of test reports. All sampling and testing shall be done by and at the expense of the Contractor.

### 3.3 SHOP DRAWINGS

Complete shop drawings for all precast concrete work shall be submitted to the Contracting Officer for approval in accordance with this paragraph, other paragraphs of the TECHNICAL SPECIFICATIONS and the CONTRACT CLAUSE entitled "Specifications and Drawings for Construction." The shop drawings shall show complete details including formwork, inserts, reinforcing steel, size and type of tensioning elements and anchorages, sequence of stressing, method of curing, quality of concrete, erection details and methods, and complete stressing calculations.

--End of Section--

DIVISION 3 - CONCRETE

SECTION 03430

PILING; CONCRETE, PRECAST, PRESTRESSED

TABLE OF CONTENTS

PART 1	GENERAL
1.1	SCOPE
1.2	QUALITY CONTROL
1.3	TYPE AND PROPERTIES
1.3.1	Type
1.3.2	Properties
1.4	APPLICABLE PUBLICATION
PART 2	PRODUCTS
2.1	CONCRETE PILES
2.1.1	General
2.1.2	Reinforcing
2.1.3	Forms
2.1.4	Casting
2.1.5	Curing
2.1.6	Storage and Handling
2.2	LENGTHS OF PILES
PART 3	EXECUTION
3.1	PLACING
3.2	PILE DRIVING
3.2.1	General
3.2.2	Driving
3.3	DAMAGED AND MISPLACED PILES
3.4	CUT-OFFS
3.5	SPLICING

SECTION 03430

PILING; CONCRETE, PRECAST, PRESTRESSED

PART 1 GENERAL

1.1 SCOPE

The work covered by this section consists of furnishing all plant, equipment, labor, and materials and performing all operations in connection with the manufacture and installation of precast, prestressed concrete bearing piling in accordance with these specifications and applicable drawings.

1.2 QUALITY CONTROL

The Contractor shall establish and maintain quality control for the work specified in this section to assure compliance with contract requirements and maintain records of his quality control for all construction operations including, but not limited to the following:

(1) Materials

Review of submittals prior to submission, materials to conform to specifications.

(2) Placement

Location, alignment, tolerances.

(3) Driving

Hammer meets the specifications requirements, penetration, bearing.

A copy of these records and tests, as well as the records of corrective action taken, shall be furnished the Government.

1.3 TYPE AND PROPERTIES

1.3.1 Type

The precast, prestressed concrete piles shall be 14 inches square and be controlled by the applicable data indicated on the drawings, and applicable submittals as specified in DIVISION 3 - CONCRETE.

1.3.2 Properties

All materials used for the manufacture of the piles shall meet the applicable requirements of DIVISION 3 - CONCRETE.

1.4 APPLICABLE PUBLICATION

The following publication of the issue listed below, but referred to thereafter by basic designation only, form a part of this specification to the extent indicated by the reference thereto:



AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM) STANDARD

A 82-95a

Steel Wire, plain, for Concrete Reinforcement

PART 2 PRODUCTS

2.1 CONCRETE PILES

2.1.1 General

Except as otherwise specified herein, the piles shall be cast of concrete; controlled, made, placed, and cured in accordance with the applicable provisions of DIVISION 3 - CONCRETE.

2.1.2 Reinforcing

The reinforcing system shall be rigidly wired or fastened at all intersections and held to true position in the forms by approved devices or methods. The No. 5 gauge steel spiral reinforcement for the concrete piling shall conform to the requirements of ASTM A 82.

2.1.3 Forms

Forms shall be arranged to provide ample working room and easy access for carrying out all operations required for the proper placing, consolidation, and finishing of the concrete for the piles. The design of the forms shall be such that their removal can be accomplished without damage to the completed piles. Side forms shall remain in place at least 24 hours after concrete is placed.

2.1.4 Casting

Piles shall be cast on level, mortar-tight, platforms, constructed to prevent settlement during the casting and curing operations. Piling shall be cast in a horizontal position. Casting in tiers will not be permitted. Once casting is started it shall be carried on as a continuous operation until the pile is completed. All concrete shall be thoroughly consolidated by internally vibrating, spading and rodding during the placing operation and it shall be thoroughly worked around the reinforcement and into the corners of the forms. The intensity of vibration shall be sufficient to cause the concrete to flow and settle into place. Vibration shall be applied uniformly over the length of the pile and shall be of sufficient duration to insure thorough consolidation of the concrete. Spading and rodding during the placing operation shall supplement the vibration. Surfaces shall be free from detrimental porosity or honeycomb. Each pile shall be marked with the date of its casting and pickup points shall be marked on each pile. All markings shall be located on the end of the pile opposite the driving head. Concrete test cylinders will be taken during the time of casting and shall be tested in accordance with SECTION 03425, paragraph 3.2.

2.1.5 Curing

Concrete piles shall be cured by the proposed method submitted as specified in SECTION 03300, paragraph 3.6. Concrete test cylinders shall be cured at the same location, under identical conditions, and by the identical method used to cure the piles cast of the same concrete placements from which the samples were taken.

2.1.6 Storage and Handling

The methods used for storage and handling of the piles shall be such that the

piling will not be subjected to overstress, spalling, or other injury. In general, piles shall be lifted by means of a suitable bridle or slings attached to the pile at the marked pickup points. Piles which are crushed or otherwise injured during curing, handling or driving shall be removed from the site of the work by the Contractor at no cost to the Government.

## 2.2 LENGTHS OF PILES

The minimum lengths of piles shall be as called for on the drawings. Actual length of piles shall be as determined by the Contracting Officer based upon paragraph 3.2.

# PART 3 EXECUTION

## 3.1 PLACING

Piles shall be driven as accurately as practicable in the correct locations, true to line both laterally and longitudinally and to the vertical or batter lines, all as indicated on the drawings. A variation in alignment of not more than 1/4 inch per foot from the vertical or from the batter lines shown on the plans will be permitted, except that for pile bents the top of the completed pile shall be no more than 2 inches from the true position as shown on the plans. The correct relative position of group piles shall be maintained by the use of templates or by other approved means. Any pile driven out of correct location shall be pulled and redriven by the Contractor at no additional cost to the Government.

## 3.2 PILE DRIVING

### 3.2.1 General

A complete and accurate record of the driving of piles shall be compiled by the Contractor on LMM Form 601 for submission to the Contracting Officer. This record shall include pile dimensions and location, the number of blows required for each foot of penetration throughout the entire length of each pile and any other pertinent information requested by the Contracting Officer. Copies of LMM Form 601, Pile Driving Record, will be furnished to the Contractor upon request. No piles shall be driven until the excavation or fill in the area which piles are to occupy has been completed to the elevation of the grade indicated. No piles shall be driven within 100 feet of concrete less than 7 days old, unless so directed by the Contracting Officer. Piles shall be driven by an approved diesel hammer, steam hammer or air hammer of a size and type suitable for the work. Hammer shall be as approved by the Contracting Officer. The Contractor shall submit literature and catalogue cuts with pictures for hammer and cushion. The hammer shall develop an energy of not less than 15,000 foot-pounds. The hammer shall be operated at all times at the steam or air pressure and at the speed recommended by the manufacturer. Boiler or compressor capacity shall be sufficient to operate the hammer continuously at full rated speed. Piles shall be protected during driving by a cushion and cap of approved design. Pile drivers shall have firmly supported leads extending to the lowest point the hammer must reach to drive the piles to cut-off elevation without the use of a follower. A pile shall not be driven until it is approved for driving. Approval will be based upon the condition of curing and on its compressive strength as indicated by the test cylinders.

### 3.2.2 Driving

Each pile shall be driven continuously and without voluntary interruption until the required depth of penetration and penetration rate per blow have been

attained. The maximum blows per inch applied to the pile shall be dependent upon the type of hammer used and will be determined by the Contracting Officer. Deviation from this procedure will be permitted only in case the driving is stopped by causes which could not reasonably have been anticipated. A pile which cannot be driven to the required depth because of an underground obstruction shall be pulled and redriven if the obstruction can be removed or penetrated or the pile shall be cut off whichever is directed by the Contracting Officer. A pile which has not reached the required penetration rate per blow when the top has been driven to the cut-off elevation shall be built-up as directed and driven to a depth sufficient to develop the required penetration rate per blow. The penetration per blow which is used as an indication of the bearing capacity of the pile is dependent upon the type of driving equipment used and other factors and it will in every case be determined by the Contracting Officer. Water jets may be used to assist driving only when specifically authorized by the Contracting Officer and he may require their use where satisfactory driving is not obtained otherwise. Where jetting is authorized, the jetting equipment shall be of a type and capacity approved by the Contracting Officer. All jetted piles shall be seated by driving the pile a minimum of 2 feet after jetting. Piles which have uplifted after driving shall be redriven to grade after conclusion of other driving activity in that general area. Unless otherwise authorized all pile tops shall be driven to the cut-off elevations.

### 3.3 DAMAGED AND MISPLACED PILES

Any pile which is cracked or broken because of internal defects or by improper handling or driving, or which is otherwise injured so as to impair it for its intended use, or any pile driven out of proper location, shall be removed and replaced, or, at the option of the Contracting Officer, a second pile may be driven adjacent thereto. All work of removal and cost of replacement shall be borne by the Contractor at no additional expense to the Government. The Contracting Officer may require the Contractor to pull certain selected piles after driving for test and inspection to determine the condition of the piles. Any pile so pulled and found to be damaged to such extent as, in the opinion of the Contracting Officer, would impair its usefulness in the completed structure, shall be removed from the site of the work and the Contractor shall furnish and drive a new pile to replace the damaged pile. Piles pulled and found to be sound and in a satisfactory condition shall be redriven.

### 3.4 CUT-OFFS

When for any reason, a pile head can not be driven to the cut-off elevation, the pile shall be cut off perpendicular to the axis of the pile at the cut-off elevation; but, the Contractor must have prior approval from the Contracting Officer. Cutting methods shall be used which will not damage the portion of the pile to be left in place nor the pile reinforcement.

### 3.5 SPLICING

Upon approval of the Contracting Officer, the Contractor will be permitted to lengthen piles by splicing and when specified herein or directed by the Contracting Officer the Contractor shall be required to lengthen piles by splicing. The longitudinal reinforcing of the pile shall be exposed for a length equal to or greater than 24 inches. If necessary, the concrete shall be cut away to accomplish this. Bars of the size specified on the drawings and of sufficient length for the required extension shall be fastened to the exposed bars and transverse reinforcement as shown on the drawings for the pile head. Concrete cuts shall be made perpendicular to the axis of the pile and all concrete shall be removed 24 inches below ends of the exposed steel. Bars shall be lapped for the full length of the bars exposed. When the reinforcing has been placed, the

top of the pile shall be prepared for receiving concrete by cleaning with either wet sandblasting or high pressure water jet. The cleaning operation shall be performed as the final operation completed before placing and not more than two days prior to placing the following lift. The operation shall be continued until all accumulated laitance, coatings, stains, debris, and other foreign materials are removed. Concrete of the same quality as that used to cast the pile shall then be placed, finished and cured as specified for the original pile except that the forms shall remain in place for at least 72 hours after placing the concrete. Driving of a spliced pile shall not be resumed until it is approved for driving by the Contracting Officer.

--End of Section--

DIVISION 3 - CONCRETE

SECTION 03440

BRIDGE SUPERSTRUCTURE

TABLE OF CONTENTS

PART 1	GENERAL
1.1	SCOPE
1.2	APPLICABLE PUBLICATIONS
1.3	STORAGE OF MATERIALS
1.4	SHOP DRAWINGS
1.5	QUALITY CONTROL
PART 2	PRODUCTS
2.1	MATERIALS
2.1.1	Concrete
2.1.2	Non-Shrink Mortar
2.1.3	Epoxy Grout
2.1.4	Felt Joints and Bearing Pads
2.1.5	Hardware
2.1.6	Joint Material and Filler
2.1.7	Lifting Loops
2.1.8	Welding
2.1.9	Submittals
PART 3	EXECUTION
3.1	ERECTION

SECTION 03440

BRIDGE SUPERSTRUCTURE

PART 1 GENERAL

1.1 SCOPE

The work covered in this section consists of furnishing all shop drawings, plant, labor, equipment and materials, and performing all work in connection with the construction of the bridge superstructure as specified herein and/or shown on the drawings.

1.2 APPLICABLE PUBLICATIONS

The following publications of the issues listed below, but referred to thereafter by basic designation only, form a part of this specification to the extent indicated by the references thereto:

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM) STANDARD

A 36/36M-96	Carbon Structural Steel
A 153/A153M-95	Zinc Coating (Hot-Dip) on Iron and Steel Hardware
A 307-94	Carbon Steel Bolts and Studs, 60,000 PSI Tensile Strength
D 224-89 (1996)	Smooth Surfaced Asphalt Roll Roofing (Organic Felt)
D 1751-83 (R 1991)	Preformed Expansion Joint Filler for Concrete Paving and Structural Construction (Nonextruding and Resilient Bituminous Types)

AMERICAN ASSOCIATION OF STATE HIGHWAY AND TRANSPORTATION OFFICIALS (AASHTO).

Standard Specifications for Highway Bridges,  
Fifteenth Edition (1992)

M 213-81 (R 1990)	Preformed Expansion Joint Fillers for Concrete Paving and Structural Construction (Nonextruding and Resilient Bituminous Types)
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AMERICAN WELDING SOCIETY (AWS)

D1.1-94	Structural Welding Code Steel Thirteenth Edition
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FEDERAL SPECIFICATIONS (FED. SPEC.)

MMM-A-001993

Adhesive, Epoxy, Flexible Filled  
(For Binding, Sealing, and Grouting)

ARKANSAS STANDARD SPECIFICATIONS FOR HIGHWAY CONSTRUCTION (1993  
EDITION)

1.3 STORAGE OF MATERIALS

Materials, except precast concrete deck units, shall be stored at the site in weathertight enclosures, and shall be kept free from dirt, grease, and other foreign matter. Precast prestressed concrete girder units shall be stored as specified in SECTION 03230, paragraph 1.7.

1.4 SHOP DRAWINGS

Shop drawings shall be submitted for approval in accordance with the CONTRACT REQUIREMENTS entitled "Specifications and Drawings for Construction." Drawings shall include all shop and erection details, and members and connections for any portion of the structure not shown on the contract drawings shall be detailed by the fabricator and indicated on the shop drawings. All welds shall be indicated by standard welding symbols of the AWS.

1.5 QUALITY CONTROL

The Contractor shall establish and maintain quality control for the work specified in this section to assure compliance with contract requirements and maintain record of his quality control for all construction operations including but not limited to the following:

(1) Material

Suitability for use in the work, adherence to specification standards, review of certifications prior to submittal.

(2) Erection

Handling, positioning, dowel installation, construction tolerances.

(3) Shop Drawings and Submittals

Review of all submittals prior to installation.

A copy of these records and tests, as well as the records of corrective action taken, shall be furnished the government.

PART 2 PRODUCTS

2.1 MATERIALS

2.1.1 Concrete

Concrete shall meet the applicable provisions of DIVISION 3 - CONCRETE.

#### 2.1.2 Non-Shrink Mortar

Non-shrink mortar shall be "Embeco 411-A Mortar" as manufactured by the Master Builders Company, Cleveland, Ohio, or approved equal.

#### 2.1.3 Epoxy Grout

Epoxy grout shall conform to the requirements of Fed. Spec. MMM-A-001993, Type I.

#### 2.1.4 Felt Joints and Bearing Pads

Felt joints and bearing pads shall consist of a roofing felt saturated and coated on both sides with asphalt, and coated on one side with powdered mineral matter such as talc or mica.

#### 2.1.5 Hardware

All hardware, except dowel pins, used in the construction of the bridge shall be galvanized to conform to the requirements of ASTM A 153, and all hardware shall be of the grade normally obtained commercially. Structural steel, plain washers, studs, transverse tie rods, and dowel pins shall conform to the requirements of ASTM A 36/36M. Bolts and nuts shall conform to the requirements of ASTM A 307, Grade A.

#### 2.1.6 Joint Material and Filler

Joint material and filler shall be preformed expansion joint filler of non extruding and resilient bituminous types conforming to ASTM D 1751 or AASHTO M-213. Bituminous fiber material may be laminated with a maximum of 2 plies and a minimum ply thickness of 1/2 inch. Plies are to be joined by an epoxy bonding agent approved by the manufacturer. Panels shall not be placed on laminated bituminous fiber until the epoxy has had sufficient time to set according to the manufacturers recommendations.

#### 2.1.7 Lifting Loops

Lifting loops shall conform to the requirements of the manufacturer.

#### 2.1.8 Welding

Welding shall conform to the applicable provisions of AWS D1.1.

#### 2.1.9 Submittals

Certificate of compliance will be required for materials specified in 2.1.2 through 2.1.8 above.

### PART 3 EXECUTION

#### 3.1 ERECTION

The superstructure shall be constructed to the finish lines and grades as shown on the drawings and in conformance with AASHTO. The erection of the deck unit and the installation of the materials specified in 2.1 above shall conform to the



manufacturer's recommendations, contract drawings, and to the schedules and drawings submitted in accordance with 1.4 above. During erection of the deck units, care shall be exercised to insure that the top of the bearing pads are free of foreign materials. Any shifting of units shall be done while the units are held free of the supports. After the deck units have been placed and fastened by transverse tie assemblies, dowel holes shall be thoroughly cleaned and the dowels set therein with epoxy grout or joint sealant as applicable. Placing of non-shrink mortar shall be carried continuously across longitudinal joints. The shear keys shall be thoroughly cleaned before the application of non-shrink mortar. The face edge of all joints which are exposed to view shall be carefully finished true to line and elevation.

--End of Section--

Invitation No. DACW66-99-B-0018

DIVISION 4 - MASONRY

(NOT USED)

DIVISION 5 - METALS

SECTION 05500

GUARDRAIL

TABLE OF CONTENTS

PART 1	GENERAL
1.1	SCOPE
1.2	APPLICABLE PUBLICATIONS
1.3	STORAGE OF MATERIALS
1.4	QUALITY CONTROL
PART 2	PRODUCTS
2.1	GUARDRAIL
2.1.1	Structural Steel
2.1.2	Bolts, Nuts and Washers
2.1.3	Bridge Guardrails and Posts
2.1.4	Certificates
PART 3	EXECUTION
3.1	INSTALLATION

SECTION 05500

GUARDRAIL

PART 1 GENERAL

1.1 SCOPE

The work covered by this section consists of furnishing all plant, labor, materials, and equipment, and installing all structural steel items for the guardrail as specified herein and/or indicated on the drawings.

1.2 APPLICABLE PUBLICATIONS

The following publications of the issues listed below, but referred to thereafter by basic designation only, form a part of this specification to the extent indicated by the references thereto:

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM) PUBLICATIONS.

A 36/A36M-96 Carbon Structural Steel

A 123-89a Zinc (Hot-Dip Galvanized) Coatings on  
and Ed Cmt 1 Iron and Steel Products

A 153/A153M-95 Zinc Coating (Hot-Dip) on Iron and  
Steel Hardware

A 307-94 Carbon Steel Bolts and Studs, 60,000  
PSI Tensile Strength

AMERICAN ASSOCIATION OF STATE HIGHWAY AND TRANSPORTATION OFFICIALS  
(AASHTO).

Standard Specifications for Highway Bridges, Fifteenth  
Edition, 1992

M 180-89 Corrugated Sheet Steel Beams for Highway  
Guardrail

ARKANSAS STANDARD SPECIFICATIONS FOR HIGHWAY CONSTRUCTION (1993  
EDITION).

1.3 STORAGE OF MATERIALS

Material shall be stored at the bridge site above the ground upon platforms, skids, or other supports. It shall be kept free from dirt, grease, and other foreign matter, and shall be protected as far as practicable from corrosion.

1.4 QUALITY CONTROL

The Contractor shall establish and maintain quality control for the work specified in this section to assure compliance with contract requirements and maintain records of his quality control for all construction operations including but not limited to the following:

(1) Materials

Conform to the specifications.

(2) Installation

Conforms to the specifications.

A copy of these records and tests, as well as the records of corrective action taken, shall be furnished the Government.

PART 2 PRODUCTS

2.1 GUARDRAIL

2.1.1 Structural Steel

All structural steel shall conform to the requirements of ASTM A 36.

2.1.2 Bolts, Nuts, and Washers

All bolts, nuts, and washers exclusive of anchor bolts shall conform to the requirements of ASTM A 307, Type 1 and shall be galvanized in accordance with ASTM A 153. All "Anchor"-bolts shall conform to ASTM A 36.

2.1.3 Bridge Guardrails and Posts

The posts, plates, channels, W rails, splice plates, and terminal sections of the guardrails shall be galvanized steel and structural shapes as indicated on the drawings. Steel for the post, plate, splice plate, and channel members shall meet the requirements of ASTM A 36 and shall be galvanized in accordance with ASTM A 123. The galvanized W rail sections including bolts shall conform to the requirements of AASHTO M 180, Class B, Type 1. The reflective washers for bridge guardrail shall be furnished by the Contractor. The Contractor shall submit a sample reflective washer that he intends to use, to the Contracting Officer for approval.

2.1.4 Certificates

Certificates of compliance will be required for all materials.

PART 3 EXECUTION

3.1 INSTALLATION

The structural steel portion of the bridge shall be installed as indicated on the drawings and/or as specified herein and in Section 712 of the Missouri Standard Specifications for Highway Construction.

--End of Section--

Invitation No. DACW66-99-B-0018

DIVISION 6 - WOOD AND PLASTIC

THRU

DIVISION 16 - ELECTRICAL

(NOT USED)